Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to **Public Inspection**

	rt identification information								
For calendar plan year 2018 o	r fiscal plan year beginning 01/01/2	2018	and ending 12	/31/2018					
A This return/report is for:	a single-employer plan	a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)							
	a one-participant plan	a foreign plan							
B This return/report is	X the first return/report	the final return/report							
	an amended return/report	n/report (less than 12 mo	months)						
C Check box if filing under:	Form 5558	automatic extension	[DFVC prog	gram				
	special extension (enter desc	ription)							
Part II Basic Plan In	formation—enter all requested in	formation							
1a Name of plan	·			1b Three-c	digit				
E&S ENGINEERING, PLLC 401	(K) PSP			plan nu (PN)	ımber				
				. ,	re date of plan				
				10 Encouv	01/01/2018				
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box)					2b Employer Identification Number				
	ince, country, and ZIP or foreign post		ructions)	(EIN) 47-3881474					
E&S ENGINEERING, PLLC					2c Sponsor's telephone number 509-554-9659				
					2d Business code (see instructions)				
2920 SAWGRASS LOOP RICHLAND, WA 99354				238210					
, , , , , , , , , , , , , , , , , , , ,									
3a Plan administrator's name	and address X Same as Plan Spo	nsor.		3b Administrator's EIN					
_				20 Administrator's telephone number					
				3c Administrator's telephone number					
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report.				4b EIN					
a Sponsor's name	,			4d PN					
C Plan Name									
5a Total number of participants at the beginning of the plan year					2				
 Total number of participants at the end of the plan year Number of participants with account balances as of the end of the plan year (only defined contribution plans 				5b	2				
complete this item)				5c	2				
d(1) Total number of active participants at the beginning of the plan year				5d(1)	2				
d(2) Total number of active participants at the end of the plan year				5d(2)	2				
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested				5e					
	te or incomplete filing of this retur								
Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.									
	ed/valid electronic signature.	06/28/2019	PAMELA PICKETT						
HERE Signature of plan	n administrator	Date	Enter name of individu	ual signing as	plan administrator				
SIGN									
HERE Signature of emp	ployer/plan sponsor	Date	Enter name of individu	vidual signing as employer or plan sponsor					

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_	6a Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)						. X Yes No		
D	Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)						. X Yes No		
	If you answered "No" to either line 6a or line 6b, the plan cann							_	
С	If the plan is a defined benefit plan, is it covered under the PBGC in					_		_	
	If "Yes" is checked, enter the My PAA confirmation number from the	e PBGC p	remium filing for this pl	lan yea	r			(See instructions.)	
Pa	rt III Financial Information								
7	Plan Assets and Liabilities		(a) Beginning (of Year		(b) End of Year			
а	Total plan assets	7a		0		101126		101126	
b	Total plan liabilities	7b							
С	Net plan assets (subtract line 7b from line 7a)	7с		0		101126			
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	ıt			(b)	Total	
а	Contributions received or receivable from:			6/175					
	(1) Employers	8a(1)		64175 43000					
	(2) Participants	8a(2)		+3000					
	(3) Others (including rollovers)	8a(3) 8b		-6049					
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c		-6049		101126		101126	
	Benefits paid (including direct rollovers and insurance premiums	00						101120	
	to provide benefits)	8d							
е	Certain deemed and/or corrective distributions (see instructions) \dots	8e							
f	Administrative service providers (salaries, fees, commissions)	8f							
g	Other expenses	8g							
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							
	Net income (loss) (subtract line 8h from line 8c)	8i						101126	
j	Transfers to (from) the plan (see instructions)	8j							
Pai	t IV Plan Characteristics								
9a	If the plan provides pension benefits, enter the applicable pension 2A 2E 2F 2G 2J 3D	feature co	des from the List of Pla	an Cha	racteri	stic Co	odes in the in	structions:	
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	les from the List of Plan	n Chara	acterist	tic Cod	des in the ins	tructions:	
Par	t V Compliance Questions								
10	During the plan year:				Yes	No		Amount	
а	Was there a failure to transmit to the plan any participant contribu								
	described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)	-	-	10a		X			
b	b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions			10b		Х			
				10c	Х			1000	
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X		1000	
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X			
f				10f		Χ			
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g		X			
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X			
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10	ne require	d notice or one of the	10i					

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Part	VI Pension Funding Compliance				
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sc (Form 5500) and line 11a below)	В	Yes 🛚 N	Ю	
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a			
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?		f	Yes 🛛 N	Ю
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)				
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, ar granting the waiver.	d enter t Day		of the letter ruling Year	
lf y	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.				
b	Enter the minimum required contribution for this plan year	12b			
С	Enter the amount contributed by the employer to the plan for this plan year	12c			
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)					
e Will the minimum funding amount reported on line 12d be met by the funding deadline?				No N/A	
Part '	VII Plan Terminations and Transfers of Assets				
13a Has a resolution to terminate the plan been adopted in any plan year?				s 🔀 No	
If "Yes," enter the amount of any plan assets that reverted to the employer this year					
b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?				Yes X No	
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)	s) to			
13c(1) Name of plan(s): 13c				13c(3) PN(s)	