## **Form 5500-SF**

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

## Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to Public Inspection

Part I		: Identification Information	l .					
For calend	lar plan year 2018 or fi	iscal plan year beginning 01/01/2	2018	and ending 1	2/31/2018			
A This re	turn/report is for:	X a single-employer plan	a multiple-employer plan (not multiemployer) (Filers checking this box must attach list of participating employer information in accordance with the form instructions.					
		a one-participant plan	a foreign plan					
<b>b</b> This ret	urn/report is	the first return/report	the final return/repor					
		an amended return/report	a short plan year ret	urn/report (less than 12 m	nonths)			
C Check	box if filing under:	Form 5558	automatic extension	n	DFVC progra	ım		
		special extension (enter desc	· /					
Part II	Basic Plan Info	ormation—enter all requested in	formation					
1a Name of plan ELGOT SALES CORPORATION PROFIT SHARING 401(K) SAVINGS PLAN					<b>1b</b> Three-dig plan numl (PN) ▶			
					1c Effective date of plan 01/01/1988			
		oyer, if for a single-employer plan)			2b Employer Identification Number			
	` `	om, apt., suite no. and street, or P.C ce, country, and ZIP or foreign post	,	structions)	(EIN) 13-1539263			
ELGOT SAL		, , , , , , , , , , , , , , , , , , ,		,	<b>2c</b> Sponsor's telephone number 212-879-1200			
					2d Business code (see instructions)			
1296 THIRD NEW YORK					443141			
	,							
3a Plan a	administrator's name a	nd address 🛛 Same as Plan Spo	nsor.		<b>3b</b> Administrator's EIN			
					3c Administra	ator's telephone number		
					JC Administra	ator a telephone number		
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report.				4b EIN				
	sor's name	, , , , , , , , , , , , , , , , , , ,			4d PN			
C Plan N	Name							
5a Total number of participants at the beginning of the plan year					5a	14		
<b>b</b> Total number of participants at the end of the plan year					5b	15		
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item).			5c	14				
d(1) Total number of active participants at the beginning of the plan year					5d(1)			
d(2) Total number of active participants at the end of the plan year					5d(2)	8		
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested					5e	0		
Caution:	A penalty for the late	or incomplete filing of this retur	n/report will be assesse	ed unless reasonable ca	use is establish	ed.		
SB or Scho		ther penalties set forth in the instru and signed by an enrolled actuary, a aplete.						
SIGN	Filed with authorized	d/valid electronic signature.	02/20/2019	ELLEN ELIAS				
HERE	Signature of plan a	administrator	Date	Enter name of individ	dual signing as pla	an administrator		
SIGN								
HERE	Signature of emplo	ignature of employer/plan sponsor Date Enter name of individual signing as em						

Form 5500-SF (2018) Page **2** 

under 28 CFR 2620.104-467 (See instructions on water lightility and conditions.)  If you answered "No" to either line 6s or line 6, the plan cannot use Form 5000-SF and must instead use Form 5500.  If the plan is a defined banefit plan, is it covered under the PBCC insurance program (see ERISA section 4021)?		<ul> <li>Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)</li> <li>Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA)</li> </ul>							<u> </u>	No	
C If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)?		under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)							× Yes	No	
If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year	c								□ Not dete	rmined	
Part III Financial Information 7 Plan Assets and Liabilities 7a (a) Beginning of Year (b) End of Year a Total plan assets 5000000000000000000000000000000000000	•						_		_		
7 Plan Assets and Liabilities	Pa		<u> </u>								
a Total plan assets				(a) Beginning	of Year			(b) En	d of Year		
C Net plan assets (subtract line 7b from line 7a)	а		7a								
C Net plan assets (subtract line 7b from line 7a)	b	Total plan liabilities	7b								
8 Income, Expenses, and Transfers for this Plan Year a Contributions received or receivable from: (1) Employers (2) Participants			7c	8	898080			776026			
(1) Employers				(a) Amoun	nt		(b) Total				
(3) Others (including rollovers)	а		8a(1)	, ,				· ,			
b Other income (loss)		(2) Participants	8a(2)	:	24413						
c Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)		(3) Others (including rollovers)	8a(3)								
d Benefits paid (including direct rollovers and insurance premiums to provide benefits)	b	Other income (loss)	8b		-43323						
to provide benefits)	C	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c				-18910				
f Administrative service providers (salaries, fees, commissions)	d		. 8d	1	103144						
g Other expenses (add lines 8d, 8e, 8f, and 8g)	e	Certain deemed and/or corrective distributions (see instructions) $\dots$	8e								
h Total expenses (add lines 8d, 8e, 8f, and 8g)	f	Administrative service providers (salaries, fees, commissions)	8f								
i Net income (loss) (subtract line 8h from line 8c)	g	Other expenses	8g								
Transfers to (from) the plan (see instructions)	<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h				103144				
Part IV Plan Characteristics  9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:  2E 2F 2G 2J 2K 2T 3D  b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:  Part V Compliance Questions  10 During the plan year:  a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i						-122054		
9a	<u>j</u>	Transfers to (from) the plan (see instructions)	8j								
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:    Part V   Compliance Questions	Pai	t IV Plan Characteristics									
Part V Compliance Questions  10 During the plan year: Yes No Amount  a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	9a		feature co	odes from the List of Pl	an Cha	racteri	stic Co	odes in the in	structions:		
10 During the plan year:  a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	les from the List of Pla	n Chara	acteris	tic Co	des in the inst	ructions:		
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	Par	t V Compliance Questions									
described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10	During the plan year:				Yes	No		Amount		
b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	а	described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction			10a		X				
C Was the plan covered by a fidelity bond?	b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions									
d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?  • Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)  • The sthe plan failed to provide any benefit when due under the plan?  • The sthe plan have any participant loans? (If "Yes," enter amount as of year-end.)  • The sthe plan have any participant loans? (If "Yes," enter amount as of year-end.)  • The state of the plan have any participant loans? (See instructions and 29 CFR 2520.101-3.)  • The state of the plan have any participant loans? (See instructions and 29 CFR 2520.101-3.)  • The state of the plan have any participant loans? (See instructions and 29 CFR 2520.101-3.)		· · · · · · · · · · · · · · · · · · ·			100	X			500	00	
e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)		<b>d</b> Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused					X		300	<del>50</del>	
g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)	е	<b>e</b> Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under				X				2	
h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	f	f Has the plan failed to provide any benefit when due under the plan?			10f		X				
2520.101-3.)	g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g	Χ			795	78	
· · · · · · · · · · · · · · · · · · ·	h	· · · · · · · · · · · · · · · · · · ·			10h		X				
	i	·	•		10i						

Form 5500-SF (2018)	Page <b>3-</b> 1
---------------------	------------------

Part	VI Pension Funding Compliance						
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sc (Form 5500) and line 11a below)	В	Yes 🛚 N	Ю			
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a					
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?		f	Yes 🛛 N	Ю		
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)						
<b>a</b> If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of granting the waiver							
lf y	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.						
b	Enter the minimum required contribution for this plan year	12b					
С	Enter the amount contributed by the employer to the plan for this plan year	12c					
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)							
e Will the minimum funding amount reported on line 12d be met by the funding deadline?				No N/A			
Part '	VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes X No				
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a					
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	) 		Yes X No			
<b>C</b> If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)							
13c(1) Name of plan(s): 13c(2				<b>13c(3)</b> PN(s)			