Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to Public Inspection

Part I	Annual Report	i Identification Information	<u>1</u>							
For calenda	ar plan year 2018 or f	iscal plan year beginning 01/01/2	2018		and ending 12	2/31/2018				
A This return/report is for:		X a single-employer plan	a multiple-employer plan (not multiemployer) (Fi				=			
	·	a one-participant plan	a foreign plan							
B This retu	urn/report is	the first return/report	the final return/report							
		an amended return/report	a short plan year return/report (less than 12 months)							
C Check I	box if filing under:	Form 5558	auto	omatic extension		DFVC	program			
		special extension (enter descr	cription)							
Part II	Basic Plan Info	ormation—enter all requested inf	nformation	1						
1a Name of plan EASTMAN ASSOCIATES LLC 401 K PROFIT SHARING PLAN TRUST						ee-digit n number) •	001			
						1c Effective date of plan 01/01/2017				
		oyer, if for a single-employer plan) om, apt., suite no. and street, or P.C	O Box)			2b Employer Identification Number				
		ce, country, and ZIP or foreign post		if foreign, see instru	uctions)	(EIN) 82-1410133 2c Sponsor's telephone number				
EASTMAN &	ASSOCIATES LLC					253-334-9231				
4000 5 1441						2d Business code (see instructions)				
1029 E MAIN PUYALLUP,						531210				
3a Plan a	dministrator's name a	and address Same as Plan Spor	onsor.			3b Administrator's EIN 26-4477125				
401K GENER	RATION	195 INTE S #311	ERNATION	NAL PKWY		3c Administrator's telephone number				
			ARY, FL 3	2746		866-998-5879				
		ne plan sponsor or the plan name ha onsor's name, EIN, the plan name a				4b EIN				
	or's name	, , , , , , , , , , , , , , , , , , , ,				4d PN				
C Plan Name										
5a Total r	number of participants	s at the beginning of the plan year				5a		2		
b Total number of participants at the end of the plan year						5b		2		
Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)				·	5c		1			
d(1) Total number of active participants at the beginning of the plan year					5d(1)		2			
d(2) Total number of active participants at the end of the plan year					5d(2)		2			
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested					5e		0			
		or incomplete filing of this return								
SB or Sche		ther penalties set forth in the instruc and signed by an enrolled actuary, a nolete.								
SIGN	Filed with authorized	d/valid electronic signature.	0	05/22/2019	EDWARD ROJAS					
HERE	Signature of plan	administrator		Date	Enter name of individ	f individual signing as plan administrator				
SIGN										
HERE	Signature of empl	oyer/plan sponsor		Date	Enter name of individ	ual signing	as employe	er or plan sponsor		

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	6a Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)							X	es No
b	b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)							X Y	es 🗌 No
	If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.							🗀	
c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? ☐ Yes ☐ No ☐								o Not de	etermined
	If "Yes" is checked, enter the My PAA confirmation number from the	e PBGC p	remium filing for this p	lan yea	r			(See ins	tructions.)
Pa	rt III Financial Information								
7	Plan Assets and Liabilities		(a) Beginning (of Year			(b) E	nd of Year	
а	Total plan assets	7a	, ,	110		704			
	Total plan liabilities	7b		0		0			0
С	Net plan assets (subtract line 7b from line 7a)	7c		110		704			4
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	t		(b) Total			
а	Contributions received or receivable from:	`							
	(1) Employers	8a(1)		0					
	(2) Participants	8a(2)		660	-				
	(3) Others (including rollovers)	8a(3)	0						
	Other income (loss)	8b		-61			500		
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						59	9
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		0					
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	8e		0					
f	Administrative service providers (salaries, fees, commissions)	8f		5					
g	Other expenses	8g		0					
h	Total expenses (add lines 8d, 8e, 8f, and 8g)								5
i	Net income (loss) (subtract line 8h from line 8c)	8i						59	4
j	Transfers to (from) the plan (see instructions)	8j		0					
Pai	t IV Plan Characteristics		•						
9a									
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	les from the List of Pla	n Chara	acterist	tic Code	es in the in	structions:	
Par	t V Compliance Questions								
10	During the plan year:				Yes	No		Amount	
	Was there a failure to transmit to the plan any participant contribu								
	described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)			10a		X			
b	b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X			
	C Was the plan covered by a fidelity bond?					X			
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10c 10d		X			
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X			
f	f Has the plan failed to provide any benefit when due under the plan?					X			
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)					Χ			
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)					X			
i	i If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3								

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Part	VI Pension Funding Compliance						
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sc (Form 5500) and line 11a below)	В	Yes 🛚 N	Ю			
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a					
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?		f	Yes 🛛 N	Ю		
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)						
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, ar granting the waiver	he date of the letter ruling ———— Year ————					
lf y	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.						
b	Enter the minimum required contribution for this plan year	12b					
С	Enter the amount contributed by the employer to the plan for this plan year	12c					
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d					
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A			
Part '	VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes X No				
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a					
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?) 	Yes X No				
c If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)							
13c(1) Name of plan(s): 13c(2				EIN(s) 13c(3) PN(s)			