Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to Public Inspection

| Part I | Annual Report | Identification Information | <u>1</u> | | | | | | | | |
|--|---------------------------|---|----------------|----------------------|-------------------------|--|-------------------------------|---------------------------|--|--|--|
| For calend | lar plan year 2018 or fis | scal plan year beginning 10/01/2 | /2018 | | and ending 12 | 2/31/2018 | | | | | |
| A This return/report is for: X a single-employer plan | | | | | | | | | | | |
| | · | a one-participant plan | a foreign plan | | | | | | | | |
| B This reti | urn/report is | X the first return/report | the fi | inal return/report | | | | | | | |
| | | an amended return/report | X a sho | ort plan year return | /report (less than 12 m | report (less than 12 months) | | | | | |
| C Check | box if filing under: | Form 5558 | auto | omatic extension | | DFVC | program | | | | |
| | | special extension (enter descri | . , | | | | | | | | |
| Part II | Basic Plan Info | rmation—enter all requested in | nformation | l | | | | | | | |
| 1a Name MICHAEL B | of plan RUNO DDS PC | | | | | • | ree-digit n number N) • | 001 | | | |
| | | | | | | | ective date of | f plan 1/2018 | | | |
| Mailing | g address (include roon | yer, if for a single-employer plan) m, apt., suite no. and street, or P.C | O. Box) | | | 2b Em (EII | | fication Number 242081 | | | |
| City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) MICHAEL BRUNO DDS PC | | | | | | 2c Sponsor's telephone number 570-267-4676 | | | | | |
| 321 W OLIV | F ST | | | | | 2d Business code (see instructions) | | | | | |
| | CH, NY 11561 | | | | | | 6212 | 10 | | | |
| 3a Plan administrator's name and address Same as Plan Sponsor. 3b Administrator's EIN | | | | | | ΞIN | | | | | |
| | | | | | | 3c Adr | ministrator's t | elephone number | | | |
| | | e plan sponsor or the plan name hansor's name, EIN, the plan name a | | | | 4b EIN | 1 | | | | |
| a Sponsor's name | | | | · | 4d PN | | | | | | |
| C Plan N | Name | | | | | | | | | | |
| 5a Total | number of participants | at the beginning of the plan year | | | | 5a | | 1 | | | |
| b Total number of participants at the end of the plan year | | | | 5b | | 1 | | | | | |
| C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) | | | | 5c | | 1 | | | | | |
| d(1) Total number of active participants at the beginning of the plan year | | | | | 5d(1) | | 1 | | | | |
| d(2) Total number of active participants at the end of the plan year | | | | | 5d(2) | | 1 | | | | |
| Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested | | | | 5e | | 0 | | | | | |
| | | or incomplete filing of this return | | | | | | | | | |
| SB or Sche | | ner penalties set forth in the instructed signed by an enrolled actuary, a plete. | | | | | | | | | |
| SIGN | Filed with authorized/ | valid electronic signature. | 0 | 7/01/2019 | JENNIFER SHRECK | | | | | | |
| HERE | Signature of plan ac | dministrator | ı | Date | Enter name of individ | ual signin | g as plan adr | ninistrator | | | |
| SIGN | | | | | | | | | | | |
| HERE | Signature of employ | yer/plan sponsor | ı | Date | Enter name of individ | vidual signing as employer or plan sponsor | | | | | |

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| 6a | Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) | | | | | | X Ye | es No | |
|----------|---|--|-----------------------------|------------|---------|-----------|----------------|--------------|-------------|
| b | Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) | | | | | | | X Ye | es Π No |
| | under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.) | | | | | | | L | |
| С | C If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? ☐ Yes ☐ No ☐ Not determined | | | | | | | termined | |
| | If "Yes" is checked, enter the My PAA confirmation number from the | e PBGC p | remium filing for this p | lan yea | r | | | (See inst | ructions.) |
| Pa | rt III Financial Information | | | | | | | | |
| 7 | Plan Assets and Liabilities | | (a) Beginning (| of Year | | | (b) Eı | nd of Year | |
| а | Total plan assets | 7a | , , , | | | | ` ' | 7400 | 6 |
| b | Total plan liabilities | 7b | | | | | | | |
| С | Net plan assets (subtract line 7b from line 7a) | 7c | | 0 | | 7406 | | | 3 |
| 8 | Income, Expenses, and Transfers for this Plan Year | | (a) Amoun | ıt | | (b) Total | | | |
| а | Contributions received or receivable from: (1) Employers | 8a(1) | | 2400 | | | | | |
| | (2) Participants | 8a(2) | | 5200 | | | | | |
| | (3) Others (including rollovers) | 8a(3) | | 0200 | | | | | |
| b | Other income (loss) | 8b | | -192 | | | | | |
| | Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) | 8c | | | | 7 | | 740 | 3 |
| d | Benefits paid (including direct rollovers and insurance premiums to provide benefits) | 8d | | | | | | | |
| е | Certain deemed and/or corrective distributions (see instructions) | 8e | | | | | | | |
| f | Administrative service providers (salaries, fees, commissions) | 8f | | 2 | | | | | |
| g | Other expenses | nses | | | | | | | |
| h | Total expenses (add lines 8d, 8e, 8f, and 8g) | 8h | | | | 2 | | | |
| <u>i</u> | Net income (loss) (subtract line 8h from line 8c) | | | | | | | 7406 | |
| <u>j</u> | Transfers to (from) the plan (see instructions) | 8j | | | | | | | |
| Pa | Part IV Plan Characteristics | | | | | | | | |
| 9a | If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 2S 2T 3D | feature co | odes from the List of Plant | an Cha | racteri | stic Co | odes in the in | nstructions: | |
| b | If the plan provides welfare benefits, enter the applicable welfare for | eature cod | les from the List of Pla | n Chara | acteris | tic Cod | des in the ins | structions: | |
| Par | t V Compliance Questions | | | | | | | | |
| 10 | During the plan year: | | | | Yes | No | | Amount | |
| а | Was there a failure to transmit to the plan any participant contribu described in 29 CFR 2510.3-102? (See instructions and DOL's V Program) | oluntary F | iduciary Correction | 10a | | X | | | |
| b | Were there any nonexempt transactions with any party-in-interest | ? (Do not | include transactions | | | X | | | |
| | reported on line 10a.) | | | 10b 10c | X | | | | 1000 |
| d | , , | | | 100 | | | | | 1000 |
| | by fraud or dishonesty? | | | 10d | | Х | | | |
| е | Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides some | ne or all of | the benefits under | | | _ | | | |
| | the plan? (See instructions.) | | | 10e | | X | | | |
| | f Has the plan failed to provide any benefit when due under the plan? | | | 10f | | X | | | |
| | g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.) | | | 10g | | X | | | |
| | 2520.101-3.) | · | | 10h | | X | | | |
| | If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10 | | | 10i | | | | | |

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| Part | VI Pension Funding Compliance | | | |
|--------|--|-----------------|-----|---------------------------|
| 11 | Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete (Form 5500) and line 11a below) | | | Yes No |
| 11a | Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 | 11a | | |
| 12 | Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or se ERISA? | | f | Yes X No |
| | (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) | | | |
| а | If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, granting the waiver | and enter Da | | of the letter ruling Year |
| lf y | you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13. | | | |
| b | Enter the minimum required contribution for this plan year | 12b | | |
| С | Enter the amount contributed by the employer to the plan for this plan year | 12c | | |
| d | Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount) | 12d | | |
| е | Will the minimum funding amount reported on line 12d be met by the funding deadline? | | Yes | No N/A |
| Part ' | VII Plan Terminations and Transfers of Assets | | | |
| 13a | Has a resolution to terminate the plan been adopted in any plan year? | | Yes | s X No |
| | If "Yes," enter the amount of any plan assets that reverted to the employer this year | 13a | | |
| b | Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under control of the PBGC? | he | | Yes X No |
| С | If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan which assets or liabilities were transferred. (See instructions.) | n(s) to | | |
| 1 | 3c(1) Name of plan(s): | (2) EIN(s) | | 13c(3) PN(s) |
| | | | | |