Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to **Public Inspection**

Part I		t Identification Information							
For calenda	ar plan year 2018 or	fiscal plan year beginning 01/01/2	_		2/31/2018				
A This ret	urn/report is for:	X a single-employer plan	a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)						
P Th:	/u.a.a.a.t.i.a	a one-participant plan	a foreign plan						
D This retu	urn/report is	the first return/report	the final return/report						
		an amended return/report	a short plan year ret	eturn/report (less than 12 months)					
C Check I	oox if filing under:	Form 5558	automatic extension	1	DFVC progra	am			
		special extension (enter descr							
Part II	Basic Plan Inf	ormation—enter all requested in	formation						
1a Name of plan X5 SOLUTIONS, INC. 401(K) RETIREMENT PLAN & TRUST					1b Three-dig plan num (PN) ▶				
					1c Effective	date of plan 01/01/2004			
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box)					2b Employer Identification Number (EIN) 20-1310683				
X5 SOLUTIO		nce, country, and ZIP or foreign post	ai code (ii foreign, see in:	structions)	2c Sponsor's telephone number 999-999-9999				
					2d Business	code (see instructions)			
1301 5TH AV SUITE 2301 SEATTLE, W						517000			
3a Plan a	dministrator's name	and address X Same as Plan Spor	nsor.		3b Administr	ator's EIN			
					3c Administr	ator's telephone number			
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report.					4b EIN				
a Spons	or's name	•	·	·	4d PN				
C Plan N	lame								
5a Total number of participants at the beginning of the plan year				5a	5				
b Total number of participants at the end of the plan year					5b	5			
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)				·	5c 5				
d(1) Tota	al number of active p	articipants at the beginning of the pl	an year		5d(1)				
d(2) Total number of active participants at the end of the plan year					. 5d(2)				
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested					5e 0				
Caution: A	penalty for the late	or incomplete filing of this return	n/report will be assesse	ed unless reasonable cau					
SB or Sche		other penalties set forth in the instruction and signed by an enrolled actuary, and the modele.							
SIGN	Filed with authorize	d/valid electronic signature.	05/30/2019	RICHARD REYNOLDS					
HERE	Signature of plan	administrator	Date	Enter name of individe	ame of individual signing as plan administrator				
SIGN									
HERE	Signature of emp	loyer/plan sponsor	Date	Enter name of individu	dividual signing as employer or plan sponsor				

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	 Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) 							X Yes No		
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)							X Yes No		
•	If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500. If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No Not determined									
C	If "Yes" is checked, enter the My PAA confirmation number from the		= :					Not determined ee instructions.)		
		е гвос р	remain ming for this p	iaii yea	'		(5	ee mstructions.)		
Pa	rt III Financial Information		 							
7	Plan Assets and Liabilities		(a) Beginning (of Year			(b) End of	(b) End of Year		
<u>a</u>	Total plan assets	7a	,	14821				14296		
<u>b</u>	Total plan liabilities	7b			_					
	Net plan assets (subtract line 7b from line 7a)	7c	,	14821			14296			
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	(a) Amount			(b) Total			
a	Contributions received or receivable from: (1) Employers	8a(1)								
	(2) Participants	8a(2)			_					
	(3) Others (including rollovers)	8a(3)								
<u>b</u>	Other income (loss)	8b		-525						
C	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c					-525			
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d								
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	8e								
f	Administrative service providers (salaries, fees, commissions)	8f								
g	Other expenses	8g								
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						0		
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i					-525			
j	Transfers to (from) the plan (see instructions)	8j								
Pai	rt IV Plan Characteristics									
9a										
b										
Par	t V Compliance Questions									
10	During the plan year:				Yes	No	Amo	ount		
а	Was there a failure to transmit to the plan any participant contribu	itions withi	n the time period							
	described in 29 CFR 2510.3-102? (See instructions and DOL's V	•	,	100		Χ				
	Program) Were there any nonexempt transactions with any party-in-interest			10a		^				
	reported on line 10a.)			10b		X				
С	Was the plan covered by a fidelity bond?			10c	X			2000		
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X				
е	e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X				
f	f Has the plan failed to provide any benefit when due under the plan?			10f		X				
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)					Χ				
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10	•		10i						
						_				

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Part	VI Pension Funding Compliance					
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and con (Form 5500) and line 11a below)			В		es 🗌 No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40		11a			
12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?					. Y	es X No
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)					
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instru granting the waiver.		d enter t Day		of the letter Year	ruling
lf :	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.					
b Enter the minimum required contribution for this plan year						
С	Enter the amount contributed by the employer to the plan for this plan year		12c			
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left negative amount)	of a	12d			
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?			Yes	No	N/A
Part '	VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?			X Yes	No.)
	If "Yes," enter the amount of any plan assets that reverted to the employer this year		13a			(
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought control of the PBGC?			Yes X	No	
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify which assets or liabilities were transferred. (See instructions.)	the plan(s)	to			
1	3c(1) Name of plan(s):	13c(2)	EIN(s)		13c(3)	PN(s)