Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

2016

This Form is Open to **Public Inspection**

OMB Nos. 1210-0110

1210-0089

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

Part I		Identification Information							
For calenda	ar plan year 2016 or fi	scal plan year beginning 01/01/2	2016	and ending 1	2/31/2016				
a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must att list of participating employer information in accordance with the form instruction									
		a one-participant plan	a foreign plan						
B This return/report is ☐ the first return/report ☐ the final return/report ☐ a short plan year return/report (less than 12 months)									
		X an amended return/report	nonths)						
C Check b	oox if filing under:	Form 5558 special extension (enter description)	automatic extension DFVC program						
Part II	Rasic Plan Info	prmation—enter all requested in							
1a Name		ination—enter an requested in	ioimation		1b Three-digi	t			
	CHNOLOGIES 401K	PLAN			plan numb				
					1c Effective date of plan 01/01/2007				
	\ I	oyer, if for a single-employer plan) m, apt., suite no. and street, or P.C	D. Box)		2b Employer Identification Number (EIN) 20-2501742				
	town, state or province CHNOLOGIES	ce, country, and ZIP or foreign post	al code (if foreign, see inst	ructions)	2c Sponsor's telephone number				
3633 136TH	DI CE				2d Business code (see instructions)				
SUITE 107 BELLEVUE,						541512			
3a Plan ad	dministrator's name a	nd address X Same as Plan Spor	nsor.		3b Administrator's EIN				
					3c Administrator's telephone number				
					oo /tariiiiiotte	tor o telephone number			
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN, and the plan number from the last return/report.					4b EIN				
a Sponsor's name					4c PN				
5a Total r	number of participants	at the beginning of the plan year			5a				
b Total number of participants at the end of the plan year					5b	24			
		account balances as of the end of			5c	8			
d(1) Total number of active participants at the beginning of the plan year					5d(1)	22			
d(2) Total number of active participants at the end of the plan year					5d(2)	18			
Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested					5e	0			
		or incomplete filing of this return							
SB or Sche		ther penalties set forth in the instruction and signed by an enrolled actuary, a plete.							
0.0.0	Filed with authorized	/valid electronic signature.	03/28/2019	MELISSA BAY					
HERE	Signature of plan a	administrator	istrator Date Enter name of individual signing as plan administration						
SIGN									
HERE Signature of employer/plan sponsor Date Enter name of indiv					ividual signing as employer or plan sponsor				
Preparer's	name (including firm r	name, if applicable) and address (ir	nclude room or suite number	er)	Preparer's tele	ohone number			

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6a	Were all of the plan's assets during the plan year invested in eligib	ole assets?	(See instructions.)						X Ye	es No
b	b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant								X Ye	es 🗌 No
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)							,5 <u> </u> 110		
С	If the plan is a defined benefit plan, is it covered under the PBGC ir	nsurance p	orogram (see ERISA se	ection 4	021)?	[Yes	No	Not de	termined
Pa	rt III Financial Information									
7	Plan Assets and Liabilities		(a) Beginning	of Year				(b) End	of Year	
а	Total plan assets	7a		572464		324568				
b	Total plan liabilities	7b								
С	Net plan assets (subtract line 7b from line 7a)	7c		572464	ļ	324568				
8	Income, Expenses, and Transfers for this Plan Year		(a) Amour	nt		(b) Total				
а	Contributions received or receivable from:	0 (4)								
	(1) Employers	8a(1)		9164						
	(2) Participants	8a(2)		3104						
	(3) Others (including rollovers)	8a(3)		19276						
	Other income (loss)	8b			-				2844	10
d	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c				20440				10
	to provide benefits)	8d		275555						
е	Certain deemed and/or corrective distributions (see instructions).	8e		506						
f	Administrative service providers (salaries, fees, commissions)	8f		275						
g	Other expenses	8g								
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					276336			
i	Net income (loss) (subtract line 8h from line 8c)	8i					-247896			
j	Transfers to (from) the plan (see instructions)	8j								
Pa	Part IV Plan Characteristics									
9a										
b	If the plan provides welfare benefits, enter the applicable welfare f	eature cod	des from the List of Pla	n Chara	acterist	tic Cod	des in t	he instru	uctions:	
Par	t V Compliance Questions									
10	During the plan year:				Yes	No	N/A		Amoun	t
а	Was there a failure to transmit to the plan any participant contributed described in 29 CFR 2510.3-102? (See instructions and DOL's Verogram)	oluntary F	Fiduciary Correction	10a		X				
b	b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X				
c	C Was the plan covered by a fidelity bond?			10c	Χ					58000
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X				
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X				
f	f Has the plan failed to provide any benefit when due under the plan?			10f		X				
<u>_</u>	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g	X					20009
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X				
i	If 10h was answered "Yes," check the box if you either provided to exceptions to providing the notice applied under 29 CFR 2520.10			10i						

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Part	VI	Pension Funding Compliance							
11		Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)						es No	
11a	Ente	er the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40			11a				
12	ERISA?					f 		es X No	
		Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) vaiver of the minimum funding standard for a prior year is being amortized in this plan year, see inst	ruotior	20.000	d ontor t	ho data	of the letter	ruling	
	gran	ting the waiver	onth _	15, and	_ Day		Year _		
		ompleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 1			406				
<u> </u>	Enter	the minimum required contribution for this plan year			12b				
С	Enter	the amount contributed by the employer to the plan for this plan year			12c				
d		ract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the leastive amount)			12d			-	
<u>e</u>	Will	the minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A	
Part '	VII	Plan Terminations and Transfers of Assets							
13a	Has	a resolution to terminate the plan been adopted in any plan year?				Yes	s X No)	
	If "Y	es," enter the amount of any plan assets that reverted to the employer this year			13a				
b		e all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brouging of the PBGC?					Yes X	No	
С		uring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identifich assets or liabilities were transferred. (See instructions.)	y the p	plan(s)) to				
1	3c(1)	Name of plan(s):		13c(2)	EIN(s)		13c(3)	PN(s)	
Part	VIII	Trust Information							
14a Name of trust					14b Trust's EIN				
14c Name of trustee or custodian					14d Trustee's or custodian's telephone number				
Part	: IX	IRS Compliance Questions							
15a	Is the	plan a 401(k) plan? If "No," skip b		Yes		[No		
		did the plan satisfy the nondiscrimination requirements for employee deferrals under section)(3) for the plan year? Check all that apply:		·	ign-based "Prior year harbor test			ar" ADP	
□ "Cur			"Curre	rent year" N/A P test					
				entage	ntage Average N/A benefit test N/A				
16b Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?					☐ No				
17a If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of the letter/									
17b If the plan is an individually-designed plan that received a favorable determination letter from the IRS, enter the date of the most recent determination letter/									
18 Defined Benefit Plan or Money Purchase Pension Plan Only: Were any distributions made during the plan year to an employee who attained age 62 and had not separated from service?				Ye	Yes No				
19	Was	any plan participant a 5% owner who had attained at least age 70 $^{1\!\!/}_{2}$ during the prior plan year?			Ye	s [No		