Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to Public Inspection

Part I	Annual Report	dentification information	1									
For calenda	r plan year 2018 or fis	cal plan year beginning 01/01/2	2018		and ending 12	2/31/2	018					
A This retu	ırn/report is for:	X a single-employer plan			in (not multiemployer) (ployer information in ac		-					
	·	a one-participant plan		foreign plan								
B This retur	rn/report is	the first return/report	the	final return/report								
		an amended return/report	a s	hort plan year return	/report (less than 12 m	onths))					
C Check b	ox if filing under:	Form 5558	au	tomatic extension		DF	FVC program					
		special extension (enter desc	ription)									
Part II	Basic Plan Info	rmation—enter all requested in	formation	on								
1a Name o		,				1h	Three-digit					
	AW GROUP, PLLC 40	01(K) PLAN					plan number (PN)	001				
						1c	Effective date of	f plan				
20 Diam		'((O.L.		1/2016				
Mailing	address (include roon	ver, if for a single-employer plan) n, apt., suite no. and street, or P.C				20	Employer Identification (EIN) 47-19	fication Number 956351				
		e, country, and ZIP or foreign post	tal code	(if foreign, see instru	uctions)	20	Sponsor's telep	hono numbor				
ISSAQUAH L	AW GROUP, PLLC					20	425-313					
440 NEWDOE	T MANA NAME OF HITE OF					2d	Business code ((see instructions)				
410 NEWPORT WAY NW, SUITE C ISSAQUAH, WA 98027-3116							5411	10				
3a Plan ad	ministrator's name an	d address 🛛 Same as Plan Spo	nsor.			3b	Administrator's I	EIN				
						3c Administrator's telephone number						
						30	Administrator S	elephone number				
		plan sponsor or the plan name hasor's name, EIN, the plan name a				4b	EIN					
a Sponso					·	4d PN						
C Plan Na	ame											
5a Total n	umber of participants	at the beginning of the plan year.				5	a	6				
_		at the end of the plan year				5	b	7				
		account balances as of the end of				5	С	7				
•	,	ticipants at the beginning of the p				5d	(1)	3				
d(2) Tota	I number of active par	ticipants at the end of the plan ye	ear			5d	(2)	7				
		terminated employment during the				5	е	0				
		or incomplete filing of this retur				use is	established.					
SB or Sched		ner penalties set forth in the instru d signed by an enrolled actuary, a lete.										
		valid electronic signature.		02/04/2019	A. TROY HUNTER							
HERE	Signature of plan ac	dministrator		Date	Enter name of individ	ual sig	gning as plan adr	ninistrator				
SIGN												
HERE	Signature of employ	yer/plan sponsor		Date	Enter name of individ	ual siç	gning as employe	er or plan sponsor				

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	Were all of the plan's assets during the plan year invested in eligib		,					X Yes	No
b	Are you claiming a waiver of the annual examination and report of under 29 CFR 2520.104-46? (See instructions on waiver eligibility							X Yes	No
	If you answered "No" to either line 6a or line 6b, the plan cann		•						ш
С	If the plan is a defined benefit plan, is it covered under the PBGC in	nsurance p	rogram (see ERISA se	ection 4	021)?		Yes No	Not dete	rmined
	If "Yes" is checked, enter the My PAA confirmation number from the	e PBGC p	remium filing for this pl	lan yea	r			(See instru	ctions.)
Pai	rt III Financial Information								
7	Plan Assets and Liabilities		(a) Beginning (of Year			(b) End	of Year	
а	Total plan assets	7a	1	18410				127918	
b	Total plan liabilities	7b		0				342	
С	Net plan assets (subtract line 7b from line 7a)	7c	11	18410				127576	
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	t			(b) ·	Γotal	
а	Contributions received or receivable from: (1) Employers	8a(1)	,	11845					
	(2) Participants	8a(2)		7350					
	(3) Others (including rollovers)	8a(3)		7000					
	Other income (loss)	8b		-4593					
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						14602	
	Benefits paid (including direct rollovers and insurance premiums	"							
	to provide benefits)	8d		2263					
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	8e							
f_	Administrative service providers (salaries, fees, commissions)	8f		3173	_				
	Other expenses	8g							
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						5436	
-	Net income (loss) (subtract line 8h from line 8c)	8i						9166	
	Transfers to (from) the plan (see instructions)	8j							
	t IV Plan Characteristics	f t	des from the Patrick Di	01		-1:- 0	ada a Sa Osa Saa		
9a	If the plan provides pension benefits, enter the applicable pension 2A 2E 2J 2K 2F 2G 3D 2T	reature co	ides from the List of Pi	an Cha	racteri	Stic Co	odes in the ins	tructions:	
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	les from the List of Plan	n Chara	acteris	tic Cod	des in the instr	uctions:	
Par	t V Compliance Questions								
10	During the plan year:				Yes	No	1	Amount	
	Was there a failure to transmit to the plan any participant contribu	itions withi	n the time period		100			Amount	
	described in 29 CFR 2510.3-102? (See instructions and DOL's V	oluntary F	iduciary Correction	40					
	Program)			10a		X			
	reported on line 10a.)	•		10b		X			
С	Was the plan covered by a fidelity bond?			10c	X			1000	00
d	Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty?	-		10d		X			
е	Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides som		,						
	the plan? (See instructions.)			10e		X			
f	Has the plan failed to provide any benefit when due under the pla	n?		10f		X			
<u>g</u>				10g	X			445	50
h	If this is an individual account plan, was there a blackout period? 2520.101-3.)	` 		10h		X			
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i					

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1 3111 3333 31 (2313)	· ago 🗸

Part	VI Pension Funding Compliance				
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sche (Form 5500) and line 11a below)		В	Y	es No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a			
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?		:	Y	es X No
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)				
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and granting the waiver	d enter t Day		of the lette Year _	r ruling
lf :	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.				
b	Enter the minimum required contribution for this plan year	12b			
С	Enter the amount contributed by the employer to the plan for this plan year	12c			
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d			
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No	N/A
Part '	VII Plan Terminations and Transfers of Assets				
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	× N	o
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a			
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			Yes X	No
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)	to			
1	3c(1) Name of plan(s): 13c(2)	EIN(s)		13c(3)	PN(s)

Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to Public Inspection

Part I	Annual Repor	t Identification Information	1		- 11	
For calend	ar plan year 2018 or	fiscal plan year beginning	01/01/2018	and ending	12/31/	2018
A This re	turn/report is for:	X a single-employer plan	a multiple-employer pl	lan (not multiemployer) (nployer information in ac	(Filers checking	this box must attach a
		a one-participant plan	a foreign plan			,
B This ret	urn/report is	the first return/report	the final return/report			
		an amended return/report	a short plan year retur	n/report (less than 12 m	onths)	
C Check	box if filing under:	Form 5558	automatic extension		DFVC progra	am
Dard II	Deeds Dieseles	special extension (enter desc				
Part II		ormation—enter all requested in	nformation			
1a Name Issa	•	up, PLLC 401(k) Plan			1b Three-dig plan num (PN) ▶	
					1c Effective 01/01,	
Mailing	address (include ro	oyer, if for a single-employer plan) om, apt., suite no. and street, or P.0	O. Box)			Identification Number
	town, state or provin Iquah Law Gro	ce, country, and ZIP or foreign pos up, PLLC	tal code (if foreign, see inst	ructions)	2c Sponsor's	s telephone number L3-1184
410	Newport Way	NW, Suite C				code (see instructions)
Issa	quah	WA 98027-	-3116		541110)
3a Plan a	3a Plan administrator's name and address ☒ Same as Plan Sponsor.			3b Administra		
					3c Administra	ator's telephone number
4 If the r	name and/or EIN of than, enter the plan sp	ne plan sponsor or the plan name h onsor's name, EIN, the plan name :	as changed since the last re and the plan number from the	eturn/report filed for he last return/report.	4b EIN	
	or's name		,		4d PN	
C Plan N	ame					
5a Total r	number of participants	s at the beginning of the plan year.			5a	6
		s at the end of the plan year		1	5b	7
C Numb	er of participants with	account balances as of the end of	the plan year (only defined	contribution plans	5c	7
		articipants at the beginning of the p			5d(1)	3
d(2) Tota	al number of active pa	articipants at the end of the plan ye	ar		5d(2)	7
than '	than 100% vested 5e					
Caution: A	penalty for the late	or incomplete filing of this retur	n/report will be assessed	unless reasonable cau	use is establish	ed.
SB or Sche	attles of perjury and o dule MB completed a rue_correct, and com	ther penalties set forth in the instru and signed by an enrolled actuary, aplete.	ctions, I declare that I have as well as the electronic ver	examined this return/report	port, including, if t, and to the bes	applicable, a Schedule t of my knowledge and
SIGN (They	toto	2/4/19	A. Troy Hunter	r	A.
HERE	Signature of plan	administrator	Date	Enter name of individu	ual signing as pl	an administrator
SIGN HERE						
	Signature of empl	oyer/plan sponsor	Date	Enter name of individu	ual signing as er	nployer or plan sponsor

_		
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b	Were all of the plan's assets during the plan year invested in eligib Are you claiming a waiver of the annual examination and report of under 29 CFR 2520.104-46? (See instructions on waiver eligibility If you answered "No" to either line 6a or line 6b, the plan cann If the plan is a defined benefit plan, is it covered under the PBGC ir If "Yes" is checked, enter the My PAA confirmation number from the	an indeper and conditi not use Fon nsurance p	dent qualified public a ons.) rm 5500-SF and mus rogram (see ERISA se	account t instead	ant (IC ad use 1021)?	QPA) Form 55 		es No
Par	rt III Financial Information			iui you				
7	Plan Assets and Liabilities	0.00	(1) 5					
a		70	(a) Beginning	or year 118,	-		(b) End of Year	127,918
_	Total plan assets	7a 7b		110,	0			342
	Net plan assets (subtract line 7b from line 7a)	7c		118,				127,576
	Income, Expenses, and Transfers for this Plan Year	70	(a) Amoun				/h\ Total	
	Contributions received or receivable from:		(a) Amoun		-	ea. 5 ()	(b) Total	
	(1) Employers	8a(1)		11,	\rightarrow			
-	(2) Participants	8a(2)		7,	350		11.000	
_	(3) Others (including rollovers)	8a(3)				i or its y	A September 1	
b_	Other income (loss)	8b		-4,	593	100		5,01
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c		3 v	-11			14,602
	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		2,	263			
е	Certain deemed and/or corrective distributions (see instructions)	8e				9 (4.4.7)	AND DUST	
f	Administrative service providers (salaries, fees, commissions)	8f		3,	173	11216		
g	Other expenses	8g				300		Ref (F
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h		Į.	484			5,436
L	Net income (loss) (subtract line 8h from line 8c)	8i	Description of the second	TE ST	-31			9,166
j	Transfers to (from) the plan (see instructions)	8j						117 217
Par	t IV Plan Characteristics							
9a 	If the plan provides pension benefits, enter the applicable pension 2A 2E 2J 2K 2F 2G 3D 2T	feature co	des from the List of PI	an Cha	racteri	stic Codes	s in the instructions:	
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	es from the List of Pla	n Chara	acteris	tic Codes	in the instructions:	
Par	t V Compliance Questions							
10	During the plan year:				Yes	No	Amount	
а	Was there a failure to transmit to the plan any participant contribut described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)	oluntary F	duciary Correction	10a		х		
b	Were there any nonexempt transactions with any party-in-interest reported on line 10a.)	? (Do not i	nclude transactions	10b		х		
С	Was the plan covered by a fidelity bond?			10c	Х			100,000
d	Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty?			10d		х		
е	Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides som the plan? (See instructions.)	ner persons	by an insurance the benefits under	10e		х		
f	Has the plan failed to provide any benefit when due under the pla	n?		10f		х		
g	Did the plan have any participant loans? (If "Yes," enter amount a	s of year-e	nd.)	10g	Х			44,550
h	If this is an individual account plan, was there a blackout period? 2520.101-3.)			10h		х		Jan 111
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i		į,		Siris

	Form 5500-SF (2018) Page 3 -					
Part	VI Pension Funding Compliance					
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sch (Form 5500) and line 11a below)	edule	SB	aan sa		Yes 🔲 N
11a 12	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a				Yes X N
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, an granting the waiver		er the	date of	the lett Year	_
If	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.					
b	Enter the minimum required contribution for this plan year	12b	,			
С	Enter the amount contributed by the employer to the plan for this plan year	120	:			
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	120	•			
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		1	es	No	N/A
Part	VII Plan Terminations and Transfers of Assets	-				
13a	Has a resolution to terminate the plan been adopted in any plan year?			Yes	X	No
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a				
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?				Yes	X No
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s which assets or liabilities were transferred.) to				

13c(2) EIN(s)

13c(3) PN(s)

13c(1) Name of plan(s):