## Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Department of Labor

## Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to Public Inspection

Part I	Annual Report	i Identification Information	1						
For calend	lar plan year 2018 or f	iscal plan year beginning 01/01/	2018	and ending 1	2/31/2018				
A This retu	a single-employer plan a multiple-employer plan a multiple-employer plan (not multiemployer) list of participating employer information in a								
	·	a one-participant plan	a foreign plan						
<b>B</b> This ret	urn/report is	the first return/report	the final return/repor						
		an amended return/report	a short plan year ret	urn/report (less than 12 m	onths)				
C Check	box if filing under:	Form 5558	automatic extension	n	DFVC prog	ram			
		special extension (enter desc	. ,						
Part II		ormation—enter all requested in	formation		T				
1a Name of plan ADVANCED DIABETES AND ENDOCRINE CARE 401(K) PROFIT SHARING PLAN					<b>1b</b> Three-diplan nur (PN) ▶				
						e date of plan 01/01/2015			
		oyer, if for a single-employer plan)			2b Employer Identification Number				
		om, apt., suite no. and street, or P.0 ce, country, and ZIP or foreign pos		structions)	(EIN) 47-1268477				
	DIABETES AND ENI		tai oodo (ii foroigri, ood iir	on donorio,	2c Sponsor's telephone number 253-880-1029				
					2d Business code (see instructions)				
	H ST., SUITE D VAY, WA 98003				621112				
	,								
<b>3a</b> Plan administrator's name and address ☒ Same as Plan Sponsor.				<b>3b</b> Administrator's EIN					
					3c Administrator's telephone number				
					7 (4.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1				
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report.					4b EIN				
<b>a</b> Sponsor's name						4d PN			
C Plan N	Name								
5a Total number of participants at the beginning of the plan year				<b>5a</b> 3					
<b>b</b> Total number of participants at the end of the plan year					5b				
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)					5c				
d(1) Total number of active participants at the beginning of the plan year					5d(1)				
d(2) Total number of active participants at the end of the plan year					5d(2)				
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested					5e				
		or incomplete filing of this retur							
SB or Sche		ther penalties set forth in the instru and signed by an enrolled actuary,							
SIGN		d/valid electronic signature.	06/11/2019	SHAISTA QUDDUSI-	DUSI-ANSARI				
HERE	Signature of plan a	administrator	Date	Enter name of individ	ual signing as i	olan administrator			
SIGN		d/valid electronic signature.	06/11/2019	SHAISTA QUDDUSI-	<u> </u>				
HERE	Signature of emple	over/nlan sponsor	Date		er name of individual signing as employer or plan				

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	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)							<u></u>		
С	If you answered "No" to either line 6a or line 6b, the plan cann If the plan is a defined benefit plan, is it covered under the PBGC ir If "Yes" is checked, enter the My PAA confirmation number from the	nsurance p	orogram (see ERISA se	ection 4	021)?		Yes No	Not dete		
Pa	rt III Financial Information									
7	Plan Assets and Liabilities		(a) Beginning (	of Year			(b) End	(b) End of Year		
<u>a</u>	Total plan assets	7a	1	10966			131485			
b	Total plan liabilities	7b		0						
С	Net plan assets (subtract line 7b from line 7a)	7c	1	10966		131485				
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	(a) Amount			(b) Total			
<u>а</u>	Contributions received or receivable from: (1) Employers	8a(1)		8255						
	(2) Participants	8a(2)	2	24500						
	(3) Others (including rollovers)	8a(3)								
b	Other income (loss)	8b	-	12236						
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c				20519				
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d								
е	Certain deemed and/or corrective distributions (see instructions)	8e								
f	Administrative service providers (salaries, fees, commissions)	8f		0						
g	Other expenses	8g								
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						0		
i	Net income (loss) (subtract line 8h from line 8c)							20519		
j	Transfers to (from) the plan (see instructions)	8j								
Pai	Part IV Plan Characteristics									
9a	If the plan provides pension benefits, enter the applicable pension 2A 2E 2G 2J 2K 3D	feature co	odes from the List of Plant	an Cha	racteri	stic Co	odes in the ins	structions:		
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	les from the List of Pla	n Chara	acterist	tic Coc	des in the inst	ructions:		
Par	t V Compliance Questions									
10	During the plan year:				Yes	No		Amount		
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X				
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		Χ				
С	C Was the plan covered by a fidelity bond?			10c	X			50	000	
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X				
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X				
f	<b>f</b> Has the plan failed to provide any benefit when due under the plan?			10f		X				
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g		X				
_ h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X				
i	i If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3			10i						

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Part	VI Pension Funding Compliance					
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sc (Form 5500) and line 11a below)	В	Yes 🛚 N	Ю		
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a				
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?		f	Yes X N	Ю	
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)					
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, ar granting the waiver	d enter t Day		of the letter ruling Year		
lf y	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.					
b	Enter the minimum required contribution for this plan year	12b				
С	Enter the amount contributed by the employer to the plan for this plan year	12c				
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)						
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A		
Part '	VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	s 🔀 No		
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a				
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	) 		Yes X No		
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)	s) to				
13c(1) Name of plan(s): 13c(2				EIN(s) <b>13c(3)</b> PN(s)		