Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to **Public Inspection**

| A This return/report is or: a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.) a namended return/report the first return/report a her first return/report a her first return/report a short plan year return/report (less than 12 months) This return/report a short plan year return/report (less than 12 months) | | Report Identification Information | | | | | | | |
|--|---|---|--------------------------------|-----------------------------|-------------------------------------|-------------------------|--|--|--|
| A This return/report is for: a one-participant plan a foreign plan a short plan year return/report (less than 12 months) C C Check box if filing under: Form 5558 automatic extension DFVC program DFVC progra | For calendar plan year 2 | 018 or fiscal plan year beginning 01/01/2 | 2018 | and ending 12/3 | 31/2018 | | | | |
| B This return/report is | A This return/report is f | a single-employer plan | | | | | | | |
| In the Institution of Part (Part III) The Institution of Part III Basic Plan Information Institution Ins | · | | | | | , | | | |
| C Check box if filing under: | B This return/report is | the first return/report | the final return/report | | | | | | |
| Special extension (enter description) | | an amended return/report | a short plan year retu | rn/report (less than 12 mor | nths) | | | | |
| Part II Basic Plan Information—enter all requested information 1a Name of plan BECKER RETIREMENT GROUP 401 K PROFIT SHARING PLAN TRUST | C Check box if filing un | der: Form 5558 | automatic extension | | DFVC progra | m | | | |
| 18 Name of plan | | | . , | | | | | | |
| 18 Name of plan | Part II Basic Pla | an Information—enter all requested in | nformation | | | | | | |
| plan number (PN) 001 1c Effective date of plan (0101/2016) 2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) (City or fown, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) 3b Endowmore of the plan sponsor's telephone number (EIN) 47-1804212 2c Sponsor's telephone number 445-822-8282 2d Business code (see instructions) 3a Plan administrator's name and address Same as Plan Sponsor. 3b Administrator's telephone number 445-822-8282 2d Business code (see instructions) 3c Administrator's telephone number (EIN) 45-822-8282 2d Business code (see instructions) 3c Administrator's telephone number (IN) 4d PN | • | · | | | 1b Three-dia | t | | | |
| 2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt, suite no. and street, or P.O. Box) BECKER RETIREMENT GROUP INC 2c Sponsor's Lelephone number 428-822-8282 2d Business code (see instructions) 3a Plan administrator's name and address Same as Plan Sponsor. 3b Administrator's EIN 3c Administrator's EIN 4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report. a Sponsor's name c Plan Name 5a Total number of participants at the beginning of the plan year c Plan Name 5b Total number of participants at the end of the plan year c Number of participants with account belances as of the end of the plan year c Number of participants with account belances as of the end of the plan year d(2) Total number of active participants at the beginning of the plan year d(2) Total number of active participants at the end of the plan year han 100% vested. Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established. Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established. Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established. Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established. Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established. Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established. Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established. Caution: A penalty for the late or incomplete | · | | | | plan numl | per | | | |
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| 4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report. a Sponsor's name c Plan Name 5a Total number of participants at the beginning of the plan year | BELLEVUE, WA 98004 | | | | | 541990 | | | |
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| b Total number of participants at the end of the plan year | 5a Total number of participants at the beginning of the plan year | | | | 5a | 10 | | | |
| d(1) Total number of active participants at the beginning of the plan year | | | | | 5b | 8 | | | |
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| SIGN HERE Filed with authorized/valid electronic signature. 05/13/2019 ARWEN BECKER Signature of plan administrator Date Enter name of individual signing as plan administrator SIGN HERE HERE | SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and | | | | | | | | |
| Signature of plan administrator Date Enter name of individual signing as plan administrator SIGN HERF | SIGN Filed with au | | 05/13/2019 | ARWEN BECKER | | | | | |
| HERE | HERE Signature | of plan administrator | Date | Enter name of individua | al signing as pla | an administrator | | | |
| HERE Signature of employer/plan sponsor Date Enter name of individual signing as employer or plan sponsor | | | | | | | | | |
| | HERE Signature | of employer/plan sponsor | Date | Enter name of individua | al signing as en | nployer or plan sponsor | | | |

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| | Were all of the plan's assets during the plan year invested in eligib | | | | | | | X Yes | No |
|----------|--|------------|---------------------------|---------------|---------|-----------|------------------|-------------|----------|
| b | Are you claiming a waiver of the annual examination and report of under 29 CFR 2520.104-46? (See instructions on waiver eligibility | | | | | | | X Yes | □ No |
| | If you answered "No" to either line 6a or line 6b, the plan cann | | | | | | | Ш | □ |
| С | c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? ☐ Yes ☐ No ☐ | | | | | | Not dete | rmined | |
| | If "Yes" is checked, enter the My PAA confirmation number from the | e PBGC p | remium filing for this pl | lan yea | r | | | (See instru | ctions.) |
| Pai | rt III Financial Information | | | | | | | | |
| 7 | Plan Assets and Liabilities | | (a) Beginning (| of Year | | | (b) End | l of Year | |
| a | Total plan assets | 7a | ` , , , | 99726 | | 13618 | | | |
| b | Total plan liabilities | 7b | | 0 | | | | | |
| С | Net plan assets (subtract line 7b from line 7a) | 7c | (| 99726 | | 136184 | | 136184 | |
| 8 | Income, Expenses, and Transfers for this Plan Year | | (a) Amoun | t | | (b) Total | | | |
| а | Contributions received or receivable from: | | | 0040 | | | | | |
| | (1) Employers | 8a(1) | | 6619 40072 | | + | | | |
| | (2) Participants | 8a(2) | | 0 | - | | | | |
| | (3) Others (including rollovers) | 8a(3) | | -9100 | - | | | | |
| | Other income (loss) | 8b | | -3100 | | | | 37591 | |
| | Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) | 8c | | | | | | 37391 | |
| | to provide benefits) | 8d | | 91 | | | | | |
| <u>e</u> | Certain deemed and/or corrective distributions (see instructions) | 8e | | 0 | | | | | |
| f | Administrative service providers (salaries, fees, commissions) | 8f | | 1042 | | | | | |
| g | Other expenses | 8g | | 0 | | | | | |
| h | Total expenses (add lines 8d, 8e, 8f, and 8g) | 8h | | | | | | 1133 | |
| i_ | Net income (loss) (subtract line 8h from line 8c) | . 8i | | | | | | 36458 | |
| <u>j</u> | Transfers to (from) the plan (see instructions) | 8j | | 0 | | | | | |
| Par | t IV Plan Characteristics | | | | | | | | |
| 9a | If the plan provides pension benefits, enter the applicable pension 2T 2J 2G 2S 3D 2E 2K 2F | feature co | des from the List of Pla | an Cha | racteri | stic Co | odes in the ins | tructions: | |
| b | If the plan provides welfare benefits, enter the applicable welfare for | eature cod | les from the List of Pla | n Chara | acteris | tic Cod | des in the insti | ructions: | |
| | | | | | | | | | |
| Par | t V Compliance Questions | | | | • | ı | _ | | |
| 10 | During the plan year: | | | | Yes | No | | Amount | |
| а | Was there a failure to transmit to the plan any participant contribudescribed in 29 CFR 2510.3-102? (See instructions and DOL's V | | | | | | | | |
| | Program) | | | 10a | | X | | | |
| b | b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.) | | | 10b | | X | | | |
| С | C Was the plan covered by a fidelity bond? | | | 10c | X | | | 200 | 00 |
| d | d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? | | | 10d | | X | | | |
| е | Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.) | | | 10e | | X | | | |
| f | f Has the plan failed to provide any benefit when due under the plan? | | | 10f | | Χ | | | |
| <u> </u> | g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.) | | | 10g | | Χ | | | |
| h | h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) | | | 10h | | X | | | |
| i | i If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3 | | | 10i | | | | | |
| | | | | | | | | | |

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| Part | VI Pension Funding Compliance | | | | | |
|---|---|-------|---------|-------------------------|---|--|
| 11 | Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sc (Form 5500) and line 11a below) | В | Yes 🛚 N | Ю | | |
| 11a | Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 | 11a | | | | |
| 12 | Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA? | | f | Yes X N | Ю | |
| | (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) | | | | | |
| a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of t granting the waiver | | | | | | |
| lf y | ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13. | | | | | |
| b Enter the minimum required contribution for this plan year | | | | | | |
| С | Enter the amount contributed by the employer to the plan for this plan year | 12c | | | | |
| d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount) | | | | | | |
| е | Will the minimum funding amount reported on line 12d be met by the funding deadline? | | Yes | No N/A | | |
| Part ' | VII Plan Terminations and Transfers of Assets | | | | | |
| 13a Has a resolution to terminate the plan been adopted in any plan year? | | | | s 🔀 No | | |
| | If "Yes," enter the amount of any plan assets that reverted to the employer this year | 13a | | | | |
| b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC? | | | | Yes X No | | |
| С | If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.) | s) to | | | | |
| 13c(1) Name of plan(s): 13c(2) | | | | (s) 13c(3) PN(s) | | |
| | | | | | | |