Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to Public Inspection

Part I	Annual Report I	dentification Information								
For calend	dar plan year 2018 or fisc	cal plan year beginning 01/01/20	018	and ending 12	2/31/2018					
A This re	a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)									
D T L'	la constanta de la constanta d									
D This ret	turn/report is	the first return/report	the final return/report							
		an amended return/report	a short plan year retu	ırn/report (less than 12 m	onths)					
C Check	box if filing under:	Form 5558	automatic extension		DFVC progra	am				
	T	special extension (enter descri	· · ·							
Part II	Basic Plan Infor	mation—enter all requested info	ormation		_					
1a Name DANIEL J. ⁻	•	1(K) PROFIT SHARING PLAN			1b Three-dig plan num (PN) ▶					
					1c Effective	date of plan 01/01/1992				
		er, if for a single-employer plan)	ъ ,		2b Employer	Identification Number				
		n, apt., suite no. and street, or P.O. e, country, and ZIP or foreign posta		structions)	(EIN)	91-1542806				
DANIEL J. 1	THACKER, DDS, PS	-	, , , , , , , , , , , , , , , , , , ,	,		s telephone number 60-297-3392				
DBA APPLE	TREE COVE DENTAL	CENTER				code (see instructions)				
P.O. BOX 9					621210					
BELLEVUE,	, WA 98009-9612									
3a Plan administrator's name and address ☒ Same as Plan Sponsor.						ator's EIN				
					3c Administrator's telephone number					
		plan sponsor or the plan name has sor's name, EIN, the plan name ar			4b EIN					
	sor's name	,, p			4d PN					
C Plan I	Name									
5a Total	number of participants a	at the beginning of the plan year			5a	10				
_		at the end of the plan year		ľ	5b	9				
	·	ccount balances as of the end of the		i	5c	9				
comp	olete this item)				5d(1)	8				
		ticipants at the beginning of the pla	-		5d(1) 5d(2)	7				
d(2) Total number of active participants at the end of the plan year										
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested						1				
		r incomplete filing of this return er penalties set forth in the instruct								
SB or Sch		d signed by an enrolled actuary, as								
SIGN		valid electronic signature.	05/15/2019	DANIEL A. THACKER	, DDS					
HERE	Signature of plan ad	lministrator	Date	Enter name of individe	ual signing as p	lan administrator				
SIGN	Filed with authorized/v	valid electronic signature.	05/15/2019	DANIEL A. THACKER	, DDS					
HERE	Signature of employ	/er/plan sponsor	Date	Enter name of individu	lividual signing as employer or plan sponsor					

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	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)								No
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)								No
_	If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.								
С	If the plan is a defined benefit plan, is it covered under the PBGC in If "Yes" is checked, enter the My PAA confirmation number from the					_			
	If fes is checked, enter the My PAA confirmation number from th	е РБСС р	remium ming for this p	ian yea	·	-		(See instructions	5.)
Pai	t III Financial Information								
7	Plan Assets and Liabilities		(a) Beginning (of Year			(b) Eı	nd of Year	
a	Total plan assets	7a	33	57326				3374161	
b	Total plan liabilities	7b		0				0	
С	Net plan assets (subtract line 7b from line 7a)	7c	33	57326				3374161	
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	t			(b) Total	
<u>а</u>	Contributions received or receivable from: (1) Employers	8a(1)		69420					
	(2) Participants	8a(2)	(91528					
	(3) Others (including rollovers)	8a(3)		0					
b	Other income (loss)	8b	-	86932					
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						74016	
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		57181					
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	8e		0					
f	Administrative service providers (salaries, fees, commissions)	8f		0					
g	Other expenses	8g		0					
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h	8h					57181	
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i						16835	
j	Transfers to (from) the plan (see instructions)	8j		0					
Par	t IV Plan Characteristics								
9a	If the plan provides pension benefits, enter the applicable pension 2E 2G 2J 2K 2R 3D	feature co	des from the List of Plant	an Cha	racteri	stic Co	des in the i	nstructions:	
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	les from the List of Pla	n Chara	acterist	tic Cod	des in the in	structions:	
Par	t V Compliance Questions								
10	During the plan year:				Yes	No		Amount	
а	Was there a failure to transmit to the plan any participant contribu described in 29 CFR 2510.3-102? (See instructions and DOL's V	oluntary F	iduciary Correction	40		>			
b	Program)			10a		X			
	reported on line 10a.)			10b		X			
С	Was the plan covered by a fidelity bond?			10c	Χ			500000	
d	by fraud or dishonesty?			10d		X			
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.).					X			_
f						X			
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)				X			13138	
h	If this is an individual account plan, was there a blackout period? 2520.101-3.)	•		10h		X			
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10	•		10i					

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Part	VI Pension Funding Compliance							
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete (Form 5500) and line 11a below)			Yes 🛚 No				
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a						
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or se ERISA?		of	Yes X No				
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)							
а	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver							
lf y	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.							
b	Enter the minimum required contribution for this plan year	12b						
С	Enter the amount contributed by the employer to the plan for this plan year	12c						
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d						
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	□ No □ N/A				
Part '	VII Plan Terminations and Transfers of Assets							
13a	Has a resolution to terminate the plan been adopted in any plan year?		Ye	s 🔀 No				
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a						
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under control of the PBGC?	the		Yes X No				
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan which assets or liabilities were transferred. (See instructions.)	n(s) to						
1	3c(1) Name of plan(s):	(2) EIN(s))	13c(3) PN(s)				

Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

► Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to Public Inspection

F	Part I Annual Report Identification Information									
For	calendar plan ye	ear 2018 or fisc	al plan year beginning		01/01/2018	and ending	1	2/31/2018		
Α	a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.) a one-participant plan									
В	This return/repo	rt is:	the first return/report	=	the final return/report					
			an amended return/report		•	n/report (less than 12 mg	onths)			
			an amended retains open	Ш,	a onor plan your rolan	moport (1000 tilain 12 iii	,,,,,,	_		
С	Check box if filir	ng under:	Form 5558	_ []	automatic extension			DFVC progra	ım	
			special extension (enter descr	iption)						
P	art II Bas	ic Plan Info	ormation enter all requested	inform	ation					
-	Name of plan	<u> </u>	5,100,000				1b	Three-digit		
	Daniel J.	Thacker,	DDS, PS 401(k) Profit	t Sha	aring Plan			plan number (PN) ►	001	
		·	,				1c	Effective date of 01/01/1992	f plan	
2 a	Mailing Addres	s (include rooi	ver, if for a single-employer plan) n, apt., suite no, and street, or P.O	. Box)	46 6 1 1 1 1 1 1		2b	Employer Identii (EIN) 91-15	fication Number	
	Daniel J.	Thacker,		i code i	(it foreign, see instruct	ions)	2c	Sponsor's telepl		
	dba Apple	Tree Cov	e Dental Center				2d		(see instructions)	
	P.O. Box	96012					-	621210	(ace mandonoria)	
_	US Bellevue		The state of the s				0.5			
3a	Plan administr	ator's name an	d address X Same as Plan Sp	onsor			30	Administrator's	EIN	
							_			
						== -	3c Administrator's telephone number			
4			plan sponsor or the plan name has sor's name, EIN, the plan name and				4b	EIN		
a	Sponsor's nam	ne					4d PN			
C	Plan Name									
								ii		
5a	Total number of	of participants	at the beginning of the plan year				5		10	
b	Total number of	of participants a	it the end of the plan year				51)	9	
С			ccount balances as of the end of th	-			50	;	9	
d	(1) Total numbe	r of active parti	cipants at the beginning of the plar	ı year			5d	(1)	8	
d	. ,		cipants at the end of the plan year				5d	(2)	7	
е	Number of part less than 100%	ticipants who to 6 vested	erminated employment during the p				5	е	1	
Ca	aution: A penalt	y for the late	or incomplete filing of this retur	n/repo	rt will be assessed u	nless reasonable caus	se is es	tablished.		
Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.										
S	IGN C	72.	Y V			Daniel J. Thac	ker,	DDS		
1 20		e of plan adm	inistrator		Date / 15/19	Enter name of individua	al signir	g as plan admini	strator	
	(1857)		670			Daniel J. Thac				
	SIGN HERE Signature of employer/plan sponsor Date 15/19 Enter name of individual signing as employer or plan sponsor								plan sponsor	

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auc	4

6a	Were all of the plan's assets during the plan year invested in eligible as	sets? (See	e instructions.)						X Yes	□No	
b	Are you claiming a waiver of the annual examination and report of an inc	•	·	nt (IQI	PA)					_	
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and		·						X Yes	No	
	If you answered "No" to either line 6a or line 6b, the plan cannot						_				
С	If the plan is a defined benefit plan, is it covered under the PBGC insura	ance progr	ram (see ERISA section 40	021)?		••••••	Yes	No No	Not de	etermined	
	If "Yes" is checked, enter the My PAA confirmation number from the Pt	BGC prem	ium filing for this year					(See instrud	tions.)	
Pá	nt III Financial Information										
7	Plan Assets and Liabilities		(a) Beginning of	f Yea	r			(b) End o	f Year		
а	Total plan assets	7a	3,35	57,3	26				3,374,	161	
b	Total plan liabilities	7b	*	7.0	0					0	
С	Net plan assets (subtract line 7b from line 7a)	7c	3,35	57.3	26				3,374,	161	
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount	_				(b) T			
а	Contributions received or receivable from:					(0.0)	1711	25	151.12	91/20	
	(1) Employers	8a(1)		59,4			100			X X TO	
	(2) Participants	8a(2)	9	91,5	28	l lege					
	(3) Others (including rollovers)	8a(3)			0			8 8 5			
b	Other income (loss)	8b	(86	5,93	2)	*	V 0-0		History	WIN S	
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							74,	016	
d	Benefits paid (including direct rollovers and insurance premiums	0.1		7 1	01	, and					
_	to provide benefits)	8d	-	57,1							
	Certain deemed and/or corrective distributions (see instructions)	8e			0						
<u>f</u>	Administrative service providers (salaries, fees, commissions)	8f			0	100	32	74 - 17 -	N 311 3	SONA I COS	
g	Other expenses	8g			0		57,181				
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h			الد	-					
<u> </u>	Net income (loss) (subtract line 8h from line 8c)	8i							16,	835	
<u>j</u> _	Transfers to (from) the plan (see instructions)	8j			0						
Pa	rt IV Plan Characteristics										
9a	If the plan provides pension benefits, enter the applicable pension featu	re codes fr	rom the List of Plan Chara	cteris	tic Co	des in	the inst	tructions:			
	2E 2G 2J 2K 2R 3D										
b	If the plan provides welfare benefits, enter the applicable welfare feature	e codes fro	m the List of Plan Charact	teristic	Code	es in tl	ne instri	uctions:			
	······································										
Pa	rt V Compliance Questions										
10	During the plan year:				Yes	No	N/A		Amount		
a	Was there a failure to transmit to the plan any participant contributions	s within the	e time period				1000				
	described in 29 CFR 2510.3-102? (See instructions and DOL's Volume	ntary Fiduc	iary Correction								
	Program)			10a		х					
b	Were there any nonexempt transactions with any party-in-interest? (D	o not inclu	de transactions				VI-501				
	reported on line 10a.)			10b	-	Х				-	
С	Was the plan covered by a fidelity bond?	***************************************		10c	х				50	00,000	
d	Did the plan have a loss, whether or not reimbursed by the plan's fidel by fraud or dishonesty?	•		10d		x	基				
е	Were any fees or commissions paid to any brokers, agents, or other partier, insurance service, or other organization that provides some or						No.				
	the plan? (See instructions.)			10e		х	May 18				
f	Has the plan failed to provide any benefit when due under the plan?			10f		Х					
<u>g</u>	Did the plan have any participant loans? (If "Yes," enter amount as of	*		10g	х		3E 17	Termination	0, 18 10	13,138	
h	If this is an individual account plan, was there a blackout period? (See 2520.101-3.)			10h		х		3,310			
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3								, ESE	-	

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Part	VI Pension Funding Compliance								
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Scheo (Form 5500 and line 11a below)			☐ Yes	X	No			
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a							
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 3 ERISA? (If INCO II complete line 120 or lines 12h, 12h, 12h, 12h, 12h, 12h, 12h, 12h,			☐ Yes	x	No			
a	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver Month Day Year								
If y	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.								
b	Enter the minimum required contribution for this plan year,	12b							
С	Enter the amount contributed by the employer to the plan for the plan year	12c							
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d							
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?	Yes No N/A							
Part	VII Plan Terminations and Transfers of Assets								
13a	Has a resolution to terminate the plan been adopted in any plan year?	Yes X No							
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a							
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			res 🗓	No				
	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)								
13	13c(1) Name of plan(s): 13c(2) EIN				PN(s)				