## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan** 

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal

Revenue Code (the Code). ▶ Complete all entries in accordance with the instructions to the Form 5500-SF. OMB Nos. 1210-0110 1210-0089

2017

This Form is Open to **Public Inspection** 

Parti	Annual Report	identification information								
For calenda	ar plan year 2017 or fis	scal plan year beginning 07/01/2	2017		and ending 06	6/30/2018				
A This ret	turn/report is for:	a single-employer plan	a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)							
		a one-participant plan	a foreign plan							
<b>B</b> This retu	urn/report is	the first return/report	the final return/report							
		an amended return/report	a s	hort plan year return	report (less than 12 m	onths)				
C Check I	box if filing under:	Form 5558	ш	tomatic extension	on DFVC program					
	_	special extension (enter descr	cription)							
Part II	Basic Plan Info	rmation—enter all requested in	formatio	on						
1a Name of plan LIGHTING GROUP NORTHWEST 401(K) PROFIT SHARING PLAN						1b Three-dig plan num (PN) ▶	-	001		
							1c Effective date of plan 07/01/2003			
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) LIGHTING GROUP NORTHWEST, INC.						<b>2b</b> Employer Identification Number (EIN) 47-0882730				
					uctions)	2c Sponsor's telephone number 206-298-9000				
						2d Business code (see instructions)				
5700 6TH A\ STE 215	/E S					425120				
SEATTLE, W	/A 98108-2511									
3a Plan a	dministrator's name ar	nd address X Same as Plan Spor	nsor.			<b>3b</b> Administrator's EIN				
						<b>3c</b> Administrator's telephone number				
						Administrator's telephone number				
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for						4b EIN				
this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report. <b>a</b> Sponsor's name						4d PN				
C Plan Name										
5a Total number of participants at the beginning of the plan year						5a :				
<b>b</b> Total number of participants at the end of the plan year					5b	<b>5b</b> 38				
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)				5c	<b>5c</b> 34					
d(1) Total number of active participants at the beginning of the plan year					5d(1) 3					
d(2) Total number of active participants at the end of the plan year					5d(2)	32				
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested				5e	<b>5e</b> 4					
Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.										
Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.										
SIGN		/valid electronic signature.		01/24/2019	CHRIS BREDL					
HERE	Signature of plan a			Date		idual signing as plan administrator				
SIGN										

Date

**HERE** 

Enter name of individual signing as employer or plan sponsor

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b	<ul> <li>Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)</li> <li>Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)</li> <li>If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.</li> <li>If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year</li> </ul>						Yes No			
Pa	rt III Financial Information	1	<b>.</b>							
7	Plan Assets and Liabilities		(a) Beginning	of Year			(b) En	d of Year		
a	Total plan assets	. 7a	343	35049				4009599		
<u>b</u>	Total plan liabilities	. 7b								
С	Net plan assets (subtract line 7b from line 7a)	. 7c	343	3435049			4009599			
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	ıt		(b) Total				
а	Contributions received or receivable from:	0=(4)	4	00000						
	(1) Employers	8a(1)		00000						
	(2) Participants	. 8a(2)	Ζ'	17886						
	(3) Others (including rollovers)	. 8a(3)	0	4647	-					
	Other income (loss)	8b	3	312925						
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	. 8c				-		635458		
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	. 8d		32724						
е	Certain deemed and/or corrective distributions (see instructions)	8e								
f	Administrative service providers (salaries, fees, commissions)	. 8f		28184						
q	Other expenses	. 8g								
	Total expenses (add lines 8d, 8e, 8f, and 8g)						60908			
T	Net income (loss) (subtract line 8h from line 8c)	8i						574550		
j	Transfers to (from) the plan (see instructions)	8j								
Part IV Plan Characteristics										
9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:  2A 2E 2F 2G 2J 2K 2T 3D  b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:										
Par	t V Compliance Questions									
10	During the plan year:				Yes	No		Amount		
a	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)				X					
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)				Χ					
С	C Was the plan covered by a fidelity bond?			10c	X			5000000		
d	<b>d</b> Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused			10d		X				
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under			10e		X				
f	f Has the plan failed to provide any benefit when due under the plan?					Х				
	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)					Χ				
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h	Χ					
i 	i If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3			10i	Χ					

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Part	VI Pension Funding Compliance						
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sci (Form 5500) and line 11a below)	nedule S	B	[] Y	′es X No		
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	. 11a					
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?	n 302 o	f 	Y	′es X No		
<b>a</b> If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver							
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.						
b	Enter the minimum required contribution for this plan year	12b					
С	Enter the amount contributed by the employer to the plan for this plan year	12c					
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d					
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?	. [	Yes	No	N/A		
Part '	VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes X No				
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a					
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?		Yes X No				
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)	) to					
13c(1) Name of plan(s): 13c(2)				<b>13c(3)</b> PN(s)			

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▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

2017

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OMB Nos. 1210-0110

1210-0089

This Form is Open to

**Annual Report Identification Information** For calendar plan year 2017 or fiscal plan year beginning and ending 06/30/2018 07/01/2017 a multiple-employer plan (not multiemployer) (Filers checking this box must attach a X a single-employer plan A This return/report is for: list of participating employer information in accordance with the form instructions.) a foreign plan a one-participant plan **B** This return/report is the first return/report the final return/report an amended return/report a short plan year return/report (less than 12 months) C Check box if filing under: DFVC program Form 5558 automatic extension special extension (enter description) Basic Plan Information—enter all requested information Part II 1a Name of plan 1b Three-digit plan number LIGHTING GROUP NORTHWEST 401(K) (PN) 001 PROFIT SHARING PLAN 1c Effective date of plan 07/01/2003 2a Plan sponsor's name (employer, if for a single-employer plan) 2b Employer Identification Number Mailing address (include room, apt., suite no. and street, or P.O. Box) (EIN)47-0882730 City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) 2c Sponsor's telephone number LIGHTING GROUP NORTHWEST, INC. (206) 298-9000 2d Business code (see instructions) 5700 6TH AVE S STE 215 SEATTLE 98108-2511 425120 **3a** Plan administrator's name and address X Same as Plan Sponsor. 3b Administrator's EIN 3c Administrator's telephone number If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for 4b EIN this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report. 4d PN a Sponsor's name c Plan Name 5a Total number of participants at the beginning of the plan year ..... 5a 34 5b **b** Total number of participants at the end of the plan year ..... 38 Number of participants with account balances as of the end of the plan year (only defined contribution plans 5c 34 complete this item)..... 5d(1) 30 d(1) Total number of active participants at the beginning of the plan year ..... 5d(2) d(2) Total number of active participants at the end of the plan year ..... 32 Number of participants who terminated employment during the plan year with accrued benefits that were less 5e 4 Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established. Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct and complete.

Date

Date

Signature of employer/plan sponsor
For Paperwork Reduction Act Notice, see the Instructions for Form 5500-SF.

Signature of plan administrator

SIGN HERE

SIGN HERE Enter name of individual signing as plan administrator