Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to Public Inspection

Part I		<u>t Identification Information</u>							
For calend	dar plan year 2018 or t	fiscal plan year beginning 01/01/2	2018	and ending 1	2/31/2018				
A This re	eturn/report is for:	X a single-employer plan	a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)						
D. T.		a one-participant plan	a foreign plan						
B This return/report is		the first return/report	the final return/report						
		an amended return/report	a short plan year retu	ırn/report (less than 12 m	nonths)				
C Check	box if filing under:	Form 5558	automatic extension		DFVC pro	gram			
		special extension (enter desc	• •						
Part II	Basic Plan Info	ormation—enter all requested in	formation						
1a Name of plan ROBERT ALLEN LAW PA 401 K PROFIT SHARING PLAN TRUST					1b Three- plan nu (PN)	umber			
						ve date of plan 01/01/2011			
		oyer, if for a single-employer plan)			2b Employer Identification Number				
		om, apt., suite no. and street, or P.0 ce, country, and ZIP or foreign pos		tructions)	(EIN) 65-0374038				
•	LLEN LAW PA	oo, oodiniy, and Zii oi foreign poo	ar oode (ii foreign, see inc	ardonono)	2c Sponsor's telephone number 305-372-3300				
					2d Busine	ss code (see instructions)			
1441 BRICK MIAMI, FL 3	(ELL AVE STE 1400				541110				
IVIIAIVII, I L 3	33131-3420								
3a Plan a	administrator's name a	and address X Same as Plan Spo	nsor.		3b Admini	strator's EIN			
		_			20. Astroint	-444- 4-1b			
					3C Admini	strator's telephone number			
		ne plan sponsor or the plan name h			4b EIN	65-0374038			
this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report. a Sponsor's name ROBERT N ALLEN JR PA					4d PN	001			
•	Name ROBERT N ALL				101 111				
5a Total number of participants at the beginning of the plan year					. 5a				
b Total number of participants at the end of the plan year				. 5b	16				
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)				· ·	. 5c	3			
d(1) Total number of active participants at the beginning of the plan year				5d(1)	15				
d(2) Total number of active participants at the end of the plan year				. 5d(2)	15				
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested			5e	5e 0					
Caution:	A penalty for the late	or incomplete filing of this retur	n/report will be assessed	d unless reasonable ca					
SB or Sch		other penalties set forth in the instru and signed by an enrolled actuary, a polete							
SIGN		d/valid electronic signature.	07/19/2019	TESSA AGUILAR					
HERE	Signature of plan	administrator	Date	Enter name of individ	lual signing as	plan administrator			
SIGN HERE									
	0'	over/plan sponsor	Date	Foton popular of in division	lual aigning on	al signing as employer or plan sponsor			

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	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)							Yes No		
	If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.							Ш		
С	If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No Not determined									
	If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year (See instruction)							nstructions.)		
Pa	rt III Financial Information									
7	Plan Assets and Liabilities		(a) Beginning o	of Year			(b) E	nd of Yea	•	
a	Total plan assets	7a	, ,	5541		4280				
b	Total plan liabilities	7b		0			0			
С	Net plan assets (subtract line 7b from line 7a)	7c		5541					280	
	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	ıt		(b) Total				
	Contributions received or receivable from:		(a) Amount			(5) 10141				
	(1) Employers	8a(1)		0						
	(2) Participants	8a(2)		0						
	(3) Others (including rollovers)	8a(3)		0						
b	Other income (loss)	8b		-329						
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c					-329			
	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	0							
е	Certain deemed and/or corrective distributions (see instructions)	8e		0						
f	Administrative service providers (salaries, fees, commissions)	8f		932						
g	Other expenses	8g		0						
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h				932			932	
i	Net income (loss) (subtract line 8h from line 8c)	8i						-1:	261	
j	Transfers to (from) the plan (see instructions)	8j	0							
Pai	Part IV Plan Characteristics									
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	les from the List of Plan	n Chara	acteris	tic Co	des in the ir	nstructions:		
Par	t V Compliance Questions									
10	During the plan year:				Yes	No		Amoun	<u> </u>	
	Was there a failure to transmit to the plan any participant contribu	tions withi	n the time period			1		Amoun		
	described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X				
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X				
С	C Was the plan covered by a fidelity bond?			10c		X				
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X				
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X				
f	f Has the plan failed to provide any benefit when due under the plan?					X				
g	· · · · · · · · · · · · · · · · · · ·					X				
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i						

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Part	VI Pension Funding Compliance							
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sch (Form 5500) and line 11a below)		В		Yes 🛚 No			
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a						
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?		•		Yes X No			
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)							
а	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver							
lf :	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.							
b	b Enter the minimum required contribution for this plan year							
С	Enter the amount contributed by the employer to the plan for this plan year	12c						
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d						
e Will the minimum funding amount reported on line 12d be met by the funding deadline?				No	N/A			
Part '	VII Plan Terminations and Transfers of Assets							
13a	Has a resolution to terminate the plan been adopted in any plan year?		X Yes No					
	If "Yes," enter the amount of any plan assets that reverted to the employer this year				(
b	b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?				Yes X No			
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)) to						
1	13c(1) Name of plan(s): 13c(2)			13c(3	3) PN(s)			