Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to **Public Inspection**

Part I Annual Repo	ort Identification Information	1							
For calendar plan year 2018 o	or fiscal plan year beginning 01/01/2	2018	and ending 12	2/31/2018					
A This return/report is for:	(Filers checking this box must attach a accordance with the form instructions.)								
·	a one-participant plan	a foreign plan	, ,		,				
B This return/report is	X the first return/report	the final return/repo	rt						
	an amended return/report	a short plan year re	turn/report (less than 12 me	urn/report (less than 12 months)					
C Check box if filing under:	Form 5558	automatic extensio	n	DFVC program					
	special extension (enter desc	ription)							
Part II Basic Plan In	nformation—enter all requested in	formation							
1a Name of plan	·			1b Three-di	ait				
STERLING HILL, LLC 401(K) P	LAN			plan num	nber				
				(PN) ▶ 1c Effective	date of plan				
				10 Lifective	01/01/2018				
	ployer, if for a single-employer plan) oom, apt., suite no. and street, or P.C) Boyl			r Identification Number				
	rince, country, and ZIP or foreign posi		nstructions)	(EIN) 80-0901487					
STERLING HILL, LLC			,		's telephone number 860-707-2129				
				2d Business	code (see instructions)				
10522 CHUCKANUT DRIVE					111900				
BURLINGTON, WA 98233									
3a Plan administrator's name	and address X Same as Plan Spo	nsor		3b Administr	rator's FIN				
3a Plan administrator's name and address 🗵 Same as Plan Sponsor.									
			3c Administrator's telephone number						
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for			4b EIN						
this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report.			n the last return/report.	4d PN					
a Sponsor's namec Plan Name				40 FN					
5a Total number of participal	nts at the beginning of the plan year.			5a	0				
b Total number of participants at the end of the plan year			L. Carrier and Car	5b	39				
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)				5c	26				
d(1) Total number of active participants at the beginning of the plan year				5d(1)	0				
d(2) Total number of active participants at the end of the plan year			5d(2)	38					
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested				5e	1				
	te or incomplete filing of this retur			use is establis	ned.				
	I other penalties set forth in the instru d and signed by an enrolled actuary, a complete.								
	red/valid electronic signature.	07/11/2019	11/2019 NORM NELSON JR.						
HERE Signature of pla	n administrator	Date	Enter name of individu	ual signing as p	lan administrator				
SIGN									
HERE Signature of em	ployer/plan sponsor	Date	Enter name of individ	of individual signing as employer or plan sponsor					

Form 5500-SF (2018) Page **2**

6a	Sa Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)							X Yes No
	b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA)							
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)							
С	If the plan is a defined benefit plan, is it covered under the PBGC in							Not determined
	If "Yes" is checked, enter the My PAA confirmation number from th							(See instructions.)
Pa	rt III Financial Information							
7	Plan Assets and Liabilities		(a) Beginning (of Year			(b) En	d of Year
а	Total plan assets	7a	(17 13 3	0			\.\.\.\.\.\.\.\.\.\.\.\.\.\.\.\.\.\.\.	66886
b	Total plan liabilities	7b						
С	Net plan assets (subtract line 7b from line 7a)	7с		0		66886		
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	ıt		(b) Total		Total
a	Contributions received or receivable from: (1) Employers	8a(1)	:	22654				
	(2) Participants	8a(2)	4	48637				
	(3) Others (including rollovers)	8a(3)						
b	Other income (loss)	8b		-4145				
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c				67146		67146
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d						
е	Certain deemed and/or corrective distributions (see instructions)	8e						
f	Administrative service providers (salaries, fees, commissions)	8f		260				
g	Other expenses	8g						
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h				260		
i	Net income (loss) (subtract line 8h from line 8c)	8i				66886		
j	Transfers to (from) the plan (see instructions)	8j						
Pai	t IV Plan Characteristics							
9a	If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 2T 3D	feature co	des from the List of Plant	an Chai	racteri	stic Co	odes in the in	structions:
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	les from the List of Pla	n Chara	acteris	tic Cod	des in the inst	ructions:
Par	t V Compliance Questions							
10	During the plan year:				Yes	No		Amount
а	Was there a failure to transmit to the plan any participant contribudescribed in 29 CFR 2510.3-102? (See instructions and DOL's V							
	Program)	•	•	10a		X		
b	b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		Х		
С	C Was the plan covered by a fidelity bond?		10c	X			30000	
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		10d		Χ			
е	Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides som	ner person	s by an insurance					
	the plan? (See instructions.)			10e		X	ļ	
f	f Has the plan failed to provide any benefit when due under the plan?			10f		X		
-	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g		Χ		
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)		10h		Χ			
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10	•		10i				

Form 5500-SF (2018)	Page 3- 1

Part	VI Pension Funding Compliance			
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete (Form 5500) and line 11a below)			Yes No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a		
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or se ERISA?		f	Yes X No
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)			
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, granting the waiver	and enter Da		of the letter ruling Year
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.			
b	Enter the minimum required contribution for this plan year	12b		
С	Enter the amount contributed by the employer to the plan for this plan year	12c		
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d		
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A
Part '	VII Plan Terminations and Transfers of Assets			
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	s X No
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a		
b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?				Yes X No
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan which assets or liabilities were transferred. (See instructions.)	n(s) to		
1	3c(1) Name of plan(s):	(2) EIN(s)		13c(3) PN(s)