Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to Public Inspection

Part I	Annual Report	: Identification Information							
For calend	•	iscal plan year beginning 01/01/2		and ending 1	2/31/2018				
▲ This re	turn/report is for:	X a single-employer plan	a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)						
A This return/report is for:		a one-participant plan	a foreign plan						
B This ret	urn/report is	the first return/report	the final return/report						
		an amended return/report	a short plan year retu	urn/report (less than 12 m	onths)				
C Check	box if filing under:	Form 5558	automatic extension		DFVC progra	am			
Dort II	Desis Dien Infe	special extension (enter desc	. ,						
Part II		ormation—enter all requested in	formation		1 41				
1a Name of plan PENINSULA PAIN CLINIC PLLC 401 K PROFIT SHARING PLAN TRUST					1b Three-dig plan num (PN) ▶				
					1c Effective date of plan 01/01/2009				
		oyer, if for a single-employer plan) om, apt., suite no. and street, or P.0) Box)		2b Employer Identification Number				
		ce, country, and ZIP or foreign post		structions)	(EIN) 91-2160314				
PENINSULA	PAIN CLINIC PLLC		· · ·		2c Sponsor's telephone number 360-415-9110				
					2d Business code (see instructions)				
	RY AVE #200 N, WA 98310				621510				
DIVENIENTO	N, WA 30310								
3a Plan administrator's name and address ⊠ Same as Plan Sponsor.					3b Administrator's EIN				
					3c Administr	ator's telephone number			
						·			
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for					4b EIN				
		onsor's name, EIN, the plan name a	and the plan number from	the last return/report.	44 50				
a Sponsor's namec Plan Name					4d PN				
C Plan N	varrie								
5a Total number of participants at the beginning of the plan year					5a	30			
b Total number of participants at the end of the plan year					5b	29			
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)				5c	26				
d(1) Total number of active participants at the beginning of the plan year					5d(1) 23				
d(2) Total number of active participants at the end of the plan year					5d(2)	18			
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested				5e	4				
Caution: A	A penalty for the late	or incomplete filing of this retur	n/report will be assesse	d unless reasonable ca					
SB or Sche	alties of perjury and o edule MB completed a true. correct. and com	ther penalties set forth in the instru and signed by an enrolled actuary, a polete	ctions, I declare that I hav as well as the electronic v	e examined this return/re ersion of this return/repor	port, including, it t, and to the bes	f applicable, a Schedule st of my knowledge and			
SIGN		d/valid electronic signature.	05/23/2019	DANA TIRAO					
HERE	Signature of plan	administrator	Date	Enter name of individ	ual signing as pl	lan administrator			
SIGN	•				<u> </u>				
HERE	Signature of emple	over/plan sponsor	Date	Enter name of individ	ame of individual signing as employer or plan spo				

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b	Were all of the plan's assets during the plan year invested in eligib Are you claiming a waiver of the annual examination and report of under 29 CFR 2520.104-46? (See instructions on waiver eligibility If you answered "No" to either line 6a or line 6b, the plan cann If the plan is a defined benefit plan, is it covered under the PBGC in	an indepe and condi ot use Fo	ndent qualified public actions.)	account t instea	ant (IC	QPA) • Form	า 5500.	X	Yes No Yes No	
	If "Yes" is checked, enter the My PAA confirmation number from th					_		_	structions.)	
Pa	rt III Financial Information									
7	Plan Assets and Liabilities		(a) Beginning	of Year		(b) End of Year				
а	Total plan assets	7a	8	820222			772192			
b	Total plan liabilities	7b		0			0			
C	Net plan assets (subtract line 7b from line 7a)	7c	8	20222		772192			92	
8	Income, Expenses, and Transfers for this Plan Year		(a) Amour	(a) Amount			(b) Total			
_а 	Contributions received or receivable from: (1) Employers	8a(1)		23051	Ц					
	(2) Participants	8a(2)		55299						
	(3) Others (including rollovers)	8a(3)		0						
<u>b</u>	Other income (loss)	8b	-	-42833						
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c				35517		517		
	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		78765						
<u>e</u>	Certain deemed and/or corrective distributions (see instructions) \dots	8e		0	_					
<u>f</u>	Administrative service providers (salaries, fees, commissions)	8f		4782						
<u>g</u>	Other expenses	8g		0						
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h				83547				
÷	Net income (loss) (subtract line 8h from line 8c)	8i						-480	30	
J	Transfers to (from) the plan (see instructions)	8j	0							
	t IV Plan Characteristics			01		0	1 1 1			
9a	If the plan provides pension benefits, enter the applicable pension 2A 2K 2T 3D 2E 2J 2G 2F	reature co	ides from the list of Pi	an Cna	racteri	Stic Co	odes in the	Instructions		
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	les from the List of Pla	n Chara	acteris	tic Cod	des in the i	nstructions:		
Par	t V Compliance Questions									
10	During the plan year:				Yes	No		Amount		
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X				
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X				
c	C Was the plan covered by a fidelity bond?			10c		X				
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X				
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		Х				
f	f Has the plan failed to provide any benefit when due under the plan?			10f		X				
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g		X				
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i						

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Part	VI Pension Funding Compliance					
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sc (Form 5500) and line 11a below)		В	Yes 🛚 N	Ю	
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a				
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?		f	Yes 🛛 N	Ю	
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)					
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, ar granting the waiver	d enter t Day		of the letter ruling Year		
lf y	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.					
b	Enter the minimum required contribution for this plan year	12b				
С	Enter the amount contributed by the employer to the plan for this plan year	12c				
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)						
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A		
Part '	VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	s 🔀 No		
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a				
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?) 		Yes X No		
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)	s) to				
13c(1) Name of plan(s): 13c(2				IN(s) 13c(3) PN(s)		