## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

## Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to Public Inspection

Part I	Annual Report	Identification Information							
For calend	dar plan year 2018 or f	iscal plan year beginning 01/01/2	2018	and ending 12	2/31/2018				
A This re	eturn/report is for:	a single-employer plan a multiple-employer plan (not multiemployer)  urn/report is for: a multiple-employer plan (not multiemployer)  list of participating employer information in a				-			
D :		a one-participant plan	a foreign plan						
<b>B</b> This return/report is		the first return/report	the final return/report						
		an amended return/report	a short plan year retu	urn/report (less than 12 m	onths)				
C Check	box if filing under:	Form 5558	automatic extension	1	DFVC program	m			
		special extension (enter descri	ription)						
Part II	Basic Plan Info	ormation—enter all requested in	formation						
1a Name of plan THE ANDREW ANSALDI CO. 401K PLAN					<b>1b</b> Three-digir plan numb (PN) ▶				
						ate of plan 01/01/1998			
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box)					2b Employer Identification Number				
		om, apt., suite no. and street, or P.C ce, country, and ZIP or foreign post		structions)	(EIN) 06-0244795				
THE ANDREW ANSALDI CO.					<b>2c</b> Sponsor's telephone number 860-649-5249				
					2d Business code (see instructions)				
186 BIDWE					236200				
MANCHES	TER, CT 06040								
20.01					2b Advasinistmeter's FIN				
3a Plan	administrator's name a	ınd address 🛚 Same as Plan Spoi	nsor.		<b>3b</b> Administrator's EIN				
				<b>3c</b> Administrator's telephone number					
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report.				4b EIN					
	isor's name	71301 3 Harrie, Eliv, the plan harre t	and the plan number nom	r the last return/report.	4d PN				
C Plan									
5a Total number of participants at the beginning of the plan year					5a	18			
<b>b</b> Total number of participants at the end of the plan year					5b	20			
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)				5c	12				
d(1) Total number of active participants at the beginning of the plan year					5d(1)	17			
d(2) Total number of active participants at the end of the plan year					5d(2)	19			
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested			5e	0					
Caution:	A penalty for the late	or incomplete filing of this return	n/report will be assesse	d unless reasonable car					
SB or Sch		ther penalties set forth in the instruction and signed by an enrolled actuary, and lete.							
SIGN HERE	Filed with authorized	d/valid electronic signature.	07/22/2019	AARON ANSALDI					
	Signature of plan	administrator	Date	Enter name of individ	of individual signing as plan administrator				
SIGN									
HERE	Signature of emplo	oyer/plan sponsor	Date	Enter name of individ	ual signing as em	ployer or plan sponsor			

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6a	6a Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)							X Yes	No No	
b	<b>b</b> Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA)							X Yes	: П No	
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)						🔟 '	ш		
С	<b>c</b> If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? ☐ Yes ☐ No ☐								ermined	
	If "Yes" is checked, enter the My PAA confirmation number from th	e PBGC p	remium filing for this pl	lan yea	r			(See instru	uctions.)	
Pa	rt III   Financial Information									
7	Plan Assets and Liabilities		(a) Beginning (	of Year			(b) Er	nd of Year		
a	Total plan assets	7a	, , , , , ,	42031	1		()	1067348		
	Total plan liabilities	7b		5593			751			
С	Net plan assets (subtract line 7b from line 7a)	7c	11:	36438		1066597				
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	ıt		(b) Total				
а	Contributions received or receivable from:		```				Ì			
	(1) Employers	8a(1)		6607						
	(2) Participants	8a(2)	3	34602	-					
	(3) Others (including rollovers)	8a(3)								
	Other income (loss)	8b	-7	84689		10.100				
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						-43480		
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		12445						
е	Certain deemed and/or corrective distributions (see instructions)	8e		744						
f	Administrative service providers (salaries, fees, commissions)	8f								
g	Other expenses	8g	1	13172						
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h				26361				
i	Net income (loss) (subtract line 8h from line 8c)	8i						-69841		
j	Transfers to (from) the plan (see instructions)	8j								
Pai	t IV Plan Characteristics									
9a	If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 3D 2T	feature co	des from the List of Pla	an Cha	racteri	stic Co	des in the ir	nstructions:		
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	les from the List of Plan	n Chara	acterist	tic Cod	les in the ins	structions:		
Par	t V Compliance Questions									
10	During the plan year:				Yes	No		Amount		
	Was there a failure to transmit to the plan any participant contribu	tions withi	n the time period					Amount		
	described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X				
b	<b>b</b> Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X				
С	C Was the plan covered by a fidelity bond?			10c	X			1140	000	
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X				
е	<b>e</b> Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e	X			9.	165	
f	f Has the plan failed to provide any benefit when due under the plan?			10f		Χ				
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g	X			ļ	546	
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X				
i				10i						

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Part	VI Pension Funding Compliance					
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sc (Form 5500) and line 11a below)		В	Yes 🛚 N	Ю	
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a				
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?		f	Yes 🛛 N	Ю	
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)					
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, ar granting the waiver	d enter t Day		of the letter ruling Year		
lf y	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.					
b	Enter the minimum required contribution for this plan year	12b				
С	Enter the amount contributed by the employer to the plan for this plan year	12c				
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)						
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A		
Part '	VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes X No			
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a				
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	) 		Yes X No		
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)	s) to				
13c(1) Name of plan(s): 13c(2				N(s) <b>13c(3)</b> PN(s)		