Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee
Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to Public Inspection

Part I		Identification Information	l .						
For calend	lar plan year 2018 or f	iscal plan year beginning 01/01/2	2018	and ending 1	2/31/2018				
A This ret	turn/report is for:	x a single-employer plan	a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)						
		a one-participant plan	a foreign plan						
b This ret	urn/report is	the first return/report	the final return/report						
		an amended return/report	a short plan year retu	urn/report (less than 12 m	nonths)				
C Check	box if filing under:	Form 5558	automatic extension		DFVC progra	m			
		special extension (enter desc	1 /						
Part II	Basic Plan Info	ormation—enter all requested in	formation		_				
1a Name of plan MOBILE ANESTHESIOLOGISTS LLC 401 K PROFIT SHARING PLAN TRUST					1b Three-digi plan numb (PN) ▶				
						late of plan 01/01/2016			
		oyer, if for a single-employer plan)			2b Employer Identification Number				
		m, apt., suite no. and street, or P.C ce, country, and ZIP or foreign pos		structions)	(EIN) 36-4079086				
•	ESTHESIOLOGISTS		3,	,	2c Sponsor's telephone number 773-756-5760				
					2d Business code (see instructions)				
8420 W BRY CHICAGO, I	/N MAWR AVE STE 3 L 60631	000			621111				
3a Plan administrator's name and address 🛛 Same as Plan Sponsor.					3b Administrator's EIN				
					3c Administra	tor's telephone number			
						·			
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for				return/report filed for	4b EIN				
this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report.									
a Sponsor's namec Plan Name					4d PN				
• Harri	v anio								
5a Total number of participants at the beginning of the plan year			. 5a	70					
b Total number of participants at the end of the plan year				. 5b	62				
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)			. 5c	58					
d(1) Total number of active participants at the beginning of the plan year					5d(1)	51			
d(2) Total number of active participants at the end of the plan year					5d(2)	52			
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested					5e	0			
Caution: /	A penalty for the late	or incomplete filing of this retur	n/report will be assesse	d unless reasonable ca					
SB or Scho		ther penalties set forth in the instru and signed by an enrolled actuary, a plete.							
SIGN		l/valid electronic signature.	03/26/2019	JOSH GANTZ					
HERE	Signature of plan a	administrator	Date	Enter name of individ	lual signing as pla	an administrator			
SIGN									
HERE	Signature of emplo	over/plan sponsor	Date	Enter name of individ	of individual signing as employer or plan sponso				

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b	Were all of the plan's assets during the plan year invested in eligib Are you claiming a waiver of the annual examination and report of under 29 CFR 2520.104-46? (See instructions on waiver eligibility If you answered "No" to either line 6a or line 6b, the plan cann If the plan is a defined benefit plan, is it covered under the PBGC in	an indepe and condi ot use Fo	ndent qualified public attions.)	account it instea	ant (IC	QPA) • Form	າ 5500.	X Yes	No No ned	
	If "Yes" is checked, enter the My PAA confirmation number from the					-		(See instruction	าร.)	
Pa	rt III Financial Information									
7	Plan Assets and Liabilities		(a) Beginning	of Year		(b) End of Year				
а	Total plan assets	7a	21	2134923			2428505			
b	Total plan liabilities	7b		0		0				
C	Net plan assets (subtract line 7b from line 7a)	7c	21	34923		2428505				
8	Income, Expenses, and Transfers for this Plan Year		(a) Amour	(a) Amount			(b) Total			
_а 	Contributions received or receivable from: (1) Employers	8a(1)	1	186399						
	(2) Participants	8a(2)	3	325128						
	(3) Others (including rollovers)	8a(3)	1	14126						
<u>b</u>	Other income (loss)	8b	-1	-147290						
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c	8c			478363				
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	1	177960						
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	8e		0						
f	Administrative service providers (salaries, fees, commissions)	8f		6821						
g	Other expenses	8g		0						
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						184781		
÷	Net income (loss) (subtract line 8h from line 8c)	8i						293582		
J	Transfers to (from) the plan (see instructions)	8j	0							
	t IV Plan Characteristics					0				
9a	If the plan provides pension benefits, enter the applicable pension 2E 2F 2T 3D 2G 2S 2J 2K	reature co	ides from the list of Pi	an Cna	racteri	Stic Co	odes in the i	nstructions:		
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	les from the List of Pla	n Chara	acteris	tic Co	des in the in	structions:		
Par	t V Compliance Questions									
10	During the plan year:				Yes	No		Amount		
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction			10a		X				
b	Program)			10b		X				
c				10c	Х			250000		
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X		230000		
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X				
_ f	f Has the plan failed to provide any benefit when due under the plan?			10f		X				
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g	X			32417		
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X				
i	i If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3			10i						

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Part	VI Pension Funding Compliance					
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sc (Form 5500) and line 11a below)		В	Yes 🛚 N	Ю	
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a				
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?		f	Yes 🛛 N	Ю	
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)					
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, ar granting the waiver	d enter t Day		of the letter ruling Year		
lf y	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.					
b	Enter the minimum required contribution for this plan year	12b				
С	Enter the amount contributed by the employer to the plan for this plan year	12c				
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)						
e Will the minimum funding amount reported on line 12d be met by the funding deadline?				No N/A		
Part '	VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	s 🔀 No		
If "Yes," enter the amount of any plan assets that reverted to the employer this year						
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?) 		Yes X No		
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)	s) to				
13c(1) Name of plan(s): 13c(2				EIN(s) 13c(3) PN(s)		