Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to Public Inspection

Part I	Annual Repor	t identification information							
For calenda	ar plan year 2018 or	fiscal plan year beginning 01/01/2	2018	and ending 12	2/31/2018				
A This ret	urn/report is for:	X a single-employer plan	a multiple-employer plan (not multiemployer) (Filers checking this box r list of participating employer information in accordance with the form in						
		a one-participant plan	a foreign plan						
B This retu	urn/report is	the first return/report	the final return/report						
		an amended return/report	a short plan year retu	urn/report (less than 12 m	onths)				
C Check b	oox if filing under:	Form 5558	automatic extension	1	DFVC progra	am			
Part II	Pacia Blan Inf	special extension (enter desc	' '						
		ormation—enter all requested in	irormation		46 11	•			
1a Name of plan NEWFIELD CONSTRUCTION 401(K) SAVINGS AND RETIREMENT PLAN					1b Three-dig plan num (PN) ▶				
					1c Effective	date of plan 01/01/1988			
		loyer, if for a single-employer plan)			2b Employer Identification Number				
		om, apt., suite no. and street, or P.0 nce, country, and ZIP or foreign pos		structions)	(EIN) 06-0941935				
-	CONSTRUCTION, IN		, ,	,	2c Sponsor's telephone number 860-953-1477				
					2d Business code (see instructions)				
225 NEWFIE HARTFORD,	LD AVENUE CT 06106				236200				
3a Plan administrator's name and address ☐ Same as Plan Sponsor.					3b Administrator's EIN				
					3c Administra	ator's telephone number			
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for				4b EIN					
this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report. a Sponsor's name					4d PN				
C Plan N									
<u>-</u>				5a 43					
5a Total number of participants at the beginning of the plan year				5a 5b	43				
 b Total number of participants at the end of the plan year c Number of participants with account balances as of the end of the plan year (only defined contribution plans 									
complete this item)				5c	44				
d(1) Total number of active participants at the beginning of the plan year					5d(1) 29				
d(2) Total number of active participants at the end of the plan yearNumber of participants who terminated employment during the plan year with accrued benefits that were less				5d(2)	31				
than 100% vested				5e	0				
		e or incomplete filing of this return other penalties set forth in the instru							
SB or Sche		and signed by an enrolled actuary,							
SIGN		d/valid electronic signature.	04/25/2019	PETER RAMEY					
HERE	Signature of plan	administrator	Date	Enter name of individ	nter name of individual signing as plan administrator				
SIGN		ed/valid electronic signature.	04/25/2019	PETER RAMEY					
HERE	Signature of emp	lover/plan sponsor	Date	Enter name of individ	nter name of individual signing as employer or r				

Form 5500-SF (2018) Page **2**

	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)									
С	If you answered "No" to either line 6a or line 6b, the plan cann If the plan is a defined benefit plan, is it covered under the PBGC in If "Yes" is checked, enter the My PAA confirmation number from th	nsurance p	orogram (see ERISA se	ection 4	021)?	[Yes I			
Pa	rt III Financial Information									
7	Plan Assets and Liabilities		(a) Beginning (of Year	,		(b) I	(b) End of Year		
а	Total plan assets	7a	798	88460			7868231			
b	Total plan liabilities	lan liabilities								
С	Net plan assets (subtract line 7b from line 7a)	7c	798	88460		7868231				
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	nt				(b) Total		
а	Contributions received or receivable from: (1) Employers	8a(1)	1;	131375						
	(2) Participants	8a(2)	22	224107						
-	(3) Others (including rollovers)	8a(3)	90	967195						
b	Other income (loss)	8b	-39	53534						
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c				969143				
	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	100	1064755						
е	Certain deemed and/or corrective distributions (see instructions)	8e								
f	Administrative service providers (salaries, fees, commissions)	8f	2	24617						
g	Other expenses	8g								
	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					1089372			
ī	Net income (loss) (subtract line 8h from line 8c)	8i					-120229			
Ť	Transfers to (from) the plan (see instructions)	8i								
Par	t IV Plan Characteristics	oj .								
	If the plan provides pension benefits, enter the applicable pension	feature co	odes from the List of Plant	an Cha	racteri	stic C	odes in the	instructions:		
b	2A 2E 2F 2G 2J 2T 3D If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	les from the List of Pla	n Chara	acteris	tic Co	des in the i	nstructions:		
Davi										
Par					T	T	1			
10	During the plan year:				Yes	No	1	Amount		
a	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X				
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X				
С	Was the plan covered by a fidelity bond?			10c	X			5000	000	
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X				
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X				
f	f Has the plan failed to provide any benefit when due under the plan?			10f		X				
-	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g	X			434	150	
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i						

Form 5500-SF (2018)	Page 3- 1
---------------------	------------------

Part	VI Pension Funding Compliance					
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sc (Form 5500) and line 11a below)		В	Yes 🛚 N	Ю	
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a				
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?		f	Yes X N	Ю	
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)					
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, ar granting the waiver	d enter t Day		of the letter ruling Year		
lf y	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.					
b	Enter the minimum required contribution for this plan year	12b				
С	Enter the amount contributed by the employer to the plan for this plan year	12c				
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)						
e Will the minimum funding amount reported on line 12d be met by the funding deadline?				No N/A		
Part '	VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	s 🔀 No		
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a				
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?) 		Yes X No		
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)	s) to				
13c(1) Name of plan(s): 13c(2				N(s) 13c(3) PN(s)		