Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to Public Inspection

Parti	Annual Report	dentification information							
For calend	lar plan year 2018 or f	iscal plan year beginning 01/01/2	2018	and ending 12	2/31/2018				
A This re	A This return/report is for: a single-employer plan a multiple-employer plan (not multiemployer plan list of participating employer information in					· ·			
		a one-participant plan	a foreign plan						
B This ret	urn/report is	the first return/report	the final return/report						
		an amended return/report	a short plan year return/report (less than 12 months)						
C Check	box if filing under:	Form 5558	automatic extension	DFVC program					
		special extension (enter desc	ription)						
Part II	Basic Plan Info	ormation—enter all requested in	formation						
1a Name	of plan				1b Three-digit				
	NC. RETIREMENT TI	RUST			plan numb				
					(PN) •	001			
					1c Effective da	ate of plan			
						10/06/2015			
		oyer, if for a single-employer plan)			2b Employer le	dentification Number			
		om, apt., suite no. and street, or P.C ce, country, and ZIP or foreign post		ructions)	(EIN) 47-3490194				
-		se, country, and zir or foreign posi	ai code (ii foreign, see inst	ructions)	2c Sponsor's telephone number				
301 011110 11	98POINT6 INC.				206-488-1122				
					2d Business c	ode (see instructions)			
701 5TH AV SUITE 2300						541600			
SEATTLE, V									
20 Diam -		and address V Come as Blancone			3b Administrat	or's FINI			
Ja Plan a	idministrators name a	and address X Same as Plan Spo	risor.		SD Auministrat	OI S EIIN			
					3c Administrat	or's telephone number			
4 If the	name and/or FIN of th	e plan sponsor or the plan name h	as changed since the last r	eturn/report filed for	4b EIN				
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report.									
a Sponsor's name			4d PN						
C Plan Name									
		s at the beginning of the plan year.			5a	107			
		s at the end of the plan year			5b	129			
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)				-	5c	123			
d(1) Total number of active participants at the beginning of the plan year			5d(1)	107					
d(2) Total number of active participants at the end of the plan year				5d(2)	129				
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested			5e	0					
		or incomplete filing of this retur			ise is establishe	d.			
SB or Sche		ther penalties set forth in the instru and signed by an enrolled actuary, a aplete.							
SIGN	Filed with authorized/valid electronic signature. 06/12/2019 CLAIRE SIMS								
HERE	Signature of plan	administrator	Date	Enter name of individu	Enter name of individual signing as plan administrator				
SIGN									
HERE	Signature of empl	oyer/plan sponsor	Date	Enter name of individu	ual signing as em	ployer or plan sponsor			

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	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)						Yes No			
С	If you answered "No" to either line 6a or line 6b, the plan cann If the plan is a defined benefit plan, is it covered under the PBGC in If "Yes" is checked, enter the My PAA confirmation number from th	ot use Fo nsurance p	rm 5500-SF and mus	t instea ection 4	ad use 021)?	Form	n 5500 .] Yes	Not determined (See instructions.)		
Pa	rt III Financial Information				-					
7	Plan Assets and Liabilities		(a) Beginning	of Year			(b) End	of Year		
<u>a</u>	Total plan assets	7a	6	10052				1441654		
b	Total plan liabilities	7b								
<u>C</u>	Net plan assets (subtract line 7b from line 7a)	7c	6	610052			1441654			
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	(a) Amount			(b) Total			
a	Contributions received or receivable from: (1) Employers	8a(1)								
	(2) Participants	8a(2)	88	883997						
	(3) Others (including rollovers)	8a(3)	1:	132077						
<u>b</u>	Other income (loss)	8b	-1	-107323						
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c				9087		908751		
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		67720						
<u>e</u>	Certain deemed and/or corrective distributions (see instructions) \dots	8e		9429						
f	Administrative service providers (salaries, fees, commissions)	8f								
g	Other expenses	8g								
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						77149		
<u> </u>	Net income (loss) (subtract line 8h from line 8c)	8i					831602			
	Transfers to (from) the plan (see instructions)	8j								
Pai	t IV Plan Characteristics									
9a 	9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2A 2E 2J 2K 2F 2G 3D 3H									
b	b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:									
Par	t V Compliance Questions									
10	During the plan year:				Yes	No	А	mount		
а	Was there a failure to transmit to the plan any participant contribu described in 29 CFR 2510.3-102? (See instructions and DOL's V	oluntary F	iduciary Correction	100	X			074		
b	Program)			10a 10b	^	X		274		
С	Was the plan covered by a fidelity bond?			10c	X			500000		
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		Х		000000		
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		Х				
f	f Has the plan failed to provide any benefit when due under the plan?			10f		X				
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)					X				
h	If this is an individual account plan, was there a blackout period? 2520.101-3.)	•		10h	X					
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10	•		10i	X					

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Part	VI Pension Funding Compliance			
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete (Form 5500) and line 11a below)			Yes No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a		
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or se ERISA?		f	Yes X No
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)			
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, granting the waiver	and enter Da		of the letter ruling Year
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.			
b	Enter the minimum required contribution for this plan year	12b		
С	Enter the amount contributed by the employer to the plan for this plan year	12c		
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d		
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A
Part '	VII Plan Terminations and Transfers of Assets			
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	s X No
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a		
b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?				Yes X No
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan which assets or liabilities were transferred. (See instructions.)	n(s) to		
1	3c(1) Name of plan(s):	(2) EIN(s)		13c(3) PN(s)