Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to **Public Inspection**

| Parti | Annual Report | i identification information | | | | | | |
|---|-------------------------|--|---|--|------------------------------------|---|--|--|
| For calend | lar plan year 2018 or f | iscal plan year beginning 01/01/2 | 2018 | and ending 12 | /31/2018 | | | |
| A This re | turn/report is for: | X a single-employer plan | | lan (not multiemployer) (F mployer information in acc | _ | | | |
| D. Till | | a one-participant plan | a foreign plan | | | | | |
| b This ret | urn/report is | the first return/report | the final return/report | | | | | |
| | | an amended return/report | a short plan year return/report (less than 12 months) | | | | | |
| C Check | box if filing under: | Form 5558 | automatic extension | | DFVC program | m | | |
| | | special extension (enter desc | · / | | | | | |
| Part II | Basic Plan Info | ormation—enter all requested in | formation | | | | | |
| 1a Name | of plan | | | | 1b Three-digit | | | |
| DAYON MF | G 401-K | | | | plan numb | er | | |
| | | | | | (PN) ▶ | 002 | | |
| | | | | | 1c Effective d | ate of plan | | |
| | | | | | | 01/01/1993 | | |
| 2a Plan s | ponsor's name (empl | oyer, if for a single-employer plan) | | | 2b Employer I | dentification Number | | |
| | | om, apt., suite no. and street, or P.0 | | | | 06-0770340 | | |
| City or | r town, state or provin | ce, country, and ZIP or foreign pos | tal code (if foreign, see inst | ructions) | 2c Sponsor's | telephone number | | |
| DAYON MF | G., INC. | | | | | 0-677-8561 | | |
| | | | | | | ode (see instructions) | | |
| PO BOX 588 | | | | | Zu business c | ode (see instructions) | | |
| | BRITAIN AVENUE | | | | | 332610 | | |
| | ON, CT 06034 | | | | | | | |
| 20 Dlan a | | and address V Come to Disc Com | | | 3b Administrati | tor's FINI | | |
| Ja Pian a | idministrator's name a | and address 🛛 Same as Plan Spo | nsor. | | 3D Administra | IOI S EIIN | | |
| | | | | | 3c Administra | tor's telephone number | | |
| | | | | | JC Auministra | tor a refebriorie flumber | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| 4 If the | name and/or EIN of th | ne plan sponsor or the plan name h | as changed since the last r | return/report filed for | 4b EIN | | | |
| | | onsor's name, EIN, the plan name | and the plan number from t | the last return/report. | 4-1 -51 | | | |
| • | sor's name | | | | 4d PN | | | |
| C Plan N | Name | | | | | | | |
| 5a Total | number of participants | s at the beginning of the plan year. | | | 5a | 20 | | |
| _ | | | | | 5b | 19 | | |
| O Number of participants with a consult belongs as of the said of the plan was (said a said button plan | | | 47 | | | | | |
| | | | | | 5c | 17 | | |
| ` ' | · | articipants at the beginning of the p | • | - | 5d(1) | 18 | | |
| d(2) Tot | tal number of active pa | articipants at the end of the plan ye | ar | | 5d(2) | 18 | | |
| Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested | | | | 5e | 0 | | | |
| | | or incomplete filing of this retur | | | se is establishe | d. | | |
| | | ther penalties set forth in the instru and signed by an enrolled actuary, | | | | | | |
| | true, correct, and com | | | T | | | | |
| SIGN | Filed with authorized | d/valid electronic signature. | 05/16/2019 | ROSE SONSTROEM | | | | |
| HERE | I . | | | | dual signing as plan administrator | | | |
| | Signature of plan | administrator | Date | Enter name of individu | al signing as pla | n administrator | | |
| SIGN | Signature of plan | administrator | Date | Enter name of individu | al signing as pla | n administrator | | |
| SIGN HERE | | administrator | Date Date | | <u> </u> | n administrator ployer or plan sponsor | | |

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| | Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.) | | | | | | X Yes No | | |
|----------|---|------------|--------------------------|----------|---------|---------|-----------------|------------------------------------|--|
| С | If you answered "No" to either line 6a or line 6b, the plan cann If the plan is a defined benefit plan, is it covered under the PBGC in If "Yes" is checked, enter the My PAA confirmation number from th | nsurance p | orogram (see ERISA se | ection 4 | 021)? | | Yes No | Not determined (See instructions.) | |
| Pa | rt III Financial Information | | _ | | - | | | | |
| 7 | Plan Assets and Liabilities | | (a) Beginning | of Year | | | (b) End | of Year | |
| <u>a</u> | Total plan assets | 7a | 22 | 20099 | | | | 2219318 | |
| b | Total plan liabilities | 7b | | | | | | | |
| <u>C</u> | Net plan assets (subtract line 7b from line 7a) | 7c | 22 | 20099 | | | | 2219318 | |
| 8 | Income, Expenses, and Transfers for this Plan Year | | (a) Amoun | t | | | (b) | Total | |
| a | Contributions received or receivable from: (1) Employers | 8a(1) | | 8417 | | | | | |
| | (2) Participants | 8a(2) | | 94031 | | | | | |
| | (3) Others (including rollovers) | 8a(3) | | | | | | | |
| b | Other income (loss) | 8b | -! | 96886 | | | | | |
| С | Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) | 8c | | | | 5562 | | | |
| d | Benefits paid (including direct rollovers and insurance premiums to provide benefits) | | | 2646 | | | | | |
| е | Certain deemed and/or corrective distributions (see instructions) | 8e | | 1430 | | | | | |
| f | Administrative service providers (salaries, fees, commissions) | 8f | | 2267 | | | | | |
| g | Other expenses | 8g | | | | | | | |
| <u>h</u> | Total expenses (add lines 8d, 8e, 8f, and 8g) | 8h | | | | | | 6343 | |
| <u>i</u> | Net income (loss) (subtract line 8h from line 8c) | 8i | | | | | -781 | | |
| j | Transfers to (from) the plan (see instructions) | 8j | | | | | | | |
| Pai | rt IV Plan Characteristics | | | | | | | | |
| 9a | If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 2T 3D | feature co | odes from the List of Pl | an Cha | racteri | stic Co | odes in the ins | tructions: | |
| b | If the plan provides welfare benefits, enter the applicable welfare fe | eature cod | des from the List of Pla | n Chara | acteris | tic Co | des in the inst | ructions: | |
| Par | t V Compliance Questions | | | | | | | | |
| 10 | During the plan year: | | | | Yes | No | | Amount | |
| а | Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) | | | 10a | | X | | | |
| b | Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.) | | | 10b | | X | | | |
| С | Was the plan covered by a fidelity bond? | | | 10c | X | | | 223000 | |
| d | d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? | | | 10d | | X | | 22000 | |
| е | e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.) | | | 10e | | Х | | | |
| f | f Has the plan failed to provide any benefit when due under the plan? | | | | | X | | | |
| g | g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.) | | | | X | | | 7484 | |
| _ h | h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) | | | 10h | | X | | | |
| i | If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10 | • | | 10i | | | | | |

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| | |

| Part | VI Pension Funding Compliance | | | |
|--|--|-----------------|-----|---------------------------|
| 11 | Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete (Form 5500) and line 11a below) | | | Yes No |
| 11a | Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 | 11a | | |
| 12 | Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or se ERISA? | | f | Yes X No |
| | (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) | | | |
| а | If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, granting the waiver | and enter Da | | of the letter ruling Year |
| lf y | you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13. | | | |
| b | Enter the minimum required contribution for this plan year | 12b | | |
| С | Enter the amount contributed by the employer to the plan for this plan year | 12c | | |
| d | Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount) | 12d | | |
| е | Will the minimum funding amount reported on line 12d be met by the funding deadline? | | Yes | No N/A |
| Part ' | VII Plan Terminations and Transfers of Assets | | | |
| 13a | Has a resolution to terminate the plan been adopted in any plan year? | | Yes | s X No |
| | If "Yes," enter the amount of any plan assets that reverted to the employer this year | 13a | | |
| b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC? | | | | Yes X No |
| С | If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan which assets or liabilities were transferred. (See instructions.) | n(s) to | | |
| 1 | 3c(1) Name of plan(s): | (2) EIN(s) | | 13c(3) PN(s) |
| | | | | |