| _ | rm 5500-SF | Short Form Annual Return/Report of Small Employee Benefit Plan | | | | OMB Nos. 1210-0110 1210-0089 | | | |
|--|---|---|---|--|---|---|--|--|--|
| Department of the Treasury Internal Revenue Service | | | This form is required to be filed under sections 104 and 4065 of the Employee R | | | 2018 | | | |
| Department of Labor Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(Employee Benefits Security Administration Revenue Code (the Code). | | | | | Internal | This Form is Open to Public Inspection | | | |
| Pension Be | enefit Guaranty Corporation | 500-SF. | | | | | | | |
| Part I | | Identification Information | | | | | | | |
| For calend | ar plan year 2018 or f | iscal plan year beginning 01/01/2 | | | 2/31/2018 | | | | |
| A This return/report is for: | | | | | | | | | |
| | | a one-participant plan | an a foreign plan | | | | | | |
| B This reti | urn/report is | the first return/report | eturn/report the final return/report | | | | | | |
| | | an amended return/report | a short plan year ret | ar return/report (less than 12 months) | | | | | |
| C Check | box if filing under: | Form 5558 | automatic extension | 1 | DFVC p | rogram | | | |
| | | special extension (enter descr | iption) | | | | | | |
| Part II | Basic Plan Info | prmation—enter all requested inf | ormation | | | | | | |
| 1a Name | • | | | | 1b Thre | • | | | |
| HPG, LLC 4 | 01(K) RETIREMENT | PLAN | | | plan (PN) | number 001 | | | |
| | | | | | | ctive date of plan | | | |
| | | ······································ | | | | 01/01/2012 | | | |
| | | oyer, if for a single-employer plan) m, apt., suite no. and street, or P.C |). Box) | | 2b Employer Identification Number (EIN) 27-3319966 | | | | |
| City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) HPG, LLC | | | | | 2c Sponsor's telephone number | | | | |
| | | | | | 200-971-0000 2d Business code (see instructions) | | | | |
| | STREET, SUITE 4800 |) | | | 541800 | | | | |
| SEATTLE, W | VA 98101 | | | | | 011000 | | | |
| 3a Plan a | dministrator's name a | nd address 🛛 Same as Plan Spor | nsor | | 3b Admi | inistrator's EIN | | | |
| | | | | | | | | | |
| | | | | | 3c Admi | inistrator's telephone number | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| 4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for | | | | | 4b EIN | | | | |
| this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report. | | | the last return/report. | 4d PN | | | | | |
| a Sponsor's name c Plan Name | | | | | HU FN | | | | |
| • Harri | ano | | | | | | | | |
| 5a Total | 5a Total number of participants at the beginning of the plan year | | | | 5a | 117 | | | |
| b Total number of participants at the end of the plan year | | | | | 5b | 135 | | | |
| C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) | | | | | 5c | 134 | | | |
| d(1) Total number of active participants at the beginning of the plan year | | | | | 5d(1) | 83 | | | |
| d(2) Total number of active participants at the end of the plan year | | | | | 5d(2) | 95 | | | |
| e Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested | | | | | 5e | • 0 | | | |
| | | or incomplete filing of this return | | | use is estal | blished. | | | |
| Under pena SB or Sche | alties of perjury and of edule MB completed a | ther penalties set forth in the instruction in the instruction of the section of | ctions, I declare that I have | ve examined this return/re | port, includi | ng, if applicable, a Schedule | | | |
| belief, it is true, correct, and complete. SIGN Filed with authorized/valid electronic signature. 07/15/2019 CHERYL LUBBERT | | | | | | | | | |
| SIGN HERE | | Ŭ | | | | an alay a da 1.1 a la | | | |
| | Signature of plan a | administrator | Date | Enter name of individ | ual signing | as plan administrator | | | |
| SIGN HERE | | | | | | | | | |
| | Signature of emplo | oyer/plan sponsor | Date | Enter name of individ | ual signing | as employer or plan sponsor | | | |

For Paperwork Reduction Act Notice, see the Instructions for Form 5500-SF.

Form 5500-SF (2018) v.171027

| | Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) | X Yes 🗌 No |
|----|--|-----------------------|
| b | under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.) | X Yes 🗌 No |
| | If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500. | |
| С | If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No | Not determined |
| | If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year | . (See instructions.) |
| | | |
| Pa | rt III Financial Information | |

| | | | <u> </u> | | | | <i></i> | | | |
|--|--|--|---|---|----------|---------------------------------------|-------------|-----------------------|-----|--|
| - | Plan Assets and Liabilities | | (a) Beginning o | | | | | | | |
| | Total plan assets | 7a 7b | | 4113671 | | | 4276617 | | | |
| b | Total plan liabilities | | | 11758 | | 3620 | | | | |
| <u> </u> | Net plan assets (subtract line 7b from line 7a) | | 410 | 4101913 | | | 4272997 | | | |
| 8 | Income, Expenses, and Transfers for this Plan Year | | (a) Amount | | | (b) Total | |) Total | | |
| a | Contributions received or receivable from: (1) Employers | 8a(1) | 25 | | | | | | | |
| | (2) Participants | 8a(2) | 59 | _ | | | | | | |
| | (3) Others (including rollovers) | 8a(3) | 34 | 343853 | | | | | | |
| b | Other income (loss) | 8b | -262710 | | | | | | | |
| С | Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) | 8c | | | | | | 934 | 548 | |
| d | Benefits paid (including direct rollovers and insurance premiums to provide benefits) | 8d | 7! | 759951 | | | | | | |
| е | Certain deemed and/or corrective distributions (see instructions) | 8e | | 2553 | | | | | | |
| f | Administrative service providers (salaries, fees, commissions) | 8f | | 960 | | | | | | |
| g | Other expenses | 8g | | | | | | | | |
| h | Total expenses (add lines 8d, 8e, 8f, and 8g) | 8h | | | | | | 763 | 464 | |
| i | Net income (loss) (subtract line 8h from line 8c) | 8i | | | | | | 171 |)84 | |
| j | Transfers to (from) the plan (see instructions) | 8j | | | | | | | | |
| | | -, | | | | | | | | |
| | t IV Plan Characteristics | feature co | odes from the List of Pla | an Cha | racteri | stic Cod | es in the i | nstructions | | |
| 9a b | If the plan provides pension benefits, enter the applicable pension $2E$ $2F$ $2G$ $2J$ $2K$ $2T$ $3D$ $3H$ If the plan provides welfare benefits, enter the applicable welfare for | | | | | | | | : | |
| 9a b Par | If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 2T 3D 3H If the plan provides welfare benefits, enter the applicable welfare feature the plan provides welfare benefits, enter the applicable welfare feature t V Compliance Questions | | | | acterist | ic Code | | structions: | | |
| 9a b Par 10 | If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 2T 3D 3H If the plan provides welfare benefits, enter the applicable welfare for t V Compliance Questions During the plan year: | eature cod | les from the List of Pla | | | | | | | |
| 9a b Par 10 a | If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 2T 3D 3H If the plan provides welfare benefits, enter the applicable welfare for If the plan provides welfare benefits, enter the applicable welfare for If the plan provides welfare benefits, enter the applicable welfare for Unimed to plan provides welfare benefits, enter the applicable welfare for During the plan year: Was there a failure to transmit to the plan any participant contribut described in 29 CFR 2510.3-102? (See instructions and DOL's V Program) | eature cod tions withi 'oluntary F | les from the List of Plan n the time period Fiduciary Correction | | acterist | ic Code | | structions: | | |
| 9a b Par 10 a | If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 2T 3D 3H If the plan provides welfare benefits, enter the applicable welfare for If the plan provides welfare benefits, enter the applicable welfare for If the plan provides welfare benefits, enter the applicable welfare for Units welfare benefits, enter the applicable welfare for During the plan year: Was there a failure to transmit to the plan any participant contribut described in 29 CFR 2510.3-102? (See instructions and DOL's V | tions withi 'oluntary F | n the time period Fiduciary Correction include transactions | n Chara | acterist | ic Code | | structions: | | |
| 9a b Par 10 a | If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 2T 3D 3H If the plan provides welfare benefits, enter the applicable welfare for t V Compliance Questions During the plan year: Was there a failure to transmit to the plan any participant contribut described in 29 CFR 2510.3-102? (See instructions and DOL's V Program) Were there any nonexempt transactions with any party-in-interest reported on line 10a.) | tions withi 'oluntary F ? (Do not | hes from the List of Plan n the time period Fiduciary Correction include transactions | n Chara | acterist | No X | | structions: Amount | | |
| 9a b Par 10 a | If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 2T 3D 3H If the plan provides welfare benefits, enter the applicable welfare fer t V Compliance Questions During the plan year: Was there a failure to transmit to the plan any participant contribut described in 29 CFR 2510.3-102? (See instructions and DOL's V Program) Were there any nonexempt transactions with any party-in-interest reported on line 10a.) Was the plan covered by a fidelity bond? | tions withi oluntary F (Do not) fidelity bo | Ies from the List of Plan n the time period Fiduciary Correction include transactions nd, that was caused | n Chara 10a 10b | Yes | No X | | structions: Amount | | |
| 9a b Par 10 a b c | If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 2T 3D 3H If the plan provides welfare benefits, enter the applicable welfare fer t V Compliance Questions During the plan year: Was there a failure to transmit to the plan any participant contribut described in 29 CFR 2510.3-102? (See instructions and DOL's V Program) Were there any nonexempt transactions with any party-in-interest reported on line 10a.) Was the plan covered by a fidelity bond? Did the plan have a loss, whether or not reimbursed by the plan's | tions withi 'oluntary F ? (Do not fidelity bo her person he or all of | n the time period Fiduciary Correction include transactions and, that was caused the benefits under | n Chara 10a 10b 10c | Yes | No X X | | structions: Amount | | |
| 9a b Par 10 a b c | If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 2T 3D 3H If the plan provides welfare benefits, enter the applicable welfare fer t V Compliance Questions During the plan year: Was there a failure to transmit to the plan any participant contribut described in 29 CFR 2510.3-102? (See instructions and DOL's V Program) Were there any nonexempt transactions with any party-in-interest reported on line 10a.) Was the plan covered by a fidelity bond? Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty? Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides som | tions withi oluntary F ? (Do not fidelity bo ner person ie or all of | n the time period include transactions include transactions nd, that was caused is by an insurance the benefits under | n Chara 10a 10b 10c 10d | Yes | No X X X | | structions: Amount | | |
| 9a b Par 10 a b c d d d f g | If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 2T 3D 3H If the plan provides welfare benefits, enter the applicable welfare fer t V Compliance Questions During the plan year: Was there a failure to transmit to the plan any participant contribu described in 29 CFR 2510.3-102? (See instructions and DOL's V Program) Were there any nonexempt transactions with any party-in-interest reported on line 10a.) Was the plan covered by a fidelity bond? Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty? Were any fees or commissions paid to any brokers, agents, or other carrier, insurance service, or other organization that provides som the plan? (See instructions.) Has the plan failed to provide any benefit when due under the plan Did the plan have any participant loans? (If "Yes," enter amount a | tions withi 'oluntary F ? (Do not fidelity bo her person he or all of n? s of year-e | n the time period Fiduciary Correction include transactions nd, that was caused the benefits under the benefits under | n Chara 10a 10b 10c 10d 10e | Yes | No X | | structions: Amount | | |
| 9a b Par 10 a b c d d d f g | If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 2T 3D 3H If the plan provides welfare benefits, enter the applicable welfare fer t V Compliance Questions During the plan year: Was there a failure to transmit to the plan any participant contribut described in 29 CFR 2510.3-102? (See instructions and DOL's V Program) Were there any nonexempt transactions with any party-in-interest reported on line 10a.) Was the plan covered by a fidelity bond? Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty? Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides som the plan failed to provide any benefit when due under the plan | tions withi 'oluntary F ? (Do not fidelity bo ner person ne or all of n? s of year-e (See instru | n the time period Fiduciary Correction include transactions nd, that was caused the benefits under the benefits under end.) | n Chara 10a 10b 10c 10d 10e 10f | Yes | No X X X X X X X | | structions: Amount | | |

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| Part | VI | Pension Funding Compliance | | | | | | |
|--|---|--|------------------|----------------------------|-----|----------|---------------|------|
| 11 | Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Scl (Form 5500) and line 11a below) | | | | | | Yes | No |
| 11a | Ent | er the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 | | 11a | | | | |
| 12 | | | | | | [| Yes | X No |
| a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and e granting the waiver | | | | | | | tter rul r | ing |
| lf | you d | completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line | 13. | | - | | | |
| b | Ente | r the minimum required contribution for this plan year | | 12b | | | | |
| С | Ente | r the amount contributed by the employer to the plan for this plan year | | 12c | | | | |
| d | | tract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the ative amount) | | 12d | | | | |
| е | Will | the minimum funding amount reported on line 12d be met by the funding deadline? | | | Yes | No | | N/A |
| Part | VII | Plan Terminations and Transfers of Assets | | | | | | |
| 13a | Has | a resolution to terminate the plan been adopted in any plan year? | | | Ye | s X | No | |
| | lf "Y | es," enter the amount of any plan assets that reverted to the employer this year | | 13a | | | | |
| b | Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC? | | | | | Yes 🔀 No | | |
| С | | luring this plan year, any assets or liabilities were transferred from this plan to another plan(s), ident ch assets or liabilities were transferred. (See instructions.) | tify the plan(s) | to | | | | |
| 1 | 3c(1 |) Name of plan(s): | EIN(s) | EIN(s) 13c(3) PN(s) | | | | |
| | | | | | | | | |