Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to **Public Inspection**

Part I		Identification Information								
For calenda	ar plan year 2018 or fi	iscal plan year beginning 01/01/2	2018		and ending 12	2/31/2018				
■ A This return/report is for: A a single-employer plan a multiple-employer plan (not multiemployer plan list of participating employer information in										
	·	a one-participant plan	a foreign plan							
B This retu	rn/report is	the first return/report	the final return/report							
		an amended return/report	a sl	hort plan year return	/report (less than 12 m	onths)				
C Check b	oox if filing under:	Form 5558	au	tomatic extension	DFVC program					
		special extension (enter desc	<u> </u>							
Part II	Basic Plan Info	ormation—enter all requested in	nformatio	n						
1a Name of 403(B) THRII	•	ATION OF PROTESTANT WELFA	ARE AGE	ENCIES			ee-digit n number l) •	002		
						1c Effe	ective date o	f plan 1/2009		
		oyer, if for a single-employer plan)				2b Em	ployer Identi	fication Number		
		om, apt., suite no. and street, or P.C ce, country, and ZIP or foreign post		(if foreign, see instru	uctions)	(EIN) 13-5562220				
FEDERATION OF PROTESTANT WELFARE AGENCIES				,	2c Sponsor's telephone number 212-777-4800					
					2d Business code (see instructions)					
40 BROAD ST FL 5 NEW YORK, NY 10004-2315					624100					
,										
3a Plan ac	dministrator's name a	nd address X Same as Plan Spo	nsor.			3b Adr	ninistrator's	EIN		
	_				3c Administrator's telephone number					
						Administrator's telephone number				
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report.				4b EIN						
a Sponsor's name				4d PN						
C Plan Na	ame									
5a Total n	number of participants	s at the beginning of the plan year.				5a		89		
b Total number of participants at the end of the plan year			5b		88					
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)					5c		88			
d(1) Total number of active participants at the beginning of the plan year			5d(1)		39					
d(2) Total number of active participants at the end of the plan year			5d(2)		33					
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested				5e		4				
		or incomplete filing of this return								
SB or Sche		ther penalties set forth in the instru- and signed by an enrolled actuary, a aplete.								
SIGN	Filed with authorized	d/valid electronic signature.		04/29/2019	FRANK DIMAIUTA					
HERE	Signature of plan a	administrator		Date	Enter name of individ	ual signing	g as plan adr	ministrator		
SIGN										
HERE	Signature of emplo	oyer/plan sponsor		Date	Enter name of individ	of individual signing as employer or plan sponsor				

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_	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)						. X Yes No			
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)						. X Yes No			
	If you answered "No" to either line 6a or line 6b, the plan cann									
С	If the plan is a defined benefit plan, is it covered under the PBGC in						. —	_		
	If "Yes" is checked, enter the My PAA confirmation number from the	ie PBGC p	remium filing for this pl	an yea	r			(See instructions.)		
Pa	rt III Financial Information									
7	Plan Assets and Liabilities		(a) Beginning (of Year			(b) En	d of Year		
a	Total plan assets	. 7a	458	4583275			4961040			
<u>b</u>	Total plan liabilities	7b		0		0				
С	Net plan assets (subtract line 7b from line 7a)	7c	458	4583275			4961040			
88	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	(a) Amount			(b) Total			
а	Contributions received or receivable from: (1) Employers	8a(1)	25	255739						
	(2) Participants	8a(2)	17	179844						
	(3) Others (including rollovers)	8a(3)	26	64214						
b	Other income (loss)	8b	-20	09342						
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c					490455			
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	11	11872						
е	Certain deemed and/or corrective distributions (see instructions)	8e		0						
f	Administrative service providers (salaries, fees, commissions)	8f								
g	Other expenses	8g		818						
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h				112690				
<u></u>	Net income (loss) (subtract line 8h from line 8c)	8i				377765				
<u>j</u>	Transfers to (from) the plan (see instructions)	8j		0						
Pai	Part IV Plan Characteristics									
9a	9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2F 2T									
b	b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:									
Par	t V Compliance Questions									
10 During the plan year:					Yes	No		Amount		
а	Was there a failure to transmit to the plan any participant contribu described in 29 CFR 2510.3-102? (See instructions and DOL's V									
	Program)			10a		X				
	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X				
С	C Was the plan covered by a fidelity bond?			10c	X			1000000		
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X				
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e	X			1876		
f	f Has the plan failed to provide any benefit when due under the plan?			10f		X				
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g	X			69816		
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i						

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Part	VI Pension Funding Compliance			
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete (Form 5500) and line 11a below)			Yes No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a		
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or se ERISA?		f	Yes X No
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)			
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, granting the waiver	and enter Da		of the letter ruling Year
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.			
b	Enter the minimum required contribution for this plan year	12b		
С	Enter the amount contributed by the employer to the plan for this plan year	12c		
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d		
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A
Part '	VII Plan Terminations and Transfers of Assets			
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	s X No
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a		
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under control of the PBGC?	he		Yes X No
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan which assets or liabilities were transferred. (See instructions.)	n(s) to		
1	3c(1) Name of plan(s):	(2) EIN(s)		13c(3) PN(s)