## Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee **Benefit Plan** 

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to Public Inspection

Part I		Identification Information							
For calen	or calendar plan year 2018 or fiscal plan year beginning 01/01/2018 and ending 12/31/2018								
<b>A</b> This re	eturn/report is for:	X a single-employer plan	a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)						
		a one-participant plan	a foreign plan						
<b>B</b> This re	eturn/report is	the first return/report	the final return/report						
_		an amended return/report	a short plan year ret	urn/report (less than 12 m	onths)				
C Check	k box if filing under:	Form 5558	automatic extension	n	DFVC progra	m			
	_	special extension (enter descr	<u>'                                    </u>						
Part II	Basic Plan Info	ormation—enter all requested inf	formation						
1a Name EFFICIENT	•	OLUTIONS, INC. 401(K) PROFIT S	SHARING PLAN		<b>1b</b> Three-digi plan numb (PN) ▶	oer 001			
					1c Effective of	late of plan 01/01/2014			
		oyer, if for a single-employer plan)			2b Employer Identification Number				
		om, apt., suite no. and street, or P.C ce, country, and ZIP or foreign post		structions)	(EIN)	20-3430296			
	COMMUNICATION SO		(	,	<b>2c</b> Sponsor's telephone number 253-886-5400				
					2d Business code (see instructions)				
	LLEY HWY N, STE 302	2			541519				
AUBURN, \	WA 96001								
3a Plan	administrator's name ar	nd address X Same as Plan Spor	nsor.		<b>3b</b> Administra	utor's EIN			
					<b>3c</b> Administra	tor's telephone number			
		e plan sponsor or the plan name ha			4b EIN				
		onson's name, Env, the plan name a	and the plan number nom	i tile last return/report.	4d PN				
a Sponsor's name C Plan Name									
<b>5a</b> Tota	I number of participants	s at the beginning of the plan year			. 5a				
		s at the end of the plan year			5b				
		account balances as of the end of			5c				
d(1) Total number of active participants at the beginning of the plan year				5d(1)					
d(2) Total number of active participants at the end of the plan year				5d(2)					
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested			5e	0					
Caution:	A penalty for the late	or incomplete filing of this return	n/report will be assesse	ed unless reasonable ca					
SB or Sch		ther penalties set forth in the instructed and signed by an enrolled actuary, and the signed by an enrolled actuary, and the signed and the signed actuary.							
SIGN HERE	Filed with authorized	d/valid electronic signature.	05/07/2019	DON BARBER					
	Signature of plan a	administrator	Date	Enter name of individ	vidual signing as plan administrator				
SIGN									
HERE	Signature of emplo	oyer/plan sponsor	Date	Enter name of individ	ual signing as em	nployer or plan sponsor			

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under 29 CFR 2520, 104-467 (See instructions on waiver eligibility and conditions).  If you answerd "No" to either line 6a or line 6b, the plan cannot use Form 5500-8F and must instead use Form 5500.  If the plan is a defined benefit plan, is to covered under the PBGC insurance program (see ERISA section 4021)?	_	6a Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)						X Yes	No		
If you answered "No" to either line & or line 6b, the plan cannot use Form 5500-\$F and must instead use Form 5500.  If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)?	b	<b>b</b> Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA)						X Yes	No		
Part III   Financial Information   TP   Part Assets and Liabilities   (a) Beginning of Year   (b) End of Year   146896   138373   b Total plan labilities   7b   146896   138373   b Total plan labilities   7b   146896   138373   b Total plan labilities   7b   146896   138373   b Total plan labilities   7c   146896   138373   b Total plan labilities   7c   146896   138373   c Net plan assets (subtract line 7b from line 7a)   7c   146896   138373   a Income, Expenses, and Transfers for this Plan Year   (a) Amount   (b) Total   a Contributions received or receivable from: (1) Employers   8a(1)   (2) Participants   8a(2)   8158   (3) Others (including rollovers)   8a(3)   (3) Others (including rollovers)   8a(3)   (3) Others (including rollovers)   8a(3)   (3) Others (including rollovers)   8b   -9096   (4) Other income (loss)   8b   -9096   (4) Other income (loss)   8c   -1538   (4) Other income (loss)		and 25 of 12 2525 of 16. (Coo management of the various of signature) and continuous.							1		
Part III   Financial Information   (a) Beginning of Year   (b) End of Year   a Total plan assets and Liabilities   7a   146896   138373   146896	С	C If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No Not determined								ined	
7 Plan Assets and Liabilities (a) Beginning of Year (b) End of Year a 1 a Total plan assets (a) Total plan assets (a) Total plan assets (a) Total plan ia liabilities (b) Total plan ia liabilities (b) Total plan ia liabilities (c) Total plan assets (a) total plan a								(See instruction	ons.)		
a Total plan assets	Pa	rt III Financial Information									
b Total plan liabilities	7	Plan Assets and Liabilities		(a) Beginning (	of Year			(b) E	End of Year		
C Net plan assets (subtract line 7b from line 7a)	а	Total plan assets	7a	14	46896				139373		
8 income, Expenses, and Transfers for this Plan Year a Contributions received or receivable from: (1) Employers 8a(1) (2) Participants	<u>b</u>	Total plan liabilities	7b								
a Contributions received or receivable from: (i) Employers (i) Employers (ii) Employers (iii) Employers (iiii) Employers (iiii) Employers (iiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiii	С	Net plan assets (subtract line 7b from line 7a)	7c	14	146896			139373			
(2) Participants	8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	ıt			(b	) Total		
(3) Others (including rollovers)	а		8a(1)								
b Other income (loss)		(2) Participants	8a(2)		8158						
C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)		(3) Others (including rollovers)	8a(3)								
d Benefits paid (including direct rollowers and insurance premiums to provide benefits).  8	b	Other income (loss)	8b		-9696						
e Certain deemed and/or corrective distributions (see instructions) 8e f Administrative service providers (salaries, fees, commissions) 8f f Administrative service providers (salaries, fees, commissions) 8f g Other expenses	С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c				-1538				
f Administrative service providers (salaries, fees, commissions)	d		8d		5985						
g Other expenses	е	Certain deemed and/or corrective distributions (see instructions)	8e								
Notal expenses (add lines 8d, 8e, 8f, and 8g)   8h   5985     Net income (loss) (subtract line 8h from line 8c)   8i   77523     Transfers to (from) the plan (see instructions)   8j   8j     Part IV   Plan Characteristics   9a   If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:   2E 2J 2K 2H 3D     b   If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:     Part V   Compliance Questions   Yes   No   Amount     a   Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)   10a   X     b   Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)   10b   X     c   Was the plan covered by a fidelity bond?   10c   X     d   Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?   10d   X     e   Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)   10e   X     f   Has the plan failed to provide any benefit when due under the plan?   10f   X     g   Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)   10g   X   3428     h   If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR   2520.101-3.)   10h   X     i   If 10h was answered "Yes," check the box if you either provided the required notice or one of the	f	Administrative service providers (salaries, fees, commissions)	8f								
i Net income (loss) (subtract line 8h from line 8c)	g	Other expenses	8g								
Part IV   Plan Characteristics	<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						5985		
Part IV   Plan Characteristics	<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i					-7523			
If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:   2E 2J 2K 2H 3D	j	Transfers to (from) the plan (see instructions)	8j								
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:    Part V   Compliance Questions	Pai	t IV Plan Characteristics									
Part V Compliance Questions  10 During the plan year: Yes No Amount  a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) 10a X  b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.) 10b X  c Was the plan covered by a fidelity bond? 10c X  d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? 10d X  e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.) 10e X  f Has the plan failed to provide any benefit when due under the plan? 10f X  g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.) 10g X 3424  h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) 10h X	9a										
10 During the plan year:  a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	b										
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	Par	t V Compliance Questions									
described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10	During the plan year:				Yes	No		Amount		
b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	а	described in 29 CFR 2510.3-102? (See instructions and DOL's V	oluntary F	iduciary Correction	10a		X				
C Was the plan covered by a fidelity bond?	b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions									
d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?  e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)  f Has the plan failed to provide any benefit when due under the plan?  g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)  h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)  i If 10h was answered "Yes," check the box if you either provided the required notice or one of the					10c		Х				
Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)	d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused					X				
g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)	е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under			10e		X				
h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	f	<b>f</b> Has the plan failed to provide any benefit when due under the plan?			10f		X				
2520.101-3.)	g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g	X			34252		
	h		•		10h		Х				
CACCEPTIONS to providing the notice applied under 25 of N 2525.101 5	i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i					_	

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Part	VI Pension Funding Compliance					
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and con (Form 5500) and line 11a below)			В		es 🗌 No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40		11a			
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code ERISA?	e or section	n 302 of		. Y	es X No
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)					
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instru granting the waiver.		d enter t Day		of the letter Year	ruling
lf :	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.					
<b>b</b> Enter the minimum required contribution for this plan year						
С	Enter the amount contributed by the employer to the plan for this plan year		12c			
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)						
е	e Will the minimum funding amount reported on line 12d be met by the funding deadline?				No	N/A
Part '	VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?			X Yes	No.	)
	If "Yes," enter the amount of any plan assets that reverted to the employer this year		13a			(
b	<b>b</b> Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?				Yes X	No
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify which assets or liabilities were transferred. (See instructions.)	the plan(s)	to			
13c(1) Name of plan(s): 13c(2				<b>2)</b> EIN(s)		PN(s)