Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to **Public Inspection**

Parti	Allilual Repor	t identification information								
For calend	lar plan year 2018 or	fiscal plan year beginning 01/01/2	2018	and ending 12	/31/2018					
A This re	turn/report is for:	X a single-employer plan	olan (not multiemployer) (F mployer information in acc	_						
D. Tri	,	a one-participant plan	a foreign plan							
B This return/report is		the first return/report	the final return/report							
		an amended return/report	a short plan year return/report (less than 12 months)							
C Check	Check box if filing under: Form 5558 automatic extension					ram				
		special extension (enter desc	ription)							
Part II	Basic Plan Inf	ormation—enter all requested in	formation							
1a Name	of plan				1b Three-di	igit				
	•	CORP 401 K PROFIT SHARING P	LAN TRUST		plan nun					
					(PN) •	001				
					1c Effective	date of plan				
					01/14/2016					
2a Plan s	nonsor's name (emn	loyer, if for a single-employer plan)			2b Employer Identification Number					
		om, apt., suite no. and street, or P.0	D. Box)		(EIN) 52-1907539					
		nce, country, and ZIP or foreign post		tructions)						
IMAGEWORK TECHNOLOGIES CORP					2c Sponsor's telephone number 914-490-4537					
						2d Business code (see instructions)				
	ON AVENUE - SUIT	E 301			561410					
WHITE PLA	INS, NY 10601									
3a Plan administrator's name and address 🛛 Same as Plan Sponsor.					3b Administrator's EIN					
					3c Administ	trator's tolophone number				
					3c Administrator's telephone number					
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for				return/report filed for	4b EIN					
this p	lan, enter the plan sp	onsor's name, EIN, the plan name a			Adam					
a Sponsor's name						4d PN				
C Plan N	vame									
5a Total	number of participan	ts at the beginning of the plan year.			5a	1				
b Total number of participants at the end of the plan year						1				
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)				·	5c	1				
d(1) Total number of active participants at the beginning of the plan year					5d(1)	1				
d(2) Total number of active participants at the end of the plan year					5d(2)	1				
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested					5e	0				
		or incomplete filing of this retur			se is establis	hed.				
SB or Scho		other penalties set forth in the instru and signed by an enrolled actuary, a nplete.								
SIGN HERE	Filed with authorize	d/valid electronic signature.	06/18/2019	CATHERINE M WILSO	ERINE M WILSON					
	Signature of plan	administrator	Date	Enter name of individu	Enter name of individual signing as plan administrator					
SIGN										
HERE	Signature of emp	loyer/plan sponsor	Date	Enter name of individu	vidual signing as employer or plan sponsor					

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	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)						X Ye	s No		
D	Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (I under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)							X	s No	
	If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.							_		
С	c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021						. —		ermined	
	If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year							(See instr	uctions.)	
Pai	t III Financial Information									
7	Plan Assets and Liabilities		(a) Beginning	of Year			(b) Eı	(b) End of Year		
a	Total plan assets	7a		33862		3456				
b	Total plan liabilities	7b		0		0				
<u> </u>	Net plan assets (subtract line 7b from line 7a)	7c	;	33862		34562				
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	t		(b) Total				
<u>а</u>	Contributions received or receivable from: (1) Employers	8a(1)		0						
	(2) Participants	8a(2)		0						
	(3) Others (including rollovers)	8a(3)		0						
b	Other income (loss)	8b		700						
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c				700				
d 	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		0						
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	8e		0						
f	Administrative service providers (salaries, fees, commissions)	8f		0						
g	Other expenses	8g		0						
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						0		
_ <u>i</u> _	Net income (loss) (subtract line 8h from line 8c)	8i				700				
<u>j</u>	Transfers to (from) the plan (see instructions)	8j		0						
Par	t IV Plan Characteristics									
9a	If the plan provides pension benefits, enter the applicable pension 2T 2J 3D 2G 2F 2E	feature co	des from the List of Pl	an Cha	racteri	stic Co	des in the in	nstructions:		
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	les from the List of Pla	n Chara	acterist	ic Cod	les in the ins	structions:		
Par	t V Compliance Questions									
10	During the plan year:				Yes	No		Amount		
а	Was there a failure to transmit to the plan any participant contribu described in 29 CFR 2510.3-102? (See instructions and DOL's V	oluntary F	iduciary Correction	4.0		V				
	Program)			10a		X				
	reported on line 10a.)			10b		X				
c	C Was the plan covered by a fidelity bond?			10c	X			20	000	
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X				
е	e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X				
f	f Has the plan failed to provide any benefit when due under the plan?			10f		X				
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g		X				
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X				
i	i If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3			10i						

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Part	VI Pension Funding Compliance					
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sc (Form 5500) and line 11a below)		В	Yes 🛚 N	Ю	
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a				
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?		f	Yes 🛛 N	Ю	
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)					
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, ar granting the waiver	d enter t Day		of the letter ruling Year		
lf y	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.					
b	Enter the minimum required contribution for this plan year	12b				
С	Enter the amount contributed by the employer to the plan for this plan year	12c				
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)						
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A		
Part '	VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	s 🔀 No		
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a				
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?) 		Yes X No		
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)	s) to				
13c(1) Name of plan(s): 13c(2				EIN(s) 13c(3) PN(s)		