Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to **Public Inspection**

| | rt identification information | | | | | | | | |
|--|---------------------------------------|--|--|--|-------------------------------------|--|--|--|--|
| For calendar plan year 2018 or | fiscal plan year beginning 01/01/2 | 2018 | and ending 12 | 2/31/2018 | | | | | |
| A This return/report is for: | X a single-employer plan | a multiple-employer plan (not multiemployer) (Filers checking this box must attaclist of participating employer information in accordance with the form instructions | | | | | | | |
| · | a one-participant plan | a foreign plan | | | | | | | |
| B This return/report is | the first return/report | the final return/repor | the final return/report | | | | | | |
| | an amended return/report | a short plan year ret | urn/report (less than 12 m | onths) | | | | | |
| C Check box if filing under: | Form 5558 | automatic extension | 1 | DFVC progr | ram | | | | |
| | special extension (enter desc | ription) | | | | | | | |
| Part II Basic Plan Inf | formation—enter all requested in | formation | | | | | | | |
| 1a Name of plan | • | | | 1b Three-di | git | | | | |
| ASPECT CONSULTING, LLC 401(K) PROFIT SHARING PLAN | | | | | nber 001 | | | | |
| | | | | | e date of plan 01/01/2002 | | | | |
| 2a Plan enoneor's name (emr | lover if for a single-employer plan) | | | 2b Employer Identification Number | | | | | |
| 2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) | | | | (EIN) 91-2149055 | | | | | |
| ASPECT CONSULTING, LLC | nce, country, and ZIP or foreign posi | ai code (ii foreign, see in | structions) | | 's telephone number 206-780-9370 | | | | |
| | | | | | s code (see instructions) | | | | |
| 350 MADISON AVENUE NORTH | | | | 541360 | | | | | |
| BAINBRIDGE ISLAND, WA 9811 | 10 | | | | | | | | |
| 3a Plan administrator's name | and address X Same as Plan Spo | noor | | 3b Administrator's EIN | | | | | |
| Ja Flan auministrators name | and address A Same as Flan Spo | 11501. | | 7 Administrator 5 Env | | | | | |
| | | | 3c Administrator's telephone number | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | the plan sponsor or the plan name h | | | 4b EIN | | | | | |
| this plan, enter the plan sp a Sponsor's name | oonsor's name, EIN, the plan name a | and the plan number from | the last return/report. | 4d PN | | | | | |
| C Plan Name | | | | Tu Fin | | | | | |
| | | | | | | | | | |
| 5a Total number of participants at the beginning of the plan year | | | 5a | 102 | | | | | |
| b Total number of participants at the end of the plan year | | | | 5b | 115 | | | | |
| C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) | | | 5c | 115 | | | | | |
| d(1) Total number of active participants at the beginning of the plan year | | | 5d(1) | 91 | | | | | |
| d(2) Total number of active participants at the end of the plan year | | | | 5d(2) | 96 | | | | |
| Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested | | | 5e | 0 | | | | | |
| | e or incomplete filing of this retur | | | | | | | | |
| Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete. | | | | | | | | | |
| SIGN Filed with authorize | ed/valid electronic signature. | 05/24/2019 | PATRICIA KLIMEK | | | | | | |
| HERE Signature of plan | administrator | Date | Enter name of individ | Enter name of individual signing as plan administrator | | | | | |
| SIGN | | | | | | | | | |
| HERE Signature of employer/plan sponsor Date Enter | | | | ual signing as e | employer or plan sponsor | | | | |

Form 5500-SF (2018) Page **2**

| | Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.) | | | | | | | | | |
|-----|---|------------|--------------------------|--------------|---------|-----------|---------------|-----------------|-----|--|
| С | If you answered "No" to either line 6a or line 6b, the plan cann If the plan is a defined benefit plan, is it covered under the PBGC in If "Yes" is checked, enter the My PAA confirmation number from the | nsurance p | orogram (see ERISA se | ection 4 | 021)? | [| Yes X N | _ | | |
| Pai | rt III Financial Information | | | | | | | | | |
| 7 | Plan Assets and Liabilities | | (a) Beginning | ning of Year | | | | (b) End of Year | | |
| а | Total plan assets | 7a | 152 | 66045 | | 15647166 | | | | |
| b | Total plan liabilities | 7b | | | | | | | | |
| С | Net plan assets (subtract line 7b from line 7a) | 7c | 152 | 66045 | | 15647166 | | | | |
| | Income, Expenses, and Transfers for this Plan Year | | (a) Amoun | (a) Amount | | (b) Total | | | | |
| | Contributions received or receivable from: (1) Employers | 8a(1) | 398465 | | | , , | | | | |
| | (2) Participants | 8a(2) | | 877680 | | | | | | |
| | (3) Others (including rollovers) | 8a(3) | | 34387 | | | | | | |
| | · · · · · · · · · · · · · · · · · · · | 8b | | 72612 | | | | | | |
| | Other income (loss) | | -0 | 72012 | | 437920 | | | | |
| | Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) | 8c 8d | 14744 | | | | | 437920 | | |
| е | Certain deemed and/or corrective distributions (see instructions) | 8e | | | | | | | | |
| f | Administrative service providers (salaries, fees, commissions) | 8f | | 42055 | | | | | | |
| g | Other expenses | 8g | | | | | | | | |
| | Total expenses (add lines 8d, 8e, 8f, and 8g) | 8h | | | | | 56799 | | | |
| ī | Net income (loss) (subtract line 8h from line 8c) | 8i | | | | | 381121 | | | |
| Ť | Transfers to (from) the plan (see instructions) | 8i | | | | | | | | |
| Par | art IV Plan Characteristics | | | | | | | | | |
| | If the plan provides pension benefits, enter the applicable pension | feature co | odes from the List of Pl | an Cha | racteri | stic C | odes in the i | nstructions: | | |
| | 2E 2F 2G 2J 2K 2T 3D | | | | | | | | | |
| b | If the plan provides welfare benefits, enter the applicable welfare for | eature cod | les from the List of Pla | n Chara | acteris | tic Co | des in the in | structions: | | |
| Par | t V Compliance Questions | | | | | | | | | |
| 10 | During the plan year: | | | | Yes | No | | Amount | | |
| а | Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) | | | 10a | | X | | | | |
| b | b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.) | | | 10b | | X | | | | |
| С | C Was the plan covered by a fidelity bond? | | | 10c | X | | | 500 | 000 | |
| d | d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? | | | 10d | | X | | | | |
| е | Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.) | | | 10e | | X | | | | |
| f | f Has the plan failed to provide any benefit when due under the plan? | | | 10f | | X | | | | |
| - | g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.) | | | 10g | X | | | 134 | 160 | |
| h | h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) | | | 10h | | Χ | | | | |
| i | If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10 | | | 10i | | | | | | |

| Form 5500-SF (2018) | Page 3- 1 |
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| Part | VI Pension Funding Compliance | | | | |
|--|---|------------------|---|---------------------------|---|
| 11 | Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sc (Form 5500) and line 11a below) | | В | Yes 🛚 N | Ю |
| 11a | Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 | 11a | | | |
| 12 | Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA? | | f | Yes 🛛 N | Ю |
| | (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) | | | | |
| а | If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, ar granting the waiver | d enter t Day | | of the letter ruling Year | |
| lf y | ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13. | | | | |
| b Enter the minimum required contribution for this plan year | | | | | |
| C Enter the amount contributed by the employer to the plan for this plan year | | | | | |
| d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount) | | | | | |
| е | e Will the minimum funding amount reported on line 12d be met by the funding deadline? | | | No N/A | |
| Part ' | VII Plan Terminations and Transfers of Assets | | | | |
| 13a Has a resolution to terminate the plan been adopted in any plan year? | | | | s 🔀 No | |
| If "Yes," enter the amount of any plan assets that reverted to the employer this year | | | | | |
| b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC? | | | | Yes X No | |
| С | If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.) | s) to | | | |
| 13c(1) Name of plan(s): 13c(| | | | 13c(3) PN(s) | |
| | | | | | |