Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Internal Revenue Service

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to Public Inspection

Part I	Annual Report	Identification Information	1					
For calend	dar plan year 2018 or fi	iscal plan year beginning 01/01/2	2018	and ending 12	2/31/2018			
A This re	eturn/report is for:	x a single-employer plan	a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)					
D		a one-participant plan	a foreign plan					
B This ret	turn/report is	the first return/report	the final return/report					
		an amended return/report	a short plan year retu	urn/report (less than 12 m	months)			
C Check	box if filing under:	X Form 5558	automatic extension		DFVC progra	m		
		special extension (enter desc	ription)					
Part II	Basic Plan Info	ormation—enter all requested in	formation					
1a Name DARIEN SP	•	(K) PROFIT SHARING PLAN			1b Three-digi plan numb (PN) ▶			
					1c Effective date of plan 07/01/1972			
		oyer, if for a single-employer plan)	2. P)		2b Employer Identification Number			
		m, apt., suite no. and street, or P.C ce, country, and ZIP or foreign post		structions)	(EIN) 06-0700369			
•	PORT SHOP, INC.	io, ocariary, and Em or rereign poor	tar oodo (ii rereign, eee iiic	sir deliene)	2c Sponsor's telephone number 203-655-2575			
					2d Business code (see instructions)			
1127 POST					541990			
DARIEN, CT	Г 06820				341990			
3a Plan a	administrator's name a	nd address $oxed{X}$ Same as Plan Spo	nsor.		3b Administrator's EIN			
					20 11:::::::::::::::::::::::::::::::::::			
					3c Administrator's telephone number			
4 If the	name and/or EIN of the	e plan sponsor or the plan name h	as changed since the last	return/report filed for	4b EIN			
		onsor's name, EIN, the plan name a						
a Spons	sor's name				4d PN			
C Plan I	Name							
For Tarabase the efficiency of the hardwise of the electrons.					5a	58		
5a Total number of participants at the beginning of the plan yearb Total number of participants at the end of the plan year					5b	62		
C Number of participants with account balances as of the end of the plan year (only defined contribution plans								
complete this item)					5c	50		
d(1) Total number of active participants at the beginning of the plan year					5d(1)	39		
d(2) Total number of active participants at the end of the plan year					5d(2)	36		
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested					5e	0		
Caution:	A penalty for the late	or incomplete filing of this retur	n/report will be assesse	d unless reasonable cau				
SB or Sch		ther penalties set forth in the instru and signed by an enrolled actuary, a plete.						
SIGN HERE	Filed with authorized	I/valid electronic signature.	07/11/2019	GINA ZANGRILLO				
	Signature of plan a	administrator	Date	Enter name of individ	ividual signing as plan administrator			
SIGN								
HERE	Signature of emplo	yer/plan sponsor	Date	Enter name of individ	ual signing as em	nployer or plan sponsor		

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b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 20 FCR 2520.104-67 (See instructions on waiver eligibility and conditions.) If you answered "No" to either line 6a or line 6b, the plan cannot use Form \$500-\$F and must instead use Form \$500. If you answered "No" to either line 6a or line 6b, the plan cannot use Form \$500-\$F and must instead use Form \$500. If you answered "No" to either line 6a or line 6b, the plan cannot use Form \$500-\$F and must instead use Form \$500. If you answered "No" to either line 6a or line 6b, the plan cannot use Form \$500-\$F and must instead use Form \$500. If you answered "No" to either line 7b line 1 line 7b lin	6a	Were all of the plan's assets during the plan year invested in eligib	le assets?	(See instructions.)					. X Y	es No
If you answerd "No" to either line & aor line 68, the plan cannot use Form 5500-SF and must instead use Form 5500. If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)?	b								X	es \square No
If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year		· · · · · · · · · · · · · · · · · · ·							. 🗀 .	оо <u>П</u> о
Part III Financial Information (a) Beginning of Year (b) End of Year a Total plan Assets and Liabilities 7a 3298537 3042729 b Total plan Iabilities 7b 2004	С	If the plan is a defined benefit plan, is it covered under the PBGC in	nsurance p	orogram (see ERISA se	ection 4	021)?		Yes No	Not d	etermined
7 Plan Assets and Liabilities		If "Yes" is checked, enter the My PAA confirmation number from th	e PBGC p	remium filing for this p	lan yea	ır			(See ins	tructions.)
7 Plan Assets and Liabilities	Pa	rt III Financial Information								
a Total plan assets	7			(a) Beginning	of Year	ar (h) End of Voor				
b Total plan liabilities	a		7a					· · ·		
8 Income, Expenses, and Transfers for this Plan Year a Contributions received or receivable from: (1) Employers 8a(1) 56781 (2) Participants. 8a(2) 92992 (3) Others (including rollovers). 8a(3) 0 b Other income (loss). 8b 2-08279 C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b). 8c -58506 d Benefits paid (including direct rollovers and insurance premiums to provide benefits). 8d 196666 e Certain deemed and/or corrective distributions (see instructions). 8e 0 G Administrative service providers (selaries, fees, commissions). 8f 196666 g Other expenses. 8g 736 h Total expenses (add lines 8d, 8e, 8f, and 8g). 8h 197302 i Net income (loss) (subtract line 8h from line 8c). 8g 736 Part IV Plan Characteristics 9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2b If the plan provides pension benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: 2b If the plan provides verified welfare feature codes from the List of Plan Characteristic Codes in the instructions: 2c 2F 2O 2 2X 2T 3D D uring the plan year: a Was there a failure to transmit to the plan any participant contributions within the time period described in 20 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program). b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.). c Was the plan covered by a fidelity bond? d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud of dehonesty. 4 Has the plan failed to provide any benefit when due under the plan? 5 Part V in the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud of dehonesty. 6 Part V in the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud of dehonesty. 7 Part V in the plan have a loss, whethe			ru l							
a Contributions received or receivable from: (i) Employers (ii) Employers (iii) Employers (iiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiii	С	Net plan assets (subtract line 7b from line 7a)	7c	329	98537		3042729			
(1) Employers	8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	ıt		(b) Total			
(2) Participants	а				50704					
(3) Others (including rollovers)			` '			\dashv				
b Other income (loss)						-				
C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)				20		-				
d Benefits paid (including direct rollovers and insurance premiums to provide benefits). 8d 196566 e Certain deemed and/or corrective distributions (see instructions) 8e 0 f Administrative service providers (salaries, fees, commissions) 8f g Other expenses 8g 736 h Total expenses (add lines 8d, 8e, 8f, and 8g) 8h 197302 i Net income (loss) (subtract line 8h from line 8c) 8i 2-255808 j Transfers to (from) the plan (see instructions) 8g Part IV Plan Characteristics 9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2F 2C 2J 2K 2T 3D b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: Part V Compliance Questions 10 During the plan year: Yes No Amount a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Flduciary Correction Program). 10a X b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.). 10b X c Was the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? 10c of other organization that provides some or all of the benefits under the plan? (See instructions) 10e X 9954 f Has the plan flade to provide any benefit when due under the plan? 10f X g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)		` '		-20	00279		50500			6
to provide benefits)			80						-3030	0
f Administrative service providers (salaries, fees, commissions)			8d	19	96566					
g Other expenses (add lines 8d, 8e, 8f, and 8g)	е	Certain deemed and/or corrective distributions (see instructions)	8e		0					
h Total expenses (add lines 8d, 8e, 8f, and 8g) 8h 197302 i Net income (loss) (subtract line 8h from line 8c) 8i -255808 j Transfers to (from) the plan (see instructions) 8j Part IV Plan Characteristics 9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2F 2G 2J 2K 2T 3D b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: Part V Compliance Questions 10 During the plan year: Yes No Amount a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) 10a	f	Administrative service providers (salaries, fees, commissions)	8f							
i Net income (loss) (subtract line 8h from line 8c)	g	Other expenses	8g		736					
Transfers to (from) the plan (see instructions)	h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						19730	2
Part IV Plan Characteristics 9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2F 2G 2J 2K 2T 3D b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: Part V Compliance Questions 10 During the plan year: a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.) C Was the plan covered by a fidelity bond? d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? 6 Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions). 9 Did the plan have any participant loans? (If "Yes," enter amount as of year-end.) 10 Total CR. 10 T	<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i						-25580	8
If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: Earl V	<u>j</u>	Transfers to (from) the plan (see instructions)	8j							
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10 During the plan year: a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)										
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	Par	t V Compliance Questions				1		1		
described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)		<u> </u>			ı	Yes	No		Amount	
Program)	а									
reported on line 10a.)					10a		X			
d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.) f Has the plan failed to provide any benefit when due under the plan? g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.) h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) 10h X 10d X 9954 10e X 10f X 11of X 11of If 10h was answered "Yes," check the box if you either provided the required notice or one of the	b				10b		X			
by fraud or dishonesty?	C	C Was the plan covered by a fidelity bond?			10c	X			17	5000
carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)	C	· · · · · · · · · · · · · · · · · · ·			10d		X			
g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)	е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under			10e	X				9954
h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) i If 10h was answered "Yes," check the box if you either provided the required notice or one of the	f	f Has the plan failed to provide any benefit when due under the plan?			10f		X			
2520.101-3.)					10g	X			3	4324
	h	2520.101-3.)			10h		X			
	i				10i					

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Part	VI Pension Funding Compliance						
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sc (Form 5500) and line 11a below)		В	Yes 🛚 N	Ю		
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a					
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?		f	Yes 🛛 N	Ю		
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)						
a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the I granting the waiver							
lf y	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.						
b	Enter the minimum required contribution for this plan year	12b					
С	Enter the amount contributed by the employer to the plan for this plan year	12c					
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d					
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A			
Part '	VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes X No				
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a					
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?) 		Yes X No			
c If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)							
13c(1) Name of plan(s): 13c(2)				13c(3) PN(s)			