Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to Public Inspection

Part I	Annual Report I	dentification Information								
For calend	lar plan year 2018 or fise	cal plan year beginning 01/01/2	018	and ending 12	2/31/2018					
A This re	a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must attact list of participating employer information in accordance with the form instructions									
D	,	a one-participant plan	a foreign plan							
B This ret	urn/report is	the first return/report	X the final return/report	t						
		an amended return/report	a short plan year return/report (less than 12 months)							
C Check	box if filing under:	Form 5558	automatic extension		DFVC progra	am				
		special extension (enter descri	ption)							
Part II Basic Plan Information—enter all requested information										
1a Name of plan NEWEDGE, INC. 401(K) PROFIT SHARING PLAN					1b Three-dig plan num (PN) ▶					
					1c Effective date of plan 01/01/2008					
2a Plan sponsor's name (employer, if for a single-employer plan)					2b Employer Identification Number					
Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)					(EIN) 74-3067755					
NEWEDGE, INC.					2c Sponsor's telephone number 509-737-9900					
					2d Business code (see instructions)					
1350 SPAULDING AVENUE			541513							
RICHLAND,	WA 99332									
3a Plan administrator's name and address ☒ Same as Plan Sponsor.				3b Administrator's EIN						
_										
					3c Administrator's telephone number					
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report.				4b EIN						
	sor's name	soi's name, Em, the plan name a	nd the plan number nom	the last return/report.	4d PN					
C Plan N										
5a Total	number of participants a	at the beginning of the plan year			5a	19				
	· · · · · ·	at the end of the plan year		ŀ	5b	0				
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)				. 5c						
d(1) Total number of active participants at the beginning of the plan year					5d(1)					
		ticipants at the end of the plan yea			5d(2)					
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested				5e						
Caution: A	A penalty for the late o	r incomplete filing of this return	/report will be assesse	d unless reasonable cau						
SB or Scho		er penalties set forth in the instruc d signed by an enrolled actuary, a lete.								
SIGIT				PAMELA HENDERSO	RSON					
HERE	Signature of plan ac	Signature of plan administrator Date Enter name of individual signing as plan administrator								
SIGN	Filed with authorized/\	valid electronic signature.	04/08/2019	PAMELA HENDERSON						
HERE	Signature of employ	/er/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor						

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Part III Financial Information Financial Information	es No							
7 Plan Assets and Liabilities	etermined tructions.)							
a Total plan assets								
b Total plan liabilities								
C Net plan assets (subtract line 7b from line 7a)	0							
8 Income, Expenses, and Transfers for this Plan Year a Contributions received or receivable from: (1) Employers	0							
a Contributions received or receivable from: (1) Employers	0							
(1) Employers								
(3) Others (including rollovers)								
b Other income (loss)								
C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)								
d Benefits paid (including direct rollovers and insurance premiums to provide benefits)								
to provide benefits)	В							
f Administrative service providers (salaries, fees, commissions)								
g Other expenses								
h Total expenses (add lines 8d, 8e, 8f, and 8g)								
i Net income (loss) (subtract line 8h from line 8c) 8i -6336 j Transfers to (from) the plan (see instructions) 8j 0 Part IV Plan Characteristics 9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions 2A 2E 2F 2G 2J 2K 2T 3D b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: Part V Compliance Questions 10 During the plan year: Yes No Amount a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) 10a X b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.) 10b X c Was the plan covered by a fidelity bond? 10c X d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused								
Transfers to (from) the plan (see instructions)	4							
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a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)								
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reported on line 10a.)								
d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused								
d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused	0000							
by fraud or dishonesty?								
Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)								
f Has the plan failed to provide any benefit when due under the plan?								
g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)								
h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)								
i If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3								

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Part	VI Pension Funding Compliance					
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and cor (Form 5500) and line 11a below)			В	. Y	es 🗌 No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40		11a			
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Cod ERISA?	e or section	n 302 of			es X No
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)					
a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date granting the waiver						ruling
lf :	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13					
b	Enter the minimum required contribution for this plan year		12b			
С	Enter the amount contributed by the employer to the plan for this plan year		12c			
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)						
е	e Will the minimum funding amount reported on line 12d be met by the funding deadline?					N/A
Part '	VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?			X Yes	. No)
	If "Yes," enter the amount of any plan assets that reverted to the employer this year		13a			(
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought control of the PBGC?				X Yes	No
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify which assets or liabilities were transferred. (See instructions.)	the plan(s)) to			
1	3c(1) Name of plan(s):	13c(2)	EIN(s)		13c(3)	PN(s)

Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to Public Inspection

For calendar plan year 2018 or fiscal plan year beginning A This return/report is for: a one-participant plan b This return/report is the first return/report an amended return/report an amended return/report an amended return/report an automatic extension percial extension (enter description) Part II Basic Plan Information—enter all requested information 1a Name of plan NEWEDGE, INC. 401 (k) PROFIT SHARING PLAN 2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.) a foreign plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.) b the first return/report a foreign plan (less than 12 months) DFVC program DFVC program DFVC program 1b Three-digit plan number (PN) O01 1c Effective date of plan on 1/01/2008 2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) NEWEDGE, TNC.
Some of the first return/report is for:
B This return/report is
the first return/report
C Check box if filing under:
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(509)737-9900
0.1
2d Business code (see instructions) 1350 SPAULDING AVENUE
RICHLAND WA 99352 541513
3a Plan administrator's name and address Same as Plan Sponsor. 3b Administrator's EIN
Than administrator's name and address and opposition
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for
this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report.
a Sponsor's name C Plan Name
C I lan Name
5a Total number of participants at the beginning of the plan year
b Total number of participants at the end of the plan year5b
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item).
d(1) Total number of active participants at the beginning of the plan year5d(1)
at 17 Total number of active participants at the beginning of the plan year
d(2) Total number of active participants at the end of the plan year
d(2) Total number of active participants at the end of the plan year
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d(2) Total number of active participants at the end of the plan year

Pac	ie	2

	Were all of the plan's assets during the plan year invested in eligib Are you claiming a waiver of the annual examination and report of under 29 CFR 2520.104-46? (See instructions on waiver eligibility If you answered "No" to either line 6a or line 6b, the plan cann	an indepen and conditi	dent qualified public a ons.)	ccount	ant (IQ	PA) 	X Yes No		
С	If the plan is a defined benefit plan, is it covered under the PBGC in If "Yes" is checked, enter the My PAA confirmation number from the	nsurance p	ogram (see ERISA se	ction 4	021)?	Yes	S No Not determined		
Pa	rt III Financial Information								
7	Plan Assets and Liabilities		(a) Beginning o	of Year			(b) End of Year		
а	Total plan assets	7a		633,			0		
b	Total plan liabilities	7b							
С	Net plan assets (subtract line 7b from line 7a)	7c		633,	656		0		
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	t			(b) Total		
a	Contributions received or receivable from: (1) Employers	8a(1)							
	(2) Participants	8a(2)		35,	130				
	(3) Others (including rollovers).	8a(3)							
b_	Other income (loss)	8b		-17,	512	Park a			
_ c	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c		ind	OF		17,618		
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	649,115						
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	8e	0 150						
f_	Administrative service providers (salaries, fees, commissions)	8f	2,159						
g	Other expenses	8g					651 074		
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					651,274		
نــ	Net income (loss) (subtract line 8h from line 8c)	8i					-633,656		
j_	Transfers to (from) the plan (see instructions)	8j				ARIA.			
9a b	If the plan provides pension benefits, enter the applicable pension 2A 2E 2F 2G 2J 2K 2T 3D If the plan provides welfare benefits, enter the applicable welfare for the plan provides welfare benefits, enter the applicable welfare for the compliance Questions								
10	During the plan year:				Yes	No	Amount		
	Was there a failure to transmit to the plan any participant contributed described in 29 CFR 2510.3-102? (See instructions and DOL's Verogram)	/oluntary F	iduciary Correction	10a		X	, in canc		
b	Were there any nonexempt transactions with any party-in-interest reported on line 10a.)			10b		X			
С	Was the plan covered by a fidelity bond?			10c	Х		100,000		
d		Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?				Х			
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X			
f	Has the plan failed to provide any benefit when due under the pla	in?		10f		X			
g	Did the plan have any participant loans? (If "Yes," enter amount a	s of year-e	nd.)	10g		X			
h	If this is an individual account plan, was there a blackout period? 2520.101-3.)			10h		Х			
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10	•		10i					

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D	121	B									_
Part	VI	Pension Funding Compliance									_
11		his a defined benefit plan subject to minimum rm 5500) and line 11a below)	• • • • • • • • • • • • • • • • • • • •	" see instruct	ions ar	d complete So	chedule S	В		Yes X	۷o
11a	Ent	ter the unpaid minimum required contributions	for all years from Schedule SB	(Form 5500)	line 40)	. 11a				
12	ER	this a defined contribution plan subject to the ISA?					ion 302 o	f		Yes 🛚 l	No
а		waiver of the minimum funding standard for a nting the waiver.					nd enter t Day		f the let	_	
lf	you (completed line 12a, complete lines 3, 9, an	d 10 of Schedule MB (Form 55	500), and sk	ip to lir	ne 13.					
b	Ente	er the minimum required contribution for this p	lan year				12b				
c	Ente	r the amount contributed by the employer to t	he plan for this plan year				12c				
d		otract the amount in line 12c from the amount ative amount)	,		_		12d				
е	Will	the minimum funding amount reported on lin	e 12d be met by the funding dea	adline?				Yes	No	□ N/A	
Part	VII	Plan Terminations and Transfers	of Assets								
13a	Has	s a resolution to terminate the plan been adopted	in any plan year?					X Yes		No	
	If "Y	es," enter the amount of any plan assets that	t reverted to the employer this ye	ear			13a				

🛛 Yes 🗌 No

13c(3) PN(s)

13c(2) EIN(s)

b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the

c If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to

control of the PBGC? ..

13c(1) Name of plan(s):

which assets or liabilities were transferred. (See instructions.)