Form 5500-SF

Department of the Treasury
Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Internal Revenue Service

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to Public Inspection

Part I Annual Report Identification Information								
For calend	dar plan year 2018 or fis	scal plan year beginning 01/01/2	2018	and ending 12	2/31/2018			
A This re	eturn/report is for:	X a single-employer plan	a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)					
		a one-participant plan	a foreign plan					
B This ret	turn/report is	the first return/report	the final return/report					
		an amended return/report	a short plan year retu	urn/report (less than 12 m	onths)			
C Check	box if filing under:	Form 5558	automatic extension	n	DFVC progra	ım		
		special extension (enter descri	• •					
Part II	Basic Plan Info	rmation—enter all requested in	formation		T			
1a Name NORTHWE	of plan ST WOODWORKS 401	К			1b Three-dig plan num (PN) ▶			
						date of plan 01/01/1995		
		yer, if for a single-employer plan)			2b Employer	Identification Number		
		n, apt., suite no. and street, or P.C e. country, and ZIP or foreign post		structions)	(EIN) 91-1718315			
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) NORTHWEST WOODWORKS, INC.				on donorio)	2c Sponsor's telephone number 425-482-9693			
					2d Business	code (see instructions)		
15100 WOODINVILLE REDMOND RD NE WOODINVILLE, WA 98072-4540					337000			
WOODIIVII	LLL, WA 30072 4340							
3a Plan a	administrator's name an	d address 🛛 Same as Plan Spor	nsor.		3b Administra	ator's EIN		
					3c Administr	ator's telephone number		
					JC Administra	ator s terepriorie number		
		plan sponsor or the plan name hasor's name, EIN, the plan name a			4b EIN			
	sor's name		·	·	4d PN			
C Plan I	Name							
5a Total	number of participants	at the beginning of the plan year			5a	46		
b Total number of participants at the end of the plan year			5b	5b 43				
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)					5c	41		
d(1) Total number of active participants at the beginning of the plan year			5d(1)					
d(2) Total number of active participants at the end of the plan year			5d(2) 29					
than	100% vested	terminated employment during the			5e	0		
		or incomplete filing of this return						
SB or Sch		ner penalties set forth in the instructed signed by an enrolled actuary, a blete.						
SIGN	Filed with authorized/	valid electronic signature.	04/03/2019	JAMES A MEKEEL				
HERE	Signature of plan administrator Date Enter name of indivi					dual signing as plan administrator		
SIGN								
HERE	Signature of employ	yer/plan sponsor	Date	Enter name of individ	ual signing as er	mployer or plan sponsor		

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c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)?		Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.) If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.					X Yes No			
7 Plan Assets and Liabilities	С						_			
a Total plan assets	Pa	rt III Financial Information								
b Total plan liabilities	7	Plan Assets and Liabilities		(a) Beginning	of Year			(b) End	of Year	
C Net plan assets (subtract line 7b from line 7a)	a	Total plan assets	7a	40	33375		2574988			
8 income, Expenses, and Transfers for this Plan Year a Contributions received or receivable from: (1) Employers. (2) Participants. (3) Others (including rollovers)	b	Total plan liabilities	7b		0		0			
a Contributions received or receivable from: (1) Employers (2) Participants (3) Others (including rollovers) (3) Others (including rollovers) (4) Other income (loss) (5) Others (including rollovers) (6) Other income (add lines 8a(1), 8a(2), 8a(3), and 8b) (7) Other income (add lines 8a(1), 8a(2), 8a(3), and 8b) (8) Other income (add lines 8a(1), 8a(2), 8a(3), and 8b) (8) Other income (add lines 8a(1), 8a(2), 8a(3), and 8b) (9) Other income (add lines 8a(1), 8a(2), 8a(3), and 8b) (1) Other income (add lines 8a(1), 8a(2), 8a(3), and 8b) (1) Other income (add lines 8a(1), 8a(2), 8a(3), and 8b) (1) Other income (add lines 8a(1), 8a(2), 8a(3), and 8b) (2) Other expenses (add lines 8a(1), 8a(2), 8a(3), and 8b) (3) Other expenses (add lines 8a(1), 8a(3), 8a(3), 8a(3), 8a(4),	С	Net plan assets (subtract line 7b from line 7a)	7c	40	33375		25		2574988	
(1) Employers	8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	ıt		(b) Total		otal	
(3) Other s(including rollovers)	<u>а</u>		8a(1)	!	91775					
b Other income (loss) C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) B C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) B C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) B C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) B C Retain deemed and/or corrective distributions (see instructions) B C Certain deemed and/or corrective distributions (see instructions) B C Certain deemed and/or corrective distributions (see instructions) B C Certain deemed and/or corrective distributions (see instructions) B C C Certain deemed and/or corrective distributions (see instructions) B C C Certain deemed and/or corrective distributions (see instructions) B C C Certain deemed and/or corrective distributions (see instructions) B C C C C C C C C C C C C C C C C C C		(2) Participants	8a(2)	10	64762					
C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)		(3) Others (including rollovers)	8a(3)	-1	77574					
d Benefits paid (including direct rollovers and insurance premiums to provide benefits)	b	Other income (loss)	8b							
e Certain deemed and/or corrective distributions (see instructions) 8e f Administrative service providers (salaries, fees, commissions) 8f f Administrative service providers (salaries, fees, commissions) 8f g Other expenses	С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						78963	
f Administrative service providers (salaries, fees, commissions)	d		8d	15	1528989					
g Other expenses	е	Certain deemed and/or corrective distributions (see instructions) \dots	8e							
h Total expenses (add lines 8d, 8e, 8f, and 8g)	f	Administrative service providers (salaries, fees, commissions)	8f		8361					
i Net income (loss) (subtract line 8h from line 8c)	g	Other expenses	8g							
Transfers to (from) the plan (see instructions)	h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						1537350	
Part IV Plan Characteristics 9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2A 2E 2F 2G 2J 2K 3D b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: Part V Compliance Questions 10 During the plan year: a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.) c Was the plan covered by a fidelity bond? d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.) 10a X 10b X 10c X 300000 10c X 10c	i	Net income (loss) (subtract line 8h from line 8c)	8i					-1458387		
9a	j	Transfers to (from) the plan (see instructions)	8j							
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: Part V Compliance Questions 10 During the plan year: a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.) c Was the plan covered by a fidelity bond? d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.) f Has the plan failed to provide any benefit when due under the plan? g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.) 10	Pai	t IV Plan Characteristics								
Part V Compliance Questions 10 During the plan year: a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	9a	9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:								
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a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10					Yes	No		Amount	
reported on line 10a.)	а	described in 29 CFR 2510.3-102? (See instructions and DOL's V	oluntary F	iduciary Correction	10a		X			
d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? • Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.) • Has the plan failed to provide any benefit when due under the plan? • Did the plan have any participant loans? (If "Yes," enter amount as of year-end.) • If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) • If 10h was answered "Yes," check the box if you either provided the required notice or one of the	b				10b		X			
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e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)	d	Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty?	fidelity bo	nd, that was caused			X			
g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)	е	Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides som	her person ne or all of	s by an insurance the benefits under	10e	X			12270	
h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) i If 10h was answered "Yes," check the box if you either provided the required notice or one of the	f	Has the plan failed to provide any benefit when due under the pla	ın?		10f		X			
2520.101-3.) If 10h was answered "Yes," check the box if you either provided the required notice or one of the					10g	X			18314	
· · · · · · · · · · · · · · · · · · ·	h	2520.101-3.)	· ·····		10h		Χ			
	i	·	•		10i					

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Part	VI Pension Funding Compliance			
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete (Form 5500) and line 11a below)			Yes No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a		
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or se ERISA?		f	Yes X No
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)			
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, granting the waiver	and enter Da		of the letter ruling Year
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.			
b	Enter the minimum required contribution for this plan year	12b		
С	Enter the amount contributed by the employer to the plan for this plan year	12c		
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d		
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A
Part '	VII Plan Terminations and Transfers of Assets			
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	s X No
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a		
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under control of the PBGC?	he		Yes X No
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan which assets or liabilities were transferred. (See instructions.)	n(s) to		
1	3c(1) Name of plan(s):	(2) EIN(s)		13c(3) PN(s)