Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to Public Inspection

Part I	Annual Report	t Identification Information				
For calenda	ar plan year 2018 or f	fiscal plan year beginning 01/01/2	2018	and ending 12	2/31/2018	
A This ret	urn/report is for:	X a single-employer plan		plan (not multiemployer) (employer information in ac	_	
_		a one-participant plan	a foreign plan			
B This retu	urn/report is	the first return/report	the final return/repor	t		
		an amended return/report	a short plan year ret	urn/report (less than 12 m	onths)	
C Check b	oox if filing under:	Form 5558	automatic extension	1	DFVC progra	am
		special extension (enter descr	ription)			
Part II	Basic Plan Info	ormation—enter all requested inf	formation			
1a Name NELSON LA	•	C SAFE HARBOR 401(K) PLAN			1b Three-dig plan numl (PN) ▶	
					1c Effective	
		oyer, if for a single-employer plan)			2b Employer	Identification Number
		om, apt., suite no. and street, or P.C ce, country, and ZIP or foreign post		structions)	(EIN)	46-3438746
NELSON LA	NGER ENGLE, PLLC					s telephone number 06-623-7520
					2d Business	code (see instructions)
12055 15 I H SEATTLE, W	AVE NE, SUITE 100 /A 98125					541110
					01	
3a Plan a	dministrator's name a	and address X Same as Plan Spor	nsor.		3b Administra	ator's EIN
					3c Administra	ator's telephone number
		ne plan sponsor or the plan name ha			4b EIN	
a Spons		shoor o name, Ent, the plan name o	and the plan namber nom	The last retain/report.	4d PN	
C Plan N	lame					
5a Total r	number of participants	s at the beginning of the plan year			5a	13
		s at the end of the plan year			5b	10
		account balances as of the end of			5c	10
d(1) Tota	al number of active pa	articipants at the beginning of the pl	an year		5d(1)	12
		articipants at the end of the plan year			5d(2)	9
		o terminated employment during the			5e	0
Caution: A	penalty for the late	or incomplete filing of this return	n/report will be assesse	ed unless reasonable ca		
SB or Sche		other penalties set forth in the instruction and signed by an enrolled actuary, an oplete.				
SIGN		d/valid electronic signature.	05/13/2019	FREDERICK LANGE	₹	
HERE	Signature of plan	administrator	Date	Enter name of individ	ual signing as pl	an administrator
SIGN	SIGN Filed with authorized/valid electronic signature. 05/13/2019 FREDERICK LAN					
HEKE	Signature of empl	over/plan sponsor	dual signing as employer or plan sponsor			

Form 5500-SF (2018) Page **2**

	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)								
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)								
c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? ☐ Yes ☐ No ☐ No						Yes No Not determined			
Pai	rt III Financial Information								
7	Plan Assets and Liabilities		(a) Beginning (of Year			(b) End of Year		
a	Total plan assets	7a	17	776090		1725845			
b	Total plan liabilities	7b							
С	Net plan assets (subtract line 7b from line 7a)	7c	173	1776090		1725845			
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	(a) Amount		(b) Total			
a	Contributions received or receivable from: (1) Employers	8a(1)	;	31111					
	(2) Participants	8a(2)		89706	_				
	(3) Others (including rollovers)	8a(3)							
b	Other income (loss)	8b	-1:	-139772					
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c				-18955			
d 	Benefits paid (including direct rollovers and insurance premiums to provide benefits)		:	29279					
<u>e</u>	Certain deemed and/or corrective distributions (see instructions) \dots	8e							
<u>f</u>	Administrative service providers (salaries, fees, commissions)	8f		2011					
	Other expenses	8g							
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					31290		
	Net income (loss) (subtract line 8h from line 8c)	8i					-50245		
	Transfers to (from) the plan (see instructions)	8j							
	t IV Plan Characteristics								
9a 	9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2F 2G 2J 2K 2R 3D								
b	b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:								
Par	t V Compliance Questions								
10	During the plan year:				Yes	No	Amount		
а	Was there a failure to transmit to the plan any participant contribu described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)	oluntary F	iduciary Correction	10a		X			
b	b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		Χ			
c	C Was the plan covered by a fidelity bond?		10c	X		150000			
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X	100000		
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)		10e		X				
f	f Has the plan failed to provide any benefit when due under the plan?			10f		X			
	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g	X		25469		
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)		10h		Χ				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10	•		10i					

Form 5500-SF (2018)	Page 3- 1

Part	VI Pension Funding Compliance			
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete (Form 5500) and line 11a below)			Yes No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a		
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or se ERISA?		f	Yes X No
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)			
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, granting the waiver	and enter Da		of the letter ruling Year
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.			
b	Enter the minimum required contribution for this plan year	12b		
С	Enter the amount contributed by the employer to the plan for this plan year	12c		
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d		
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A
Part '	VII Plan Terminations and Transfers of Assets			
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	s X No
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a		
b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?				Yes X No
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan which assets or liabilities were transferred. (See instructions.)	n(s) to		
1	3c(1) Name of plan(s):	(2) EIN(s)		13c(3) PN(s)