Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to Public Inspection

Part I	Annual Repor	<u>t Identification Information</u>									
For calend	ar plan year 2018 or	fiscal plan year beginning 01/01/2	2018	and ending 1	2/31/2018						
A This ref	turn/report is for:	X a single-employer plan			yer) (Filers checking this box must attach a in accordance with the form instructions.)						
_		a one-participant plan	a foreign plan								
B This retu	urn/report is	the first return/report	the final return/report								
		an amended return/report	a short plan year retu	urn/report (less than 12 m	ionths)						
C Check	box if filing under:	Form 5558	automatic extension	1	DFVC progra	am					
		special extension (enter desc	ription)								
Part II	Basic Plan Inf	ormation—enter all requested in	formation								
1a Name LAS REDEV	•	C PROFIT SHARING PLAN			1b Three-dig plan num (PN) ▶						
					1c Effective						
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box)						Identification Number 14-1583773					
-	town, state or proving ELOPMENT CO., LL	nce, country, and ZIP or foreign post .C	al code (if foreign, see ins	structions)	(EIN) 14-1583773 2c Sponsor's telephone number 518-489-4726						
						code (see instructions)					
6 EXECUTIVALBANY, NY	/E PARK DRIVE / 12203					236200					
•											
3a Plan a	dministrator's name a	and address X Same as Plan Spor	nsor.		3b Administr	ator's EIN					
					3c Administr	ator's telephone number					
		he plan sponsor or the plan name he			4b EIN						
	or's name	onsor s name, Env, the plan name of	and the plan number from	the last return/report.	4d PN						
C Plan N	lame										
5a Total	number of participant	ts at the beginning of the plan year.			5a	20					
		ts at the end of the plan year			. 5b	13					
		n account balances as of the end of		•	5c	12					
d(1) Tot	al number of active p	articipants at the beginning of the pl	lan year		5d(1)	8					
		participants at the end of the plan ye			5d(2)	7					
		o terminated employment during the			5e	0					
		or incomplete filing of this return									
SB or Sche		other penalties set forth in the instru- and signed by an enrolled actuary, a nplete.									
SIGN		d/valid electronic signature.	04/24/2019	JOHN FOLEY							
HERE	Signature of plan	administrator	Date	Enter name of individ	lual signing as pl	an administrator					
SIGN	Filed with authorize	d/valid electronic signature.	04/24/2019	JOHN FOLEY							
HERE	Signature of empl	lover/plan sponsor	Date	Enter name of individ	lual signing as ei	mplover or plan sponsor					

Form 5500-SF (2018) Page **2**

	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.). If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.									
С	If the plan is a defined benefit plan, is it covered under the PBGC ir If "Yes" is checked, enter the My PAA confirmation number from the					_	. – –			
Pa	rt III Financial Information									
7	Plan Assets and Liabilities		(a) Beginning	of Year			(b) End of Year			
<u>a</u>	Total plan assets	7a	6	18829			594529			
b	Total plan liabilities	7b		0			0			
<u> </u>	Net plan assets (subtract line 7b from line 7a)	7c	6	18829		594529				
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	ıt		(b) Total				
a	Contributions received or receivable from: (1) Employers	8a(1)		7380						
	(2) Participants	8a(2)	,	13550						
	(3) Others (including rollovers)	8a(3)		0						
<u>b</u>	Other income (loss)	8b		40518						
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c					-19588			
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		0						
е	Certain deemed and/or corrective distributions (see instructions)	8e		0						
f	Administrative service providers (salaries, fees, commissions)	8f		299						
g	Other expenses	8g		0						
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					299			
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i					-19887			
<u>j</u>	Transfers to (from) the plan (see instructions)	8j		-4413						
Pai	t IV Plan Characteristics									
9a	If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 2T 3D 3H	feature co	odes from the List of Pl	an Cha	racteri	stic Co	odes in the instructions:			
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	les from the List of Pla	n Chara	cteris	tic Cod	des in the instructions:			
Par	t V Compliance Questions									
10	During the plan year:				Yes	No	Amount			
а	Was there a failure to transmit to the plan any participant contribu described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)	oluntary F	iduciary Correction	10a	X		520			
b	Were there any nonexempt transactions with any party-in-interest reported on line 10a.)	t? (Do not	include transactions	10b		X	020			
c	Was the plan covered by a fidelity bond?			10c	Х		1000000			
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X	700000			
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)					X				
f	Has the plan failed to provide any benefit when due under the pla	ın?		10f		Χ				
g				10g	X		2865			
h	If this is an individual account plan, was there a blackout period? 2520.101-3.)	•		10h		X				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10	•		10i						

Form 5500-SF (2018)	Page 3- 1
	3

Pension Funding Compliance s this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete S Form 5500) and line 11a below) Inter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40		SB 		res X No				
Form 5500) and line 11a below)		SB T		res X No				
	11a							
s this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or sec	u							
12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)								
a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver Day Year								
u completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.								
nter the minimum required contribution for this plan year	12b							
C Enter the amount contributed by the employer to the plan for this plan year								
	12d							
Vill the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No	N/A				
Plan Terminations and Transfers of Assets								
las a resolution to terminate the plan been adopted in any plan year?		Ye	s X N	lo				
"Yes," enter the amount of any plan assets that reverted to the employer this year	13a							
	e	Yes X No						
	s) to							
c(1) Name of plan(s):	2) EIN(s)	13c(3) PN(s)					
DISTRICT MANAGEMENT, LLC 401(K) PLAN 81-34230	78		001					
	RISA?	RISA?	RISA?	Complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) Far waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter function to the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter function to the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter function for the minimum funding amount for this plan year				

Form 5500-SF, Line 10a Schedule of Delinquent Participant Contributions

Plan Name: LAS Redevelopment Co., LLC Profit Sharing Plan

EIN: 14-1583773 PN: 002 Plan Year End: December 31, 2018

	Participant Contributions Transferred Late to Plan	Total th	Total Fully Corrected		
Year	Check here if Late Participant Loan Repayments are included	Contributions Not Corrected	Contributions Corrected Outside VFCP	Contributions Pending Correction in VFCP	Under VFCP and PTE 2002-51
2018	\$520		\$520		
	_		-		

All late participant contributions and lost earnings have been remitted to the Plan in 2019.

Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to Public Inspection

P	Part Annual Report Identification Information									
For	calendar plan year 2018 or	fiscal plan year beginning		01/01/2018	and ending	12/3	1/2018			
Α	This return/report is for:	x a single-employer plan	٦°	list of participating			cking this box must attach e with the form instructions.)			
В	This return/report is:	a one-participant plan the first return/report	t	t foreign plan he final return/report						
		an amended return/report	a	short plan year retu	rn/report (less than 12 i	months)				
С	Check box if filing under:	Form 5558 special extension (enter descri	ш	utomatic extension		[] r	DFVC program			
Р	art II Basic Plan In	formation enter all requested i	inform	ation						
_	Name of plan LAS Redevelopment			ree-digit n number I) ▶ 002						
							ective date of plan /01/1983			
2a	Mailing Address (include r	ployer, if for a single-employer plan) oom, apt., suite no. and street, or P.C ince, country, and ZIP or foreign post			tructions)	2b Employer Identification Number (EIN) 14-1583773				
	LAS Redevelopment Co., LLC						onsor's telephone number 18) 489-4726			
	6 Executive Park	2d Business code (see instructions) 236200								
3a	US Albany NY 12203	and address V Const of Districts		·		3b Administrator's EIN				
Ja	Plan administrators name	and address 🗓 Same as Plan Spo	onsor							
						3C Adr	ninistrator's telephone number			
4		the plan sponsor or the plan name ha consor's name, EIN, the plan name a				4b EIN				
а	Sponsor's name					4d PN				
С	Plan Name									
5a	Total number of participan	ts at the beginning of the plan year .		*****	***************************************	5a	20			
b		ts at the end of the plan year				5b	13			
С		h account balances as of the end of t				5c	12			
d(articipants at the beginning of the pla			***************************************	5d(1)	8			
d((2) Total number of active p	articipants at the end of the plan year	r	•••••••		5d(2)	7			
е	e Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested					5e 0				
_Ca	nution: A penalty for the la	te or incomplete filing of this return	n/repo	ort will be assessed	i unless reasonable ca	use is esta	ablished.			
SE	Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.									
S	IGN			04/24/2019	John Foley	pa (Ktoles			
4.1	ERE Signature of plan ac	Iministrator		Date	Enter name of individu	al signing a	s plan administrator			

Date

04/24/2019

John Foley

Enter name of individual signing as employer or plan sponsor

Signature of employer/plan sponsor

SIGN HERE

Form	5500-	SE	20	18

Page 2

6a	Were all of the plan's assets during the plan year invested in eligible	e assets?	(See instructions.)				•••••	********	X Yes	No	
b	Are you claiming a waiver of the annual examination and report of a under 29 CFR 2520.104-46? (See instructions on waiver eligibility a	ind condit	ions.)	•••••		•••••		********	X Yes	□No	
	If you answered "No" to either line 6a or line 6b, the plan canno										
С	If the plan is a defined benefit plan, is it covered under the PBGC in										
	If "Yes" is checked, enter the My PAA confirmation number from the	e PBGC p	remium filing for this year					(S	ee instruc	tions.)	
Pa	art III Financial Information										
7	Plan Assets and Liabilities	ioa Fyl	(a) Beginning o	f Yea	ır	П		(b) End of	Year		
а	Total plan assets	7a		18,8					594,5	529	
b	Total plan liabilities	7b			0		0				
С	Net plan assets (subtract line 7b from line 7a)	7c	6:	18,8	29				594,5	529	
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount					(b) To	al		
а	Contributions received or receivable from:	0-(4)		7,3	٥٥	1017.00 1117.00 1117.00					
	(1) Employers	8a(1)	-	13,5		- B - B - B - B				navanas. Najerijak	
	(3) Others (including rollovers)	8a(2) 8a(3)	•	.,,	0				name to a state Tracket a state	Saladad Saladad	
b	Other income (loss)	8b	(4)	0,51		7,755 7,755			en ataria. Si batat sab		
C	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c			SAM.	2000 1000 1000	14147 0006		(10 50	: 143,54697 3Ω1	
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d			0	-115 -115 -125 -125 -125 -125 -125 -125	(19,588				
е	Certain deemed and/or corrective distributions (see instructions)	8e			0						
f	Administrative service providers (salaries, fees, commissions)	8f		2	99	放弃					
g	Other expenses	8g			0	26.5 27.0					
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h				200			2	299	
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i				(1) (2) (2)			(19,88	37)	
نے	Transfers to (from) the plan (see instructions)	8j	(4	41	3)	21.14 14.27	13,37		H Males		
Pa	art IV Plan Characteristics										
9a	If the plan provides pension benefits, enter the applicable pension for 2E 2F 2G 2J 2K 2T 3D 3H	eature cod	les from the List of Plan C	harac	cteris	tic Cod	des in t	ne instructio	ns:		
b	If the plan provides welfare benefits, enter the applicable welfare fea	ature code	es from the List of Plan Ch	aract	eristi	c Code	es in the	e instruction	s:		
Pa	irt V Compliance Questions										
10	During the plan year:				Yes	No	N/A	Aı	nount		
a		tions withi	n the time period								
	described in 29 CFR 2510.3-102? (See instructions and DOL's Vo	luntary Fi	duciary Correction								
	Program)			10a	X	<u> </u>				520	
b	Were there any nonexempt transactions with any party-in-interest?			405		x					
С	reported on line 10a.)			10b 10c	•	+^-	SECTION AND ADDRESS OF THE PERSON ADDRESS OF THE PERSON AND ADDRESS OF THE PERSON ADDRESS OF T		1 00	0 000	
d			·	100	X	1	18839 19839		1,00	0,000	
	by fraud or dishonesty?			10d		x					
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		x					
f	Has the plan failed to provide any benefit when due under the plan	1?		10f		х					
g	Did the plan have any participant loans? (If "Yes," enter amount as	s of year e	end.)	10g	х					2,865	
h	If this is an individual account plan, was there a blackout period? (2520.101-3.)			10h		x					
i	If 10h was answered "Yes," check the box if you either provided th exceptions to providing the notice applied under 29 CFR 2520.101			10i							
										_	

	Form 5500-SF 2018		Page 3 -							
Par	Pension Funding Compliance									
11	Is this a defined benefit plan subject to minimum funding requirem (Form 5500 and line 11a below)	nents? (If "Yes," see	instruction	s and	complete S	Schedul	e SB		Yes	X No
<u>11a</u>	Enter the unpaid minimum required contributions for all years from	n Schedule SB (Forr	n 5500) lin	e 40	*************	11a	<u> </u>	<u> </u>		
12	Is this a defined contribution plan subject to the minimum funding ERISA?(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below	requirements of se	ction 412 o	f the C	ode or sec	tion 30	2 of		Yes	X No
а	If a waiver of the minimum funding standard for a prior year is bein granting the waiver	ng amortized in this		Mo	nth.		er the date			ruling
lf y	ou completed line 12a, complete lines 3, 9, and 10 of Schedule	MB (Form 5500), a	and skip to	line 1	3.		ау	Yea	<u>ar</u>	
b	Enter the minimum required contribution for this plan year	**************************				12b				
С	Enter the amount contributed by the employer to the plan for the pl					12c				
d 	Subtract the amount in line 12c from the amount in line 12b. Enter negative amount)	r the result (enter a i	minus sign	to the	left of a	12d				
e	Will the minimum funding amount reported on line 12d be met by the	he funding deadline	?	••••••			Yes 🗌	No		 N/A
Part	VII Plan Terminations and Transfers of Assets									
_13a	Has a resolution to terminate the plan been adopted in any plan ye	ear?	**************	***********		Г	Yes	x	No	
	If "Yes," enter the amount of any plan assets that reverted to the er	malayar Abia	***************************************			13a				
b	Were all the plan assets distributed to participants or beneficiaries, control of the PBGC?	transferred to anoth	ner plan, or	broug	ht under th	е	Y	es [X N	o
C	If, during this plan year, any assets or liabilities were transferred fro	om this plan to anoth	ner plan(s),	identif	y the plan(s) to				

13c(2) EIN(s)

81-3423078

13c(3) PN(s)

001

* * * * *

which assets or liabilities were transferred. (See instructions.)

Capital District Management, LLC 401(k) Plan

13c(1) Name of plan(s):