Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to Public Inspection

Part I	Annual Report	Identification Information	<u>n</u>							
For calend	lar plan year 2018 or fi	scal plan year beginning 01/01/2	/2018		and ending 12	2/31/2018				
A This re	a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)									
		a one-participant plan		eign plan	.,			,		
B This ret	urn/report is	the first return/report	X the final return/report							
		/report (less than 12 m	nonths)							
C Check	box if filing under:	Form 5558	auto	matic extension		DFVC p	rogram			
	special extension (enter description)									
Part II	Basic Plan Info	rmation—enter all requested in	nformation							
1a Name	of plan			AN AND TRUCT		1b Three	e-digit number			
THE WERID	JIAN EAR, NOSE & TE	HROAT CLINIC, P.A. PROFIT SHA	ARING PL	AN AND TRUST		(PN)	>	001		
						1c Effec	tive date of 01/02	f plan 2/1972		
		yer, if for a single-employer plan)				2b Empl	oyer Identif	fication Number		
		m, apt., suite no. and street, or P.C e, country, and ZIP or foreign post		foreign, see instru	uctions)	(EIN) 64-0511775				
-	EAR NOSE AND THRO				,	2c Sponsor's telephone number 601-483-9358				
						2d Business code (see instructions)				
7345 SAVAN MARION, M	NNAH DRIVE S 39342					621111				
3a Plan a	administrator's name ar	nd address 🛛 Same as Plan Spo	onsor.			3b Admi	nistrator's l	ΞIN		
						3c Administrator's telephone number				
								·		
4 If the	name and/or EIN of the	e plan sponsor or the plan name h	has change	ed since the last re	turn/report filed for	4b EIN				
		nsor's name, EIN, the plan name a	and the pla	an number from th	e last return/report.	4d PN				
•	a Sponsor's name C Plan Name									
						_				
_		at the beginning of the plan year.				5a 5b		1		
		at the end of the plan year						0		
comp	C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)									
d(1) Total number of active participants at the beginning of the plan year						5d(1)		1		
d(2) Total number of active participants at the end of the plan year						5d(2)		0		
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested										
		or incomplete filing of this retur								
Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.										
SIGN	Filed with authorized	/valid electronic signature.	02	2/27/2019	JOSEPH T BALZLI					
HERE	Signature of plan a	dministrator		Date	Enter name of individual signing as plan administrator					
SIGN										
HERE	Signature of emplo	yer/plan sponsor		Date	Enter name of individ	ual signing a	as employe	r or plan sponsor		

Form 5500-SF (2018) Page **2**

6a	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)						X Yes No		
b	Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA)							No	
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)								
С	If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No Not determined								
	If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year (See instructions.)								
Pa	rt III Financial Information								
7	Plan Assets and Liabilities		(a) Beginning (of Year			(b) End	of Year	
a	Total plan assets	7a		271007			0		
b	14								
С	Net plan assets (subtract line 7b from line 7a)	7c	3271007			0			
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	(a) Amount		(b) Total			
a	Contributions received or receivable from: (1) Employers								
	(2) Participants	8a(2)							
	(3) Others (including rollovers)	8a(3)							
	Other income (loss)	8b							
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	327	71007					
е	Certain deemed and/or corrective distributions (see instructions)	8e							
f	Administrative service providers (salaries, fees, commissions)	8f							
g									
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h				3271007			
i_	Net income (loss) (subtract line 8h from line 8c)	8i						-3271007	
j	j Transfers to (from) the plan (see instructions)								
Pai	rt IV Plan Characteristics								
9a	If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 2R 3D	feature co	odes from the List of Pla	an Cha	racteris	stic Cod	les in the inst	ructions:	
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	des from the List of Plan	n Chara	acterist	ic Code	s in the instr	uctions:	
Par	t V Compliance Questions								
10	During the plan year:				Yes	No		Amount	
а	Was there a failure to transmit to the plan any participant contribu described in 29 CFR 2510.3-102? (See instructions and DOL's V	oluntary F	iduciary Correction	10a		X			
b	Program) Were there any nonexempt transactions with any party-in-interest			iva		^			
	reported on line 10a.)					X			
С	c Was the plan covered by a fidelity bond?							500000	
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?					X			
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)					X			
f						X			
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)					X			
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)					Х			
i						Х			

Form 5500-SF (2018)	Page 3-

Part	VI Pension Funding Compliance				
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Scho (Form 5500) and line 11a below)			Yes	X No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a			
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?			Yes	X No
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)				
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and granting the waiver	d enter t Day		of the letter rulir _ Year	ng
lf :	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.				
b	Enter the minimum required contribution for this plan year	12b			
С	Enter the amount contributed by the employer to the plan for this plan year	12c			
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d			
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N	I/A
Part	VII Plan Terminations and Transfers of Assets				
13a	Has a resolution to terminate the plan been adopted in any plan year?		X Yes	No	
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a			(
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?		X Yes No		
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)	to			
1	13c(1) Name of plan(s): 13c(2)	EIN(s)	IN(s) 13c(3) PN(s)		

Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to Public Inspection

Par	t I Annual Report Id	dentification Info	rmation							
For ca	alendar plan year 2018 or fis	cal plan year beginnin	g 01/01/2	2018	and ending	12/31/20	18			
A T	his return/report is for:	X a single-employe	er plan 🔲 a mu	ltiple-employer plan (no	plan (not multiemployer) (Filers checking this box must attach a list					
			_ of pa	rticipating employer info	ormation in accorda	nce with the form instru	ıctions.)			
		a one-participant	t plan 🔲 a for	eign plan						
B T	nis return/report is	the first return/re	eport 🔀 the f	inal return/report						
_		an amended retu	urn/report 🔲 a sho	ort plan year return/re	eport (less than 1:	2 months)				
C C	heck box if filing under:	Form 5558	auto	matic extension		DFVC progra	m			
		special extension	n (enter description)							
Par	t II Basic Plan Inforr	nation - enter all re	quested information							
1a N	ame of plan				1b Three-d					
THE	MERIDIAN EAR,	NOSE & THR	COAT CLINIC	P.A.	plan nu	mber (PN)	001			
PRO	FIT SHARING PL	AN AND TRUS	T		1c Effectiv	e date of plan				
					0	1/02/1972				
2a P	an sponsor's name (employe	er, if for a single-emplo	yer plan)		2b Employ	er Identification Num	ber (EIN)			
M Ci	ailing address (include room ty or town, state or province	, apt., suite no. and st L. country, and ZIP or f	reet, or P.O. Box) foreign postal code (i	f foreign see instr.)	64-0511775					
	ty or town, state or province IDIAN EAR NOSE		'CLINIC, P.	A.		2c Sponsor's telephone number				
734	5 SAVANNAH DRI	VE			601-483	-9358				
					2d Busines	s code (see instructi	ons)			
<u>MAR</u>		MS 39			6	21111				
3a Pl	an administrator's name and	l address 🛚 🗓 Same a	as Plan Sponsor.		3b Adminis	trator's EIN				
						···········				
					3c Adminis	trator's telephone nu	ımber			
	W. W									
4 If th	ne name and/or EIN of the pl	an sponsor or the plar	n name has changed	since the last	4b EIN					
	ırn/report filed for this plan,	•	r's name, EIN, the pla	an name and the						
pla	n number from the last return	n/report.								
a s	ponsor's name				4d PN					
C P	lan Name									
-										
	otal number of participants a						1			
	otal number of participants a				5b		0_			
	umber of participants with a		-							
	ontribution plans complete the									
	Total number of active pa						1			
d (2)	•				5d(2)		0			
	umber of participants who to	· · ·	t during the plan yea	r with accrued	-					
	enefits that were less than 1		this ast we have at		5e					
	on: A penalty for the late or penalties of periury and other									
Schedi ny kno	penalties of perjury and othe ule SB or Schedule MB com wledge and belief, it is true,	pleted and signed by a correct, and complete	an enrolled actuary,	as well as the electro	nic version of this	s return/report, and t	o the best of			
SIGN	1/1hmm	Balla	2/27/19	T. Thin	ns 1721	(2): M)				
HERE	Signature of plan adminis	trator	Date	Enter name of ind	lividual signing as	plan administrator				
	•				3 3					
SIGN										
HERE	Signature of employer/pla	an sponsor	Date	Enter name of ind	ividual signing as	employer or plan sp	onsor			

For Paperwork Reduction Act Notice, see the Instructions for Form 5500-SF.

Form 5500-SF (2018)

v. 171027