## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

## Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to **Public Inspection** 

Parti	Allilual Nepol	t identification information							
For calend	ar plan year 2018 or	fiscal plan year beginning 01/01/2	2018	and ending 12	/31/2018				
A This return/report is for:  a single-employer plan  a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)									
D. Tri		a one-participant plan	a foreign plan						
<b>B</b> This ret	urn/report is	the first return/report	the final return/report						
		an amended return/report	a short plan year retur	n/report (less than 12 mo	onths)				
C Check	box if filing under:	Form 5558	automatic extension	[	DFVC progran	n			
		special extension (enter desc	ription)						
Part II	Basic Plan Inf	ormation—enter all requested in	formation						
1a Name	of plan	-			1b Three-digit				
		S, PSC 401(K) PROFIT SHARING	PLAN		plan numb				
					(PN) ▶	004			
					1c Effective da	ate of plan			
						07/01/1995			
2a Plan s	ponsor's name (emp	oyer, if for a single-employer plan)			<b>2b</b> Employer le	dentification Number			
Mailin	g address (include ro	om, apt., suite no. and street, or P.0				61-0957525			
		ice, country, and ZIP or foreign pos	tal code (if foreign, see inst	ructions)	2c Sponsor's	telenhone number			
DERMATOL	OGY CONSULTANT	S PSC			<b>2c</b> Sponsor's telephone number 859-278-9492				
					<b>2d</b> Business c	ode (see instructions)			
2424 HARR	ODSBURG RD								
SUITE 200	1.10/.40500					621111			
LEXINGTON	I, KY 40503								
3a Plan a	dministrator's name	and address 🛛 Same as Plan Spo	nsor.		<b>3b</b> Administrat	or's EIN			
		<u> </u>							
					<b>3c</b> Administrator's telephone number				
4 If the	name and/or FIN of t	ne plan sponsor or the plan name h	as changed since the last r	return/report filed for	<b>4b</b> EIN				
		onsor's name, EIN, the plan name a			TO LIN				
	sor's name	, , ,	'		4d PN				
C Plan N	Name								
<b>5a</b> Total	number of participant	s at the beginning of the plan year.			5a	35			
		s at the end of the plan year			5b	36			
		account balances as of the end of		-	5c	22			
•	,	articipants at the beginning of the p			5d(1)	27			
<b>d(2)</b> Tot	tal number of active p	articipants at the end of the plan ye	ar		5d(2)	31			
Number of participants who terminated employment during the plan year with accrued benefits that were less				5e	0				
		e or incomplete filing of this return other penalties set forth in the instru							
SB or Scho		and signed by an enrolled actuary,							
SIGN		d/valid electronic signature.	03/20/2019	ERIKA MUSIC, MD					
HERE	Signature of plan	administrator	Date	Enter name of individu	ıal signing as pla	n administrator			
SIGN									
HERE	Signature of emp	loyer/plan sponsor	Date	Enter name of individu	ıal signing as em	ployer or plan sponsor			

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	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)						. X Yes	No No		
b	Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)						. X Yes	з ∏ №		
	If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.								, П	
С	<b>c</b> If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? ☐ Yes ☐ No ☐ Not determined									
	If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year							(See instr	uctions.)	
Pa	rt III   Financial Information									
7	Plan Assets and Liabilities		(a) Beginning	of Year			(b) En	d of Year		
a	Total plan assets	7a	` , ,	78457			(2) =::	1477967		
	Total plan liabilities	7b								
С	Net plan assets (subtract line 7b from line 7a)	7c	14	78457				1477967		
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	nt			(b)	(b) Total		
а	Contributions received or receivable from:			40000						
-	(1) Employers	8a(1)		49328						
1	(2) Participants	8a(2)	10	06412						
	(3) Others (including rollovers)	8a(3)	1	02729						
	Other income (loss)	8b	-11	02729				53011		
d	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						33011		
	to provide benefits)	8d		38272						
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)									
f	Administrative service providers (salaries, fees, commissions)	8f		15229						
g	Other expenses	other expenses								
h	Total expenses (add lines 8d, 8e, 8f, and 8g)							53501		
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)							-490		
<u>j</u>	Transfers to (from) the plan (see instructions)	8j								
	Part IV Plan Characteristics									
9a	If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 3D	feature co	odes from the List of Pl	an Cha	racteri	stic Co	odes in the in	structions:		
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	des from the List of Pla	n Chara	acteris	tic Cod	des in the ins	tructions:		
	in the plant provides trouble solution, other the approache trouble to				2010110		200 0			
Par	t V Compliance Questions									
10	During the plan year:				Yes	No		Amount		
а	Was there a failure to transmit to the plan any participant contribudescribed in 29 CFR 2510.3-102? (See instructions and DOL's V									
	Program)	-	•	10a		X				
b	Were there any nonexempt transactions with any party-in-interest reported on line 10a.)	•		10b		X				
С	Was the plan covered by a fidelity bond?			10c	X			500	000	
d	Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty?			10d		X				
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		Х				
f	Has the plan failed to provide any benefit when due under the pla	ın?		10f		Χ				
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g	X			11	794	
h	If this is an individual account plan, was there a blackout period? 2520.101-3.)	· ·····		10h		Χ				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i						

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Part	VI Pension Funding Compliance							
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete (Form 5500) and line 11a below)			Yes 🛚 No				
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a						
12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA?								
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)							
а	<b>a</b> If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver							
lf y	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.							
b	Enter the minimum required contribution for this plan year	12b						
С	Enter the amount contributed by the employer to the plan for this plan year	12c						
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d						
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	□ No □ N/A				
Part '	VII Plan Terminations and Transfers of Assets							
13a	Has a resolution to terminate the plan been adopted in any plan year?		Ye	s 🔀 No				
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a						
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under control of the PBGC?	the		Yes X No				
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan which assets or liabilities were transferred. (See instructions.)	n(s) to						
1	<b>3c(1)</b> Name of plan(s):	(2) EIN(s)	)	<b>13c(3)</b> PN(s)				

## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

## Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

Revenue Code (the Code).

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to **Public Inspection** 

	t Identification Information									
For calendar plan year 2018 or	fiscal plan year beginning 01/01/201	18		and ending 12/3	31/2018					
A This return/report is for:	for:  a single-employer plan  ist of participating employer information in accordance with the form instructions.)									
D This solven have at is	a one-participant plan	af	foreign plan							
B This return/report is	the first return/report	님	final return/report							
C Observations of Observations	an amended return/report	_	•	n/report (less than 12 m	_					
C Check box if filing under:	Form 5558 special extension (enter descr		itomatic extension		∐ DFVC p	rogram				
Dort II Doois Blan Inf										
	ormation—enter all requested inf	iormatio	on		1h Thus	عاساله	<u></u>			
1a Name of plan DERMATOLOGY CONSULTANT	'S, PSC 401(K) PROFIT SHARING	PLAN			<b>1b</b> Three plan (PN)	number	004			
						tive date of 1/1995	f plan			
Mailing address (include roo	oyer, if for a single-employer plan) om, apt., suite no. and street, or P.C		(if foreign one inst	runtion a)		oyer Identit 61-095752	fication Number 25			
Dermatology Consultants PSC	ce, country, and ZIP or foreign post	iai code	(ii ibreign, see ilist	ructions)	2c Spon		hone number 278-9492			
2424 Harrodsburg Rd					2d Business code (see instructions) 621111					
Suite 200										
Lexington, KY 40503							***********			
3a Plan administrator's name and address 🗓 Same as Plan Sponsor.				3b Administrator's EIN						
					3c Administrator's telephone number					
	ne plan sponsor or the plan name ha				4b EIN					
a Sponsor's name c Plan Name		•	•	·	4d PN					
• Flatt Name										
5a Total number of participants	s at the beginning of the plan year				5a		35			
<b>b</b> Total number of participants	s at the end of the plan year		***************************************	***************************************	5b		36			
	account balances as of the end of				5c		22			
d(1) Total number of active participants at the beginning of the plan year					5d(1)		27			
d(2) Total number of active participants at the end of the plan year					5d(2)		31			
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested					5e		0			
	or incomplete filing of this return ther penalties set forth in the instruc						abla a Sabadula			
	and signed by an enrolled actuary, a									
sign Zw Ll	12-		3/20/19	ERIKA MUSIC, MD						
HERE Signature of plan	administrator		Date	Enter name of individ	ual signing a	as plan adn	ninistrator			
SIGN										

Date

HERE

20. 9-05-27107.48 41.577 (80.00)

Enter name of individual signing as employer or plan sponsor

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CULIII	2200-25	IZUIOI

_	Were all of the plan's assets during the plan year invested in eligib		•					X Yes	No
b	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)							X Yes	No
_	If you answered "No" to either line 6a or line 6b, the plan cann					_	_	□ N.4.4.4	
С	If the plan is a defined benefit plan, is it covered under the PBGC ir If "Yes" is checked, enter the My PAA confirmation number from the						_	Not determine	
	The sis checked, enter the My PAA continuation humber from the	е гвос р	remain ming for this p	ian yea	'			. (See instruction	3.)
Pa	rt III Financial Information	T							
_7	Plan Assets and Liabilities		(a) Beginning (				(b) End	of Year	
a	Total plan assets	7a		14784	57		*	1477967	
<u>b</u>	Total plan liabilities	7b							
C	Net plan assets (subtract line 7b from line 7a)	7с	<del></del>	14784	57			1477967	
_8_	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	t			(b) <sup>7</sup>	otal	7
a	Contributions received or receivable from: (1) Employers	8a(1)		4932	.8				di h
	(2) Participants	8a(2)		1064	2				
	(3) Others (including rollovers)	8a(3)			0				
b	Other income (loss)	8b		-10272		16.000			
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c			. 1 . 4 . 4			53011	
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		3827	'2		1.		
е	Certain deemed and/or corrective distributions (see instructions)	8e		0					
f	Administrative service providers (salaries, fees, commissions)	8f		1522	9				ļi ili il
g	Other expenses	8g							
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h	tente dische letter letter et en et en En en					53501	
i	Net income (loss) (subtract line 8h from line 8c)	8i						-490	
j	Transfers to (from) the plan (see instructions)	8i				·			74.2.
Pai	t IV Plan Characteristics								
9a	If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 3D	feature co	des from the List of Pla	an Cha	racteris	stic Co	des in the ins	ructions:	
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	es from the List of Pla	n Chara	cterist	ic Cod	es in the instr	uctions:	
Par	t V Compliance Questions		•						
10	During the plan year:				Yes	No		Amount	
а	Was there a failure to transmit to the plan any participant contribu described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)	/oluntary F	iduciary Correction	10a		х			
b		? (Do not i	nclude transactions	10b		Х			
С				10c	Х			500	0000
d	Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty?			10d		х			
е	Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides som the plan? (See instructions.)	ner persons ne or all of	s by an insurance the benefits under	10e		х			
f	Has the plan failed to provide any benefit when due under the pla	n?		10f		Х			
g	Did the plan have any participant loans? (If "Yes," enter amount a	s of year-e	nd.)	10g	Х			11	794
h	2520.101-3.)	`		10h		Х			
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i			· . · .		

Page	3-	1

Part	VI Pension Funding Compliance			_	
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sch (Form 5500) and line 11a below)			Yes	X No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a			
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?  (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)	n 302 o	f 	Yes	X No
	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and granting the waiver	d enter Day		of the letter ruli Year	ng
lf	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.				
	Enter the minimum required contribution for this plan year	12b			
С	Enter the amount contributed by the employer to the plan for this plan year	12c			
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d			*****
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No 1	N/A
Part	VII Plan Terminations and Transfers of Assets				
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	⊠ No	
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a			
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?		[	Yes X No	>
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)				
1	<b>3c(1)</b> Name of plan(s): 13c(2)	EIN(s)		13c(3) PN	(s)