Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to **Public Inspection**

Part I	Annual Report	t Identification Information						
For calenda	ar plan year 2018 or f	fiscal plan year beginning 10/01/2	018	and ending 1	2/31/2018			
A This ret	a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)							
		a one-participant plan	a foreign plan					
B This retu	urn/report is	the first return/report	the final return/report					
		an amended return/report	X a short plan year retur	n/report (less than 12 m	ionths)			
C Check I	box if filing under:	Form 5558	automatic extension		DFVC prograi	m		
	T	special extension (enter descri						
Part II	Basic Plan Info	ormation—enter all requested infe	ormation		T -			
1a Name PINNACLE F	of plan PAIN CENTER, PS 4	01(K) PLAN			1b Three-digit plan numb (PN) ▶			
					1c Effective d	ate of plan 10/01/2018		
Mailing	g address (include roo	oyer, if for a single-employer plan) om, apt., suite no. and street, or P.O			2b Employer I (EIN)	dentification Number 26-3527833		
•	town, state or provin PAIN CENTER, PS	ce, country, and ZIP or foreign posta	al code (if foreign, see inst	ructions)		telephone number 9-591-0070		
						code (see instructions)		
8524 WEST KENNEWICH	GAGE BLVD, A-1 BC K, WA 99336)X 319				621111		
3a Plan a	dministrator's name a	and address 🛛 Same as Plan Spon	sor.		3b Administra	tor's EIN		
3c Administrator's telephone number								
		ne plan sponsor or the plan name ha onsor's name, EIN, the plan name a			4b EIN			
•	or's name	, ,	•	·	4d PN			
C Plan N	lame							
5a Total r	number of participant	s at the beginning of the plan year			5a	64		
b Total number of participants at the end of the plan year						43		
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)								
` '	d(1) Total number of active participants at the beginning of the plan year							
d(2) Total number of active participants at the end of the plan year						38		
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested								
		or incomplete filing of this return						
SB or Sche		other penalties set forth in the instruction and signed by an enrolled actuary, a suplete.						
SIGN		d/valid electronic signature.	07/12/2019	BEN SMITH				
HERE	Signature of plan	administrator	Date	Enter name of individ	lual signing as pla	n administrator		
SIGN	Filed with authorized	d/valid electronic signature.	07/12/2019	BEN SMITH				
HERE	Signature of empl	oyer/plan sponsor	Date	Enter name of individ	lual signing as em	ployer or plan sponsor		

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6a	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)								
b	Are you claiming a waiver of the annual examination and report of							No.	
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)								
С	If the plan is a defined benefit plan, is it covered under the PBGC in							Not determined	
	If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year (See instructions.)								
Pa	rt III Financial Information								
7	Plan Assets and Liabilities		(a) Beginning (of Year			(b) En	d of Year	
а	Total plan assets	7a	(,	0			(4)	16706	
b	Total plan liabilities	7b		0					
С	Net plan assets (subtract line 7b from line 7a)	7c		0				16706	
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	t			(b)	Total	
а	Contributions received or receivable from: (1) Employers	8a(1)		6274					
	(2) Participants	8a(2)	,	11143					
	(3) Others (including rollovers)	8a(3)		0					
b	Other income (loss)	8b		-711					
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						16706	
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		0					
е	Certain deemed and/or corrective distributions (see instructions)	8e		0					
f	Administrative service providers (salaries, fees, commissions)	8f		0					
g	Other expenses	8g		0					
h	Total expenses (add lines 8d, 8e, 8f, and 8g)						0		
i_	Net income (loss) (subtract line 8h from line 8c)	Net income (loss) (subtract line 8h from line 8c)						16706	
j	Transfers to (from) the plan (see instructions)	olan (see instructions)8j							
Pai	Part IV Plan Characteristics								
9a	9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2F 2J 2K 2T 2E								
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	es from the List of Plan	n Chara	cteris	tic Cod	des in the ins	tructions:	
Par	t V Compliance Questions								
10	During the plan year:				Yes	No		Amount	
а	Was there a failure to transmit to the plan any participant contribudescribed in 29 CFR 2510.3-102? (See instructions and DOL's V								
	Program)	-		10a	X			6274	
b	b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)								
С	Was the plan covered by a fidelity bond?			10c	X			50000	
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X			
е	• Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)					X			
f	Has the plan failed to provide any benefit when due under the plan?					Χ			
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)					X			
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h	X				
i	•				X				

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Part	VI Pension Funding Compliance							
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete (Form 5500) and line 11a below)			Yes X No				
11a	11a Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40							
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or se		of	Yes X No				
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)							
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions granting the waiver	_	r the date	e of the letter ruling Year				
lf y	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.							
b	Enter the minimum required contribution for this plan year	12k)					
C Enter the amount contributed by the employer to the plan for this plan year								
d	d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)							
е	Yes	No X N/A						
Part '	VII Plan Terminations and Transfers of Assets							
13a	Has a resolution to terminate the plan been adopted in any plan year?		Ye	s 🛚 No				
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a						
b	b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?							
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the pl which assets or liabilities were transferred. (See instructions.)	n(s) to						
1	3c(1) Name of plan(s):	c(2) EIN(s)	13c(3) PN(s)				

Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal

Revenue Code (the Code). ▶ Complete all entries in accordance with the instructions to the Form 5500-SF. OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to **Public Inspection**

Part I	Annual Repor	t Identification Information						
For calenda	ar plan year 2018 or	fiscal plan year beginning	10/01/2018	and ending	12/31/2	018		
A This ret	urn/report is for:	X a single-employer plan	a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)					
		a one-participant plan	a foreign plan					
B This retu	ırn/report is	X the first return/report	the final return/repo					
		an amended return/report	X a short plan year re	turn/report (less than 12 n	nonths)			
C Check I	oox if filing under:	Form 5558 special extension (enter desc	automatic extensio	n	DFVC program			
Don't II	Dania Dlan Inf	<u> </u>	. ,					
Part II		ormation—enter all requested in	Tormation		1b Three-digit			
1a Name	•	50 401/1 > 51			plan numbe	r		
Pinnac.	le Pain Cente	er, PS 401(k) Plan			(PN) ▶	001		
					1c Effective da 10/01/2			
Mailing	address (include ro	oyer, if for a single-employer plan) om, apt., suite no. and street, or P.0	D. Box)		2b Employer Id (EIN) 26-3	entification Number 527833		
City or Pinnac	town, state or provin le Pain Cente	ice, country, and ZIP or foreign poser, PS	tal code (if foreign, see ir	nstructions)	2c Sponsor's to (509)59	elephone number		
						de (see instructions)		
8524 W	est Gage Blvo	d, A-1 Box 319						
Kennew	ick		V	VA 99336	621111			
3a Plan administrator's name and address ☒ Same as Plan Sponsor. 3b Administrator's EIN								
3c Administrator's telephone number								
		ne plan sponsor or the plan name honsor's name, EIN, the plan name			4b EIN			
a Spons	or's name	onoor o mamo, zm, mo piam namo	and the plan named her	in the last retain, report.	4d PN			
C Plan N	ame							
5a Total number of participants at the beginning of the plan year								
b Total r	number of participant	s at the end of the plan year			. 5b	43		
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)								
d(1) Total number of active participants at the beginning of the plan year								
d(2) Total number of active participants at the end of the plan year								
Pumber of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested								
		or incomplete filing of this retur						
SB or Sche		other penalties set forth in the instru and signed by an enrolled actuary, nolete.						
SIGN	1/2	- h	7/12/19	Ben Smith				
HERE	Signature of plan	administrator	Date	Enter name of individ	dual signing as plan	administrator		
SIGN	•	the h	7/12/19					

Date

HERE

Enter name of individual signing as employer or plan sponsor

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Part III Financial Information Financial Informa		under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)								
7 Plan Assets and Liabilities 7a 0 Plan Assets		If the plan is a defined benefit plan, is it covered under the PBGC ir If "Yes" is checked, enter the My PAA confirmation number from the	nsurance p	orogram (see ERISA se	ection 4	021)?		Yes No	_	determined astructions.)
a Total plan assets	Pa	t III Financial Information		1						
D Total plan liabilities	_7	Plan Assets and Liabilities		(a) Beginning	of Year			(b) En	d of Year	
C Net pian assets (subtract line 7b from line 7a)	<u>a</u>	Total plan assets	7a							16,706
8 Income, Expenses, and Transfers for this Plan Year a Contributions received or receivable from: (1) Employers	b	Total plan liabilities	7b			0				
a Contributions received or receivable from: (1) Employers (2) Participants (3) Others (including rollovers) (3) Others (including rollovers) (4) Bag(2) (5) Others (including rollovers) (6) Dother income (loss) (7) Exployers (8) Bag(3) (9) Other income (loss) (1) Exployers (1) Expl	С	Net plan assets (subtract line 7b from line 7a)	7c			0	0			16,706
(2) Participants	8	Income, Expenses, and Transfers for this Plan Year		(a) Amour	nt			(b)	Total	
(3) Others (including rollovers)	a		8a(1)			_				
b Other income (loss)		(2) Participants	8a(2)		11,	143				
C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)		(3) Others (including rollovers)	8a(3)			0				
d Benefits paid (including direct rollovers and insurance premiums to provide benefits). 8	b	Other income (loss)	8b		-	711				
e Certain deemed and/or corrective distributions (see instructions) 8e	C	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							16,706
f Administrative service providers (salaries, fees, commissions)	d		. 8d			0				
Solution Compliance Codes in the instructions: Solution Codes in the instructions:	<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	8e			0				
Total expenses (add lines 8d, 8e, 8f, and 8g) 8h	f	Administrative service providers (salaries, fees, commissions)	8f			0				
i Net income (loss) (subtract line 8h from line 8c)	g	Other expenses	8g			0				
Transfers to (from) the plan (see instructions)	<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							0
Part IV Plan Characteristics	<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i							16,706
If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2F 2J 2K 2T 2E	j	Transfers to (from) the plan (see instructions)	8j	Bi						
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: Part V Compliance Questions	Pai									
Part V Compliance Questions 10 During the plan year: a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	9a	9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:								
10 During the plan year: a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	des from the List of Pla	n Chara	acteris	tic Cod	des in the ins	tructions:	
10 During the plan year: a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	Par	t V Compliance Questions								
described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10					Yes	No		Amount	
reported on line 10a.)	а	described in 29 CFR 2510.3-102? (See instructions and DOL's V	Voluntary F	Fiduciary Correction	10a	Х				6,274
d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.) f Has the plan failed to provide any benefit when due under the plan? g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.) h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	b				10b		Х			
d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	С	Was the plan covered by a fidelity bond?			10c	Х				50,000
e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)	d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused					Х			<u> </u>
g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)	е	• Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under					Х			
g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)	f						Х			
2520.101-3.)	g						Х			
i If 10h was answered "Yes," check the box if you either provided the required notice or one of the					10h	Х				
exceptions to providing the notice applied under 29 CFR 2520.101-3	i	· · · · · · · · · · · · · · · · · · ·			10i	Х				

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Part	VI Pension Funding Compliance								
11	11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)								
11a	11a Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40								
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or secti ERISA?	on 302 o	f 	Yes 🗓 No					
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)								
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, at granting the waiver.	nd enter t Day		of the letter ruling Year					
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.								
b	Enter the minimum required contribution for this plan year	12b							
С	Enter the amount contributed by the employer to the plan for this plan year	. 12c							
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d							
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No X N/A					
Part '	VII Plan Terminations and Transfers of Assets								
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	s X No					
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	. 13a							
b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?									
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(which assets or liabilities were transferred. (See instructions.)	s) to							
1	3c(1) Name of plan(s): 13c(2)	2) EIN(s)		13c(3) PN(s)					

Form 5500 Electronic Filing Authorization

Plan Name: Pinnacle Pain Center, PS 401(k) Plan

EIN/PN: 26-3527833-001

Plan Year: 2016

I hereby authorize United 401(k) Plans, Inc. to electronically file the above return with the US Department of Labor's Electronic Filing Acceptance System (EFAST).

I have signed Form 5500 for this return and understand a scanned copy of this return bearing my manual signature will be included in the electronic filing and posted on the US Department of Labor's internet site for public disclosure.

Plan Administrator	Plan Sponsor				
(Signature)	(Signature)				
7/12/2019	7/12/2019				
Date	Date				