Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to Public Inspection

| Part I | | <u>t Identification Information</u> | | | | | | | |
|---|--|---|--|-------------------------|---|------------------------------|--|--|--|
| For calend | dar plan year 2018 or f | fiscal plan year beginning 01/01/2 | 2018 | and ending 1 | 2/31/2018 | | | | |
| A This re | A This return/report is for: a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.) | | | | | | | | |
| | · | a one-participant plan | a foreign plan | | | | | | |
| b This ret | turn/report is | the first return/report | the final return/report | | | | | | |
| an amended return/report a short plan year return/report (less than 12 months) | | | | | | | | | |
| C Check | box if filing under: | Form 5558 | automatic extension DFVC program | | | | | | |
| | | special extension (enter desc | • • | | | | | | |
| Part II | Basic Plan Info | ormation—enter all requested in | formation | | | | | | |
| 1a Name OB-GYN AS | • | KANE, P.S. 401(K) PROFIT SHARI | ING PLAN | | 1b Three-di plan nun (PN) ▶ | • | | | |
| | | | | | 1c Effective | e date of plan 10/01/1997 | | | |
| | | oyer, if for a single-employer plan) | D. David | | | er Identification Number | | | |
| | | om, apt., suite no. and street, or P.C ce, country, and ZIP or foreign post | | tructions) | (EIN) | 91-1118176 | | | |
| OB/GYN AS | SOCIATES OF SPOR | (ANE, P.S. | , • | | 2c Sponsor's telephone number 509-455-8866 | | | | |
| | | | | | 2d Business | s code (see instructions) | | | |
| 601 W. 5TH SPOKANE, | AVENUE, SUITE 301 WA 99204 | | | | 621111 | | | | |
| | | | | | | | | | |
| 3a Plan administrator's name and address Same as Plan Sponsor. | | | | | 3b Administrator's EIN | | | | |
| OB/GYN ASSOCIATES OF SPOKANE, P.S. 601 W. 5TH AVENUE, SUITE 301 SPOKANE, WA 99204 | | | 91-1118176 3c Administrator's telephone number 509-455-8866 | | | | | | |
| | | | | | · | 700 400 0000 | | | |
| | | ne plan sponsor or the plan name h | | | 4b EIN | | | | |
| • | olan, enter the plan spo sor's name | onsor's name, EIN, the plan name a | and the plan number from | the last return/report. | 4d PN | | | | |
| C Plan | | | | | | | | | |
| | | | | | F | | | | |
| | | s at the beginning of the plan year. | | | . 5a | 41 | | | |
| | | s at the end of the plan year | | | . 5b | 39 | | | |
| | | | | • | . 5c | 39 | | | |
| | | articipants at the beginning of the pl | • | | 5d(1) | 31 | | | |
| | | articipants at the end of the plan ye | | | 5d(2) | 31 | | | |
| Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested | | | | | . 5e | 0 | | | |
| | | or incomplete filing of this return | | | | | | | |
| SB or Sch | | other penalties set forth in the instru- and signed by an enrolled actuary, an plete. | | | | | | | |
| SIGN | | d/valid electronic signature. | 02/11/2019 | ROBIN MESSINGER | MESSINGER, MD | | | | |
| HERE | Signature of plan | administrator | Date | Enter name of individ | dual signing as p | olan administrator | | | |
| SIGN | | | | | | | | | |
| HERE | Signature of empl | over/plan sponsor | Date | Enter name of individ | name of individual signing as employer or pla | | | | |

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| 6a | Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) | | | | | | | X Yes No | |
|-----------|---|------------|----------------------------|----------|---------|---------|------------------|---------------------|--|
| b | Are you claiming a waiver of the annual examination and report of an independent qualified public account | | | | | | | X Yes ∏ No | |
| | under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.) | | | | | | | | |
| С | If the plan is a defined benefit plan, is it covered under the PBGC in | nsurance p | rogram (see ERISA se | ection 4 | 021)? | [| Yes No | Not determined | |
| | If "Yes" is checked, enter the My PAA confirmation number from the | e PBGC p | remium filing for this p | lan yea | r | | | (See instructions.) | |
| Pa | rt III Financial Information | | | | | | | | |
| 7 | Plan Assets and Liabilities | | (a) Beginning | of Year | | | (b) End | of Year | |
| а | Total plan assets | 7a | 64 | 79824 | | | | 5205145 | |
| b | Total plan liabilities | 7b | | | | | | | |
| С | Net plan assets (subtract line 7b from line 7a) | 7c | 64 | 79824 | | | | 5205145 | |
| 8 | Income, Expenses, and Transfers for this Plan Year | | (a) Amoun | ıt | | | (b) | Total | |
| | Contributions received or receivable from: (1) Employers | 8a(1) | 22 | 25233 | | | | | |
| | (2) Participants | 8a(2) | 14 | 42511 | _ | | | | |
| | (3) Others (including rollovers) | 8a(3) | | | | | | | |
| | Other income (loss) | 8b | -20 | 60631 | | | | | |
| | Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) | 8c | | | | | | 107113 | |
| d | Benefits paid (including direct rollovers and insurance premiums to provide benefits) | 8d | 130 | 65008 | | | | | |
| е | Certain deemed and/or corrective distributions (see instructions) | 8e | | | | | | | |
| f | Administrative service providers (salaries, fees, commissions) | 8f | , | 16784 | | | | | |
| g | Other expenses | 8g | | | | | | | |
| <u>h</u> | Total expenses (add lines 8d, 8e, 8f, and 8g) | 8h | | | | | | 1381792 | |
| <u>_i</u> | Net income (loss) (subtract line 8h from line 8c) | | | | | | | -1274679 | |
| j | Transfers to (from) the plan (see instructions) | | | | | | | | |
| | Part IV Plan Characteristics | | | | | | | | |
| 9a | If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 2R 2T 3D | feature co | des from the List of Plant | an Chai | racteri | stic Co | odes in the ins | tructions: | |
| b | If the plan provides welfare benefits, enter the applicable welfare for | eature cod | es from the List of Pla | n Chara | cteris | tic Cod | des in the insti | ructions: | |
| Par | t V Compliance Questions | | | | | | | | |
| 10 | During the plan year: | | | | Yes | No | | Amount | |
| а | Was there a failure to transmit to the plan any participant contribu | | | | | | | | |
| | described in 29 CFR 2510.3-102? (See instructions and DOL's V Program) | - | • | 10a | | X | | | |
| b | Were there any nonexempt transactions with any party-in-interest reported on line 10a.) | ? (Do not | include transactions | 10b | | X | | | |
| С | | | | 10c | X | | | 500000 | |
| d | | | | 10d | | X | | | |
| е | Were any fees or commissions paid to any brokers, agents, or oth | ner person | s by an insurance | 100 | | | | | |
| | carrier, insurance service, or other organization that provides som the plan? (See instructions.) | | | 10e | X | | | 968 | |
| f | Has the plan failed to provide any benefit when due under the pla | n? | | 10f | | X | | | |
| g | Did the plan have any participant loans? (If "Yes," enter amount as of year-end.) | | | | Χ | | | 6908 | |
| h | If this is an individual account plan, was there a blackout period? 2520.101-3.) | | | 10h | | X | | | |
| i | If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10 | ne require | d notice or one of the | 10i | | | | | |
| | | | | | | | • | | |

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| Part | VI Pension Funding Compliance | | | | |
|------|---|------------------|-----|------------------------|----------|
| 11 | Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sche (Form 5500) and line 11a below) | В | Y | es No | |
| 11a | Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 | 11a | | | |
| 12 | Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA? | | : | Y | es X No |
| | (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) | | | | |
| а | If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and granting the waiver | d enter t Day | | of the lette Year _ | r ruling |
| lf : | you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13. | | | | |
| b | Enter the minimum required contribution for this plan year | 12b | | | |
| С | Enter the amount contributed by the employer to the plan for this plan year | 12c | | | |
| d | Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount) | 12d | | | |
| е | Will the minimum funding amount reported on line 12d be met by the funding deadline? | | Yes | No | N/A |
| Part | VII Plan Terminations and Transfers of Assets | | | | |
| 13a | Has a resolution to terminate the plan been adopted in any plan year? | | Yes | × N | o |
| | If "Yes," enter the amount of any plan assets that reverted to the employer this year | 13a | | | |
| b | | Yes X | No | | |
| С | If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.) | to | | | |
| 1 | 3c(1) Name of plan(s): 13c(2) | EIN(s) | | 13c(3) | PN(s) |
| | | | | | |

Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

> Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to Public Inspection

| Part I | | i Identification Information | | | | | | | | | |
|--|------------------------------|--|---|--|---------------------------------|--|--|--|--|--|--|
| For calenda | ar plan year 2018 or f | iscal plan year beginning | 01/01/2018 | and ending | 12/31 | /2018 | | | | | |
| A This ret | urn/report is for: | X a single-employer plan | | olan (not multiemployer) (F mployer information in acc | | | | | | | |
| B This rote | urn/ranart ia | a one-participant plan | a foreign plan | | | | | | | | |
| B This return/report is | | | | | | | | | | | |
| | | an amended return/report | a short plan year return/report (less than 12 months) | | | | | | | | |
| C Chack i | pox if filing under: | | п | г | 7 55.45 | | | | | | |
| O Check i | oox ii iiiiiig uildei. | Form 5558 | automatic extension | L | DFVC prog | jram | | | | | |
| | | special extension (enter desc | | | | | | | | | |
| Part II | | ormation—enter all requested in | nformation | | | | | | | | |
| 1a Name OB-G | 1 | s of Spokane, P.S. 40 | 01(k) Profit Sha | ring Plan | 1b Three-d plan nu (PN) ▶ | mber | | | | | |
| | | | | | 1c Effective | e date of plan 1/1997 | | | | | |
| | | oyer, if for a single-employer plan) | | | 2b Employ | er Identification Number | | | | | |
| | | om, apt., suite no. and street, or P. ce, country, and ZIP or foreign pos | | tructions) | | 1-1118176 | | | | | |
| - | | S OF SPOKANE, P.S. | stal code (il loreign, see ins | tructions) | • | r's telephone number | | | | | |
| 02,0 | | , 01 01011111, 1.0. | | _ | | 155-8866 | | | | | |
| 601 | W. 5TH AVENU | E, SUITE 301 | | and the state of t | 2d Busines | s code (see instructions) | | | | | |
| SPOK | | WA 992 | | | 62111 | | | | | | |
| 3a Plan administrator's name and address ☐ Same as Plan Sponsor. OB/GYN ASSOCIATES OF SPOKANE, P.S. | | | | 3b Administrator's EIN 91-1118176 | | | | | | | |
| | | | | | | 3c Administrator's telephone number | | | | | |
| 601 | 601 W. 5TH AVENUE, SUITE 301 | | | | | | | | | | |
| SPOK | ANE | WA 99204 | | | 509-4 | 55-8866 | | | | | |
| 4 If the r | name and/or EIN of th | e plan sponsor or the plan name h | nas changed since the last | return/report filed for | 4b EIN | | | | | | |
| this pl | an, enter the plan spo | onsor's name, EIN, the plan name | | | | | | | | | |
| a Spons | | | | 4d PN | | | | | | | |
| C Plan N | ame | | | | | | | | | | |
| Eq. Total | hoz of nowlabout | | | | 5a | 41 | | | | | |
| | | s at the beginning of the plan year | | Г | 5b | 39 | | | | | |
| | · · · | s at the end of the plan year account balances as of the end of | | - | 30 | 3 9 | | | | | |
| | • • | | | - | 5c | 39 | | | | | |
| | | articipants at the beginning of the p | | | 5d(1) | 31 | | | | | |
| | | articipants at the end of the plan ye | - | Г | 5d(2) | 31 | | | | | |
| ` ' | · · | terminated employment during th | | - | 5e | | | | | | |
| than 100% vested | | | | | | | | | | | |
| | | | | | | | | | | | |
| Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete. | | | | | | | | | | | |
| SIGN | Z. Xola | n Messin | 21111a | Steven Richard | | · ———————————————————————————————————— | | | | | |
| HERE | Signature of plan a | | Date | Enter name of individu | ıal signing as | plan administrator | | | | | |
| SIGN | | | | | 2.g ig uo | | | | | | |
| HERE | Clamature of accord | | Dat- | Futor name £ to dt 10 | | | | | | | |
| | Signature of emplo | yenpian sponsor | Date | ⊥ ∟nter name of individu | iai signing as | employer or plan sponsor | | | | | |

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|-----|---|--------|
| າລເ | 2 | • |
| | | |

| | Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.) | | | | | | | No No | |
|----------|---|------------|--------------------------|---------|----------|---------|-----------------|--------------|-------|
| С | If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500. | | | | | | | ☐ Not determ | nined |
| Pa | rt III Financial Information | | | | | | | | |
| 7 | Plan Assets and Liabilities | | (a) Beginning | of Year | . T | | (b) End | d of Year | |
| a | Total plan assets | . 7a | | 479, | | | | 5,205 | ,145 |
| | | . 7b | | | | | | | |
| С | Net plan assets (subtract line 7b from line 7a) | . 7c | 6, | 479, | 824 | | | 5,205 | ,145 |
| 8 | Income, Expenses, and Transfers for this Plan Year | | (a) Amour | nt | | | (b) | Total | |
| a | | . 8a(1) | | 225, | 233 | | | | |
| | (2) Participants | 8a(2) | | 142, | 511 | | | | |
| | (3) Others (including rollovers) | 8a(3) | | | | | | | |
| <u>b</u> | Other income (loss) | 8b | | 260, | 631 | | | | |
| <u> </u> | Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) | 8c | | | | | | 107 | ,113 |
| d | Benefits paid (including direct rollovers and insurance premiums to provide benefits) | 8d | 1, | 365, | 800 | | | | |
| <u>e</u> | Certain deemed and/or corrective distributions (see instructions) \dots | 8e | | | _ | | | | |
| <u>f</u> | Administrative service providers (salaries, fees, commissions) | 8f | | 16, | 784 | | | | |
| <u>g</u> | Other expenses | 8g | | | | | | | |
| <u>h</u> | Total expenses (add lines 8d, 8e, 8f, and 8g) | 8h | | | | | | 1,381 | · |
| | Net income (loss) (subtract line 8h from line 8c) | | | | | | | -1,274 | ,679 |
| <u>j</u> | j Transfers to (from) the plan (see instructions) | | | | | | | | |
| | rt IV Plan Characteristics | | | | | | | | |
| 9a | 9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2F 2G 2J 2K 2R 2T 3D | | | | | | | | |
| b | If the plan provides welfare benefits, enter the applicable welfare for | eature cod | les from the List of Pla | n Chara | acterist | tic Cod | les in the inst | ructions: | |
| Par | t V Compliance Questions | | | | | | | | |
| 10 | During the plan year: | | | | Yes | No | | Amount | |
| а | Was there a failure to transmit to the plan any participant contribu described in 29 CFR 2510.3-102? (See instructions and DOL's V Program) | oluntary F | iduciary Correction | 10a | | х | | | |
| b | Were there any nonexempt transactions with any party-in-interest reported on line 10a.) | t? (Do not | include transactions | 10b | | Х | | | |
| С | Was the plan covered by a fidelity bond? | | | 10c | Х | | | 500 | ,000 |
| d | Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? | | | 10d | | Х | | | |
| е | Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.) | | | 10e | Х | | | | 968 |
| f | Has the plan failed to provide any benefit when due under the plan? | | | 10f | | Х | | | |
| g | | | · | 10g | Х | | | 6 | ,908 |
| h | If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) | | | 10h | | х | | | |
| i | If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10 | | | 10i | | | | | |
| | | | | | | | | | |