| Form 5500-SF  |  | Short Form Annua  | oyee  | OMB Nos. 1210-0110<br>1210-0089                          |  |  |  |  |  |  |  |
|---|--|---|---|--|--|--|--|--|--|--|--|
| Inter<br>De   | nal Revenue Service  | This form is required to be filed<br>Income Security Act of 1974              |   | 2018<br>This Form is Open to                             |  |  |  |  |  |  |  |
|   | enefits Security Administration<br>enefit Guaranty Corporation                             | <ul> <li>Complete all entries in a</li> </ul>                                 | Revenue Code (the Code  | ,  | 500-SE                                     | Public Inspection                                  |  |  |  |  |  |
| Part I  | Annual Report  | 500-51.   |   |  |  |  |  |  |  |  |  |
| For calenda   | For calendar plan year 2018 or fiscal plan year beginning 01/01/2018 and ending 12/31/2018 |   |   |  |  |  |  |  |  |  |  |
| A This ret  | urn/report is for:   |   |   | ting this box must attach a tith the form instructions.) |  |  |  |  |  |  |  |
| <b>B</b> This retu  | urn/report is  | a one-participant plan  | a foreign plan  |  |  |  |  |  |  |  |  |
|   |  | the first return/report   | the final return/report a short plan year return/report (less than 12 months) |  |  |  |  |  |  |  |  |
| C Check I   | oox if filing under:   | Form 5558   | automatic extension   |  | DFVC p                                     | rogram   |  |  |  |  |  |
|   |  | special extension (enter descr  |   |  |  |  |  |  |  |  |  |
| Part II   | Basic Plan Info  | rmation—enter all requested inf   | ormation  |  |  |  |  |  |  |  |  |
| 1a Name   | of plan  |   |   |  | 1b Three                                   |  |  |  |  |  |  |
| LEITCHFIEL  | D PEDIATRIC CLINIC   | C, PSC 401(K) PROFIT SHARING  | PLAN  |  | plan<br>(PN)                               | number 001   |  |  |  |  |  |
|   |  |   |   |  | . ,  | tive date of plan<br>01/01/1993                    |  |  |  |  |  |
|   |  | yer, if for a single-employer plan)<br>m, apt., suite no. and street, or P.O  | . Box)  |  | 2b Empl<br>(EIN)                           | oyer Identification Number                         |  |  |  |  |  |
|   | town, state or provinc<br>D PEDIATRIC CLINIC   | e, country, and ZIP or foreign posta  | al code (if foreign, see inst   | ructions)  | . ,  | nsor's telephone number<br>502-259-5641            |  |  |  |  |  |
|   |  |   |   |  | 2d Business code (see instructions)        |  |  |  |  |  |  |
|   | CE AVENUE, SUITE 1   | 08  |   |  | 621111                                     |  |  |  |  |  |  |
| SUITE 108<br>LEITCHFIEL   | D, KY 42754-1478   |   |   |  |  |  |  |  |  |  |  |
| 3a Plan a   | dministrator's name ar   | nd address 🛛 Same as Plan Spor  | nsor.   |  | <b>3b</b> Administrator's EIN              |  |  |  |  |  |  |
|   |  |   |   |  | <b>3c</b> Administrator's telephone number |  |  |  |  |  |  |
| <b>A</b> 16.0   |  |   |   |  |  |  |  |  |  |  |  |
| this pl   | an, enter the plan spo   | e plan sponsor or the plan name ha<br>nsor's name, EIN, the plan name a       |   | 4b EIN   |  |  |  |  |  |  |  |
| <ul><li><b>a</b> Spons</li><li><b>c</b> Plan N</li></ul>  | or's name<br>lame  |   |   |  | <b>4d</b> PN                               |  |  |  |  |  |  |
| 5a Total r  | number of participants   | at the beginning of the plan year   |   |  | 5a   | 25   |  |  |  |  |  |
|   |  | at the end of the plan year   |   |  | 5b   | 24   |  |  |  |  |  |
| C Numb  | er of participants with  | account balances as of the end of t   | the plan year (only defined   | l contribution plans                                     | 5c   | 24   |  |  |  |  |  |
| •   | ,  |   | 5d(1)<br>5d(2)  | 24   |  |  |  |  |  |  |  |
| d(2) Total number of active participants at the end of the plan year  |  |   |   |  |  | 23   |  |  |  |  |  |
| Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested |  |   |   |  |  | 0  |  |  |  |  |  |
| Caution: A  | penalty for the late   | or incomplete filing of this return<br>her penalties set forth in the instruc | n/report will be assessed   | unless reasonable ca                                     |  |  |  |  |  |  |  |
| SB or Sche  |  | nd signed by an enrolled actuary, a   |   |  |  |  |  |  |  |  |  |
| SIGN  | Filed with authorized  | valid electronic signature.   | 03/22/2019  | JOSEPH LEE   |  |  |  |  |  |  |  |
| HERE  | Signature of plan a  | dministrator  | Date  | Enter name of individual signing as plan administrate    |  | as plan administrator                              |  |  |  |  |  |
| SIGN  | Filed with authorized,   | /valid electronic signature.  | 03/22/2019  | JOSEPH LEE   |  |  |  |  |  |  |  |
| HERE<br>For Paperwo   | Signature of emplo   | yer/plan sponsor<br>e, see the Instructions for Form 5500                     | Date<br>-SF.  | Enter name of individ                                    | lual signing                               | as employer or plan sponsor<br>Form 5500-SF (2018) |  |  |  |  |  |

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| 6a<br>b |  |                       |                                   |                     |  |  |  |  |
|---------|--|-----------------------|-----------------------------------|---------------------|--|--|--|--|
| C       | c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No Not determi |                       |                                   |                     |  |  |  |  |
|         | If "Yes" is checked, enter the My PAA confirmation number from th  | e PBGC p              | premium filing for this plan year | (See instructions.) |  |  |  |  |
| Pa      | rt III Financial Information   |                       |                                   |                     |  |  |  |  |
| 7       | Plan Assets and Liabilities  | (a) Beginning of Year | (b) End of Year                   |                     |  |  |  |  |
| а       | Total plan assets  | 7a                    | 3408479                           | 3163841             |  |  |  |  |
| b       | Total plan liabilities   | 7b                    |                                   |                     |  |  |  |  |
| С       | Net plan assets (subtract line 7b from line 7a)  | 7c                    | 3408479                           | 3163841             |  |  |  |  |
| 8       | Income, Expenses, and Transfers for this Plan Year   |                       | (a) Amount                        | (b) Total           |  |  |  |  |
| а       | Contributions received or receivable from:<br>(1) Employers  | 8a(1)                 | 50094                             |                     |  |  |  |  |
|         | (2) Participants   | 8a(2)                 | 88009                             |                     |  |  |  |  |
|         | (3) Others (including rollovers)   | 8a(3)                 |                                   |                     |  |  |  |  |

|    |   | 0a(z) | 00000   |         |
|----|---|-------|---------|---------|
|    | (3) Others (including rollovers)  | 8a(3) |         |         |
| b  | Other income (loss)   | 8b    | -284092 |         |
|    | Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)                                  | 8c    |         | -145989 |
| d  | Benefits paid (including direct rollovers and insurance premiums to provide benefits) | 8d    | 98224   |         |
| е  | Certain deemed and/or corrective distributions (see instructions)                     | 8e    |         |         |
| f  | Administrative service providers (salaries, fees, commissions)                        | 8f    | 425     |         |
| g  | Other expenses  | 8g    | 0       |         |
| h  | Total expenses (add lines 8d, 8e, 8f, and 8g)   | 8h    |         | 98649   |
| i  | Net income (loss) (subtract line 8h from line 8c)                                     | 8i    |         | -244638 |
| j  | Transfers to (from) the plan (see instructions)                                       | 8j    |         |         |
| Pa | rt IV Plan Characteristics  |       |         |         |

| 9a | If the | plan | provid | es pe | ension | benef | its, | enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: |  |
|----|--------|------|--------|-------|--------|-------|------|--|--|
|    |        |      |        |       |        |       |      | 3D   |  |

| Par | V Compliance Questions  |     |     |    |        |
|-----|---|-----|-----|----|--------|
| 10  | During the plan year:   |     | Yes | No | Amount |
| а   | Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)                        |     | Х   |    |        |
| b   | Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)   | 10b |     | Х  |        |
| С   | Was the plan covered by a fidelity bond?  | 10c | Х   |    | 400000 |
| d   | Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?  | 10d |     | X  |        |
| e   | Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.). | 10e | X   |    | 27274  |
| f   | Has the plan failed to provide any benefit when due under the plan?   | 10f |     | Х  |        |
| g   | Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)   | 10g | Х   |    | 51289  |
| h   | If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)   | 10h |     | Х  |        |
| i   | If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3  | 10i |     |    |        |

Page **3-** 1

| Part | VI    | Pension Funding Compliance  |                  |                 |       |             |      |      |
|------|-------|---|------------------|-----------------|-------|-------------|------|------|
| 11   |       | nis a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and<br>rm 5500) and line 11a below)   |                  |                 | B     |             | Yes  | X No |
| 11a  | Ent   | er the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40   |                  | 11a             |       |             |      |      |
| 12   | ERI   | his a defined contribution plan subject to the minimum funding requirements of section 412 of the C<br>SA?<br>"Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) |                  | n 302 o         | f<br> | [           | Yes  | X No |
| а    |       | waiver of the minimum funding standard for a prior year is being amortized in this plan year, see institution the waiver.   |                  | l enter<br>_ Da |       | e of the le |      | ing  |
| lf   | you o | completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line  | 13.              |                 | -     |             |      |      |
| b    | Ente  | r the minimum required contribution for this plan year  |                  | 12b             |       |             |      |      |
| С    | Ente  | r the amount contributed by the employer to the plan for this plan year   |                  | 12c             |       |             |      |      |
| d    |       | tract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the ative amount)   |                  | 12d             |       |             |      |      |
| е    | Will  | the minimum funding amount reported on line 12d be met by the funding deadline?   |                  |                 | Yes   | No          |      | N/A  |
| Part | VII   | Plan Terminations and Transfers of Assets   |                  |                 |       |             |      |      |
| 13a  | Has   | a resolution to terminate the plan been adopted in any plan year?   |                  |                 | Ye    | s X         | No   |      |
|      | lf "Y | es," enter the amount of any plan assets that reverted to the employer this year  |                  | 13a             |       |             |      |      |
| b    |       | re all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brou trol of the PBGC?   | ght under the    |                 |       | Yes         | × N  | 0    |
| С    |       | luring this plan year, any assets or liabilities were transferred from this plan to another plan(s), ident<br>ch assets or liabilities were transferred. (See instructions.)                  | tify the plan(s) | to              |       |             |      |      |
| 1    | 3c(1  | ) Name of plan(s):  | EIN(s)           |                 | 130   | c(3) PN     | ۱(s) |      |
|      |       |   |                  |                 |       |             |      |      |