Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to **Public Inspection**

Part I Annual Report Identification Information									
For calend	ar plan year 2018 or f	iscal plan year beginning 01/01/2018		and ending 1	12/31/2018				
A This ref	turn/report is for:	x a single-employer plan	a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)						
B This return/report is		a one-participant plan	a foreign plan						
		the first return/report	the first return/report the final return/report						
0 a			a short plan year returr	n/report (less than 12 m	2 months)				
C Check	box if filing under:	Form 5558	automatic extension		DFVC program				
Dort II	Pasis Dlan Infe	prmation—enter all requested inform	<u>, </u>						
Part II		intation—enter all requested inform	ation		1b Three-digit				
1a Name of plan NATIONAL TURBINE CORPORATION 401(K) PLAN									
NATIONAL	TORDINE CORT ORA	TION 401(R) I LAN			plan numbei (PN) ▶	001			
					1c Effective date of plan				
					01/01/2002				
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box)					2b Employer Identification Number (EIN) 16-1424806				
-	rtown, state or provin TURBINE CORPORA	ce, country, and ZIP or foreign postal co TION	de (if foreign, see instr	uctions)	2c Sponsor's telephone number 315-455-5591				
BARRY DIT	TIFR								
	ERN LIGHTS DR	374 NORTHE	RN LIGHTS DR		2d Business code (see instructions)				
SYRACUSE	, NY 13212-4125		NY 13212-4125		333610				
3a Plan a	dministrator's name a	and address 🛛 Same as Plan Sponsor			3b Administrator's EIN				
					3c Administrato	r's telephone number			
					JC Administrato	i s teleprione number			
		ne plan sponsor or the plan name has clonsor's name, EIN, the plan name and t			4b EIN				
	ian, enter the plan spo sor's name	onson's name, Env, the plan hame and t	ne pian number nom ti	ie iast return/report.	4d PN				
C Plan Name									
5a Total number of participants at the beginning of the plan year					5a 8 5b 8				
b Total number of participants at the end of the plan year						8			
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)					5c 3				
d(1) Total number of active participants at the beginning of the plan year					5d(1)				
d(2) Total number of active participants at the end of the plan year					5d(2)				
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested					5e	0			
Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.									
Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.									
SIGN		d/valid electronic signature.	06/12/2019	BARRY DITTLER					
HERE	Signature of plan	administrator	Date	Enter name of individual signing as plan administrator					
SIGN		d/valid electronic signature.	06/12/2019	BARRY DITTLER					

Date

Enter name of individual signing as employer or plan sponsor

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	 Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) 							Yes No		
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)							🛚 🔼	Yes No	
c	If the plan is a defined benefit plan, is it covered under the PBGC in							o 🗆 Not	determined	
·	If "Yes" is checked, enter the My PAA confirmation number from the					_		<u></u>	structions.)	
Pai	rt III Financial Information	<u> </u>								
	Plan Assets and Liabilities		(a) Beginning	of Year			(b) E	nd of Year		
a	Total plan assets	7a		839886			819085			
b	Total plan liabilities	7b								
	Net plan assets (subtract line 7b from line 7a)	7c	8	39886		819085				
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	(a) Amount			(b) Total			
а	Contributions received or receivable from: (1) Employers	8a(1)								
	(2) Participants	8a(2)		45982						
	(3) Others (including rollovers)	8a(3)								
b	Other income (loss)	8b	-1	-66783						
<u> </u>	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c				-2080		01		
	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		0						
e	Certain deemed and/or corrective distributions (see instructions) \dots	8e		0						
f	Administrative service providers (salaries, fees, commissions)	8f		0						
g	Other expenses	8g		0						
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							0	
	Net income (loss) (subtract line 8h from line 8c)	8i						-208	01	
	Transfers to (from) the plan (see instructions)	8j	0							
	t IV Plan Characteristics									
9a 	9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2A 2E 2F 2J 2K									
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	les from the List of Pla	n Chara	acteris	tic Cod	des in the in	structions:		
Par	t V Compliance Questions									
10	During the plan year:				Yes	No		Amount		
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X				
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X				
С	C Was the plan covered by a fidelity bond?			10c		X				
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X				
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		Х				
f	f Has the plan failed to provide any benefit when due under the plan?			10f		X				
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g		Χ				
h	1 If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10	•		10i						

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Part	VI Pension Funding Compliance					
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sc (Form 5500) and line 11a below)		В	Yes 🛚 N	Ю	
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a				
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?		f	Yes 🛛 N	Ю	
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)					
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, ar granting the waiver	d enter t Day		of the letter ruling Year		
lf y	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.					
b	Enter the minimum required contribution for this plan year	12b				
С	Enter the amount contributed by the employer to the plan for this plan year	12c				
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)						
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A		
Part '	VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes X No			
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a				
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?) 	Yes X No			
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)	s) to				
13c(1) Name of plan(s): 13c(2				IN(s) 13c(3) PN(s)		