Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to Public Inspection

Part I		Identification Information				
For calend	dar plan year 2018 or fi	scal plan year beginning 01/01/2	2018	and ending 1	2/31/2018	
A This re	eturn/report is for:	X a single-employer plan		plan (not multiemployer) employer information in ac		
		a one-participant plan	a foreign plan			
b This ret	urn/report is	the first return/report	the final return/repor			
		an amended return/report	a short plan year ret	urn/report (less than 12 m	nonths)	
C Check	box if filing under:	Form 5558	automatic extension	1	DFVC progra	m
	T =	special extension (enter desc	• •			
Part II	Basic Plan Info	ormation—enter all requested in	formation		Г	
1a Name EIFERT, FR	•	INC. AMENDED & RESTATED PR	ROFIT SHARING PLAN		1b Three-digi plan numb (PN) ▶	
					1c Effective of	date of plan 01/01/1976
		oyer, if for a single-employer plan)	2. Royl			Identification Number
		m, apt., suite no. and street, or P.C e, country, and ZIP or foreign pos		structions)	(EIN)	13-0662070
-	ENCH & COMPANY, I		, ,	,		telephone number 14-738-4011
					2d Business	code (see instructions)
330 FIFTH A	AVENUE Y 10803-1204					524210
	1 10000 1201					
3a Plan a	administrator's name a	nd address 🛛 Same as Plan Spo	nsor.		3b Administra	ator's EIN
					3c Administra	ator's telephone number
					JC Administra	itor s telephone number
		e plan sponsor or the plan name h			4b EIN	
	sor's name	risor s name, Env, the plan name of	and the plan number nom	the last return/report.	4d PN	
C Plan N	Name					
					F-	
		at the beginning of the plan year.			. 5a	53
		at the end of the plan year			. 5b	51
		account balances as of the end of		•	. 5c	51
d(1) Tot	tal number of active pa	rticipants at the beginning of the p	lan year		5d(1)	53
		articipants at the end of the plan ye			5d(2)	51
		terminated employment during th			. 5e	3
Caution: /	A penalty for the late	or incomplete filing of this retur	n/report will be assesse	d unless reasonable ca		
SB or Sch		her penalties set forth in the instru nd signed by an enrolled actuary, a plete				
SIGN		/valid electronic signature.	02/22/2019	ROBERT G. EIFERT		
HERE	Signature of plan a	administrator	Date	Enter name of individ	dual signing as pla	an administrator
SIGN						
HERE	Signature of emplo	over/plan sponsor	Date	Enter name of individ	dual signing as em	nplover or plan sponsor

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6a	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)						X	es No	
b	Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)							X	′es ∏ No
	If you answered "No" to either line 6a or line 6b, the plan cann		,					🖺 '	C3 140
С	If the plan is a defined benefit plan, is it covered under the PBGC in							Not c	letermined
	If "Yes" is checked, enter the My PAA confirmation number from th	ie PBGC p	premium filing for this p	lan yea	r		. <u> </u>	(See ins	structions.)
Pa	rt III Financial Information								
7	Plan Assets and Liabilities		(a) Beginning	of Year			(b) Fr	nd of Year	
<u>.</u>	Total plan assets	7a		66684			(5) Li	1265747	70
b	Total plan liabilities	7b							
С	Net plan assets (subtract line 7b from line 7a)	7c	1150	66684				1265747	70
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	nt			(b) Total	
а	Contributions received or receivable from:		, ,				`		
	(1) Employers	8a(1)	59	90902					
	(2) Participants	8a(2)							
	(3) Others (including rollovers)	8a(3)	_						
	Other income (loss)	8b	93	34598					
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						152550	00
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	4:	34714					
е	Certain deemed and/or corrective distributions (see instructions) \dots	8e							
f	Administrative service providers (salaries, fees, commissions)	8f							
g	Other expenses	8g							
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					434714		
i_	Net income (loss) (subtract line 8h from line 8c)	8i						109078	36
<u>j</u>	Transfers to (from) the plan (see instructions)	8j							
Pa	t IV Plan Characteristics								
9a	If the plan provides pension benefits, enter the applicable pension 2E	feature co	odes from the List of Plant	an Cha	racteri	stic Co	odes in the ir	nstructions:	
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	des from the List of Pla	n Chara	acteris	tic Co	des in the ins	structions:	
Par	t V Compliance Questions								
10	During the plan year:				Yes	No		Amount	
а	Was there a failure to transmit to the plan any participant contribu								
	described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)			10a		X			
b				100					
	reported on line 10a.)	·····		10b		X			
				10c	X			50	00000
	Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty?	-		10d		X			
е	Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides som								
	the plan? (See instructions.)			10e		X			
f	Has the plan failed to provide any benefit when due under the pla	n?		10f		X			
9	Did the plan have any participant loans? (If "Yes," enter amount a	s of year-	end.)	10g		X			
h	If this is an individual account plan, was there a blackout period? 2520.101-3.)	•		10h		X			
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10	he require	d notice or one of the	10i					
	5.05 planta to promaing the hotios applied under 20 of it 2020.10	. •							

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Part	VI Pension Funding Compliance				
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sche (Form 5500) and line 11a below)		В	Y	es No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a			
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?		:	Y	es X No
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)				
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and granting the waiver	d enter t Day		of the lette Year _	r ruling
lf :	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.				
b	Enter the minimum required contribution for this plan year	12b			
С	Enter the amount contributed by the employer to the plan for this plan year	12c			
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d			
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No	N/A
Part	VII Plan Terminations and Transfers of Assets				
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	× N	o
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a			
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			Yes X	No
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)	to			
1	3c(1) Name of plan(s): 13c(2)	EIN(s)		13c(3)	PN(s)

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Short Form Annual Return/Report of Small Employee Benefit Plan

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OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to Public Inspection

	Benefit Guaranty Corporation	Complete all entries in	accordance with the	instructions to the Form	5500 CE	Public Inspection
Part	I Annual Repor		<u>1</u>	med donoted the Folia	1 3300-SF.	
1 or car	iendai pian year 2018 or	fiscal plan year beginning	01/01/2018	and ending	12/	31/2018
A This	s return/report is for:	X a single-employer plan	not or participating	er plan (not multiemploye g employer information in	r) (Filers chec	king this box must attach a with the form instructions.)
B This	return/report is	a one-participant plan the first return/report	a foreign plan			,
		an amended return/report	the final return/rep	ort eturn/report (less than 12	months)	
C Che	eck box if filing under:	Form 5558	automatic extension		DFVC p	rogram
Part I	II Rasic Plan Info	special extension (enter descr	iption)			
	me of plan	ormation—enter all requested inf	ormation			
Ei Pl	fert, French & an	Company, Inc. Amended	d & Restated P	rofit Sharing	1b Thre plan (PN)	number
22 Dia					1c Effec	tive date of plan 01/1976
City	or town, state or provinc	yer, if for a single-employer plan) m, apt., suite no. and street, or P.O. e, country, and ZIP or foreign posta	. Box)	Petructions)	2b Empl	oyer Identification Number 13-0662070
200 320 3	zere, rrenen a	Company, Inc.	in toroign, dee ii	ion denotis)	2c Spon 914	sor's telephone number -738-4011
330	O Fifth Avenue					ess code (see instructions)
Pel	lham	NY 10803-1	204			
3a Plan	administrator's name an	d address X Same as Plan Spons	sor.		5242	210 sistrator's EIN
					3c Admin	istrator's telephone number
		plan sponsor or the plan name has sor's name, EIN, the plan name and	changed since the last	return/report filed for	4b EIN	
c Plan				and received in the port.	4d PN	
5a Total	number of participants	A AL				
b Total	number of participants a	t the beginning of the plan year			5a	5.3
	and or paraorparies with at	t the end of the plan yearcount balances as of the end of the	a plan upon / a li di di	d contribution plans	5b 5c	51
	,	cipants at the beginning of the plan		1		51
W(=) 100	rai number of active partic	Cipants at the end of the plan year		1	5d(1)	53
than	100% vested	iminated employment during the pl	lan year with accrued b	enefits that were less	5d(2) 5e	51
Caution: A	A penalty for the late or	incomplete filing of this act.			1	
SB or Sche belief, it is t	alties of perjury and other edule MB completed and true, correct, and comple	r penalties set forth in the instruction signed by an enrolled actuary, as wete.	ns, I declare that I have vell as the electronic ve	e examined this return/report, rsion of this return/report,	se is established, including, and to the be	if applicable, a Schedule est of my knowledge and
SIGN HERE	Kofur	55LL	2/22/19	Robert G. Eife		
	Signature of plan adm	6 10	Date	Enter name of individu	al signing as	olan administrator
SIGN HERE	Signature of employer	rolan spanson	+ , , ,	Martha E. Hagw	ood	
For Paperwo	ork Reduction Act Notice, s	riplan sponsor <i>()</i> see the Instructions for Form 5500-SF.	Date 2 /21/19	Enter name of individua	al signing as	employer or plan sponsor

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b	Were all of the plan's assets during the plan year invested in eligible. Are you claiming a waiver of the annual examination and report of under 29 CFR 2520.104-46? (See instructions on waiver eligibility If you answered "No" to either line 6a or line 6b, the plan cannot be plan is a defined benefit plan, is it covered under the PBGC in	an indepe and condi not use Fo nsurance p	endent qualified public tions.) orm 5500-SF and mu orogram (see ERISA s	accoun st inste	tant (le ad us 4021)?	QPA) e Forn	n 5500.	🏻 Yes 🗌 No
	If "Yes" is checked, enter the My PAA confirmation number from the	ne PBGC p	oremium filing for this	plan yea	ar			(See instructions.)
Pa	rt III Financial Information							
	Plan Assets and Liabilities		(a) Beginning				(b) En	d of Year
	Total plan assets	. 7a	11	,566,	684			12,657,47
	Total plan liabilities	7b						
<u>c</u>	Net plan assets (subtract line 7b from line 7a)	7c	11	,566,	684			12,657,47
8	Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from:		(a) Amou	nt			(b)	Total
a	(1) Employers	8a(1)		590,	902			
	(2) Participants	<u> </u>						
	(3) Others (including rollovers)							
b	Other income (loss)	1		934,	598			
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c		*				1,525,500
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		434,	714			
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	8e						
f	Administrative service providers (salaries, fees, commissions)	8f						
g	Other expenses	8g						
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						434,714
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i						1,090,786
<u>j</u>	Transfers to (from) the plan (see instructions)	8j						
Pai	t IV Plan Characteristics							
9a 	If the plan provides pension benefits, enter the applicable pension $2\mathrm{E}$	feature co	des from the List of P	lan Cha	racteri	stic Co	odes in the in	structions:
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	es from the List of Pla	n Chara	acteris	tic Cod	les in the ins	tructions:
Par	V Compliance Questions							
10	During the plan year:				Yes	No		Amount
а	Was there a failure to transmit to the plan any participant contribut described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)	oluntary F	iduciary Correction	10a		Х		
b	Were there any nonexempt transactions with any party-in-interest reported on line 10a.)	? (Do not i	include transactions	10b		Х		
С	Was the plan covered by a fidelity bond?			10c	Х			500,000
d	Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty?			10d		Х		
е	Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides som the plan? (See instructions.)	er persons	s by an insurance the benefits under	10e		Х		
f	Has the plan failed to provide any benefit when due under the plan			10f		Х		
g	Did the plan have any participant loans? (If "Yes," enter amount as	s of year-e	nd.)	10g		Х		
h	If this is an individual account plan, was there a blackout period? (2520.101-3.)	See instru	ctions and 29 CFR	10g 10h		Х	:	
					- 1			