Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to **Public Inspection**

Part I	Annual Repor	t Identification Information	1					
For calend	lar plan year 2018 or	fiscal plan year beginning 01/01/	2018	and ending 12	2/31/2018			
A This re	turn/report is for:	X a single-employer plan	a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)					
B This return/report is		a one-participant plan	a foreign plan					
		the first return/report	the final return/report					
0		an amended return/report	a short plan year retu	rn/report (less than 12 mo	ionths)			
C Check	box if filing under:	Form 5558 special extension (enter desc	automatic extension	l	DFVC progra	am		
			' '					
Part II		ormation—enter all requested in	nformation					
1a Name of plan NUTECH ELECTRIC OF CNY INC 401 (K) PLAN					1b Three-dig plan numl (PN) ▶	ber 001		
					1c Effective date of plan 06/08/2007			
		loyer, if for a single-employer plan)	O Box)		2b Employer Identification Number			
Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) NUTECH ELECTRIC OF CNY, INC				(EIN) 16-1457202 2c Sponsor's telephone number				
	–				2d Business code (see instructions)			
767 TAFT A' SYRACUSE	, NY 13206-1040	767 TAF SYRACU	I AVE JSE, NY 13206-1040		111100			
3a Plan administrator's name and address ⊠ Same as Plan Sponsor.			3b Administrator's EIN					
					3c Administra	ator's telephone number		
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report.				4b EIN				
a Sponsor's name				·	4d PN			
C Plan N	Name							
5a Total number of participants at the beginning of the plan year				5a	7			
b Total number of participants at the end of the plan year				5b	7			
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)			5c	7				
d(1) Total number of active participants at the beginning of the plan year				5d(1)	6			
d(2) Total number of active participants at the end of the plan yeare Number of participants who terminated employment during the plan year with accrued benefits that were less			5d(2)	6				
than 100% vested			5e	0				
Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.								
SIGN HERE	Filed with authorize	d/valid electronic signature.	07/23/2019	KEITH LIEBMANN	_			
HERE	Signature of plan	administrator	Date	Enter name of individu	ual signing as pla	an administrator		
SIGN HERE								
	Signature of emp	loyer/plan sponsor	Date	Enter name of individu	ual signing as er	nployer or plan sponsor		

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b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (ICPA) where Yes in the Common waiver eligibility and conditions). Yes if you answered "No" to either line & or line &b, the plan cannot use Form 5500-SF and must instead use Form 5500. If I'you answered "No" to either line & or line &b, the plan cannot use Form 5500-SF and must instead use Form 5500. If you answered "No" to either line ∨ line &b, the plan cannot use Form 5500-SF and must instead use Form 5500. If I'you answered "No" to either line ∨ line &b, the plan cannot use Form 5500-SF and must instead use Form 5500. If you answered "No" to either line ∨ line &b, the plan year was a set of the plan year. If you answered "No" to either the My PAA confirmation number from the PBCC premium filing for this plan year. If you answered "No" to either the My PAA confirmation number from the PBCC premium filing for this plan year. If you answered "No" the My PAA confirmation number from the PBCC premium filing for this plan year. If you answered "No" the My PAA confirmation number from the PBCC premium filing for this plan year. If you answered "No" the My PAA confirmation number from the PBCC premium filing for this plan year. If you answered "No" the My PAA confirmation number from the PBCC premium filing for this plan year. If you answered "No" the My PAA confirmation number from the PBCC premium filing for this plan year. If you answered "No" the My PAA confirmation number from the PBCC premium filing for this plan year. If you answered "No" the My PAA confirmation number from the PBCC premium filing for this plan year. If you answered "No" the My PAA confirmation number from the PBCC premium filing for this plan year. If you answered "No" the No" the PBCC premium filing for this plan year. If you answered "No" the No" the PBCC premium filing for this plan filing for this plan year. If you answered "No" the No" the PBCC premium filing for this plan year. If you answered "No" th		Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)						X	Yes	No	
If you answerd "No" to either line & aor line &b, the plan cannot use Form \$500-\$F and must instead use Form \$500. If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)?	b								X	Yes	No
Part III Financial Information Financial Information		· · · · · · · · · · · · · · · · · · ·		•					⊔		
Part III Financial Information 7 Plan Assets and Liabilities 8 7a 168610 150896 1 Total plan inabilities 7b from line 7a) 7b 1 168610 150896 2 Note plan assets (subtract line 7b from line 7a) 7c 168610 150896 3 Income, Expenses, and Transfers for this Plan Year (a) Amount (b) Total a Contributions received or receivable from: (1) Employers 8a(1) 6215 (2) Participants 8a(2) 1423 (3) Others (including rollovers) 8a(3) 9b 145234 C Total income (load) 8a(3) 9b 145234 C Total income (load) line 8a(1), 8a(2), 8a(3), and 8b) 8c 15234 C Total income (load) line 8a(1), 8a(2), 8a(3), and 8b) 8c 15234 C Total income (load) line 8a(1), 8a(2), 8a(3), and 8b) 8c 15234 C Total income (load) line 8a(1), 8a(2), 8a(3), and 8b) 8c 15234 C Total income (load) line 8a(1), 8a(2), 8a(3), and 8b) 8c 15234 C Total income (load) line 8a(1), 8a(2), 8a(3), and 8b) 8c 15234 C Total income (load) line 8a(1), 8a(2), 8a(3), and 8b) 8c 15234 C Total income (load) line 8a(1), 8a(2), 8a(3), and 8b) 8c 15234 C Total income (load) line 8a(1), 8a(2), 8a(3), and 8b) 8c 15234 C Total income (load) line 8a(1), 8a(2), 8a(3), and 8b) 8c 15234 C Total income (load) line 8a(1), 8a(2), 8a(3), and 8b) 8c 15234 C Total income (load) line 8a(1), 8a(2), 8a(3), and 8b) 8c 15234 C Total income (load) line 8a(1), 8a(2), 8a(3), and 8b) 8c 15234 C Total income (load) line 8a(1), 8a(2), 8a(3), and 8b) 8c 15234 C Total income (load) line 8a(1), 8a(2), 8a(3), and 8b) 8c 15234 C Total income (load) line 8a(1), 8a(2), 8a(3), and 8b) 8c 15234 C Total income (load) line 8a(1), 8a(2), 8a(3), and 8b) 8c 15234 C Total income (load) line 8a(1), 8a(2), 8a(3), and 8b) 8c 15234 C Total income (load) line 8a(1), 8a(2), 8a(3), and 8b) 8c 15234 C Total income (load) line 8a(1), 8a(2), 8a(3), and 8b) 8c 15234 C Total income (load) line 8a(1), 8a(2), 8a(3), and 8b) 8c 15a(3) C Total income (load) line 8a(1), 8a(2), 8a(3), and 8b) 8c 15a(3) C Total income (load) line 8a(1), 8a(2), 8a(3), and 8b) 8c 15a(3) C Total income (load) line 8a(1), 8a(2), 8a(3), and 8b(3) C Total in	С									t detern	nined
7 Plan Assets and Liabilities									(See	instructi	ions.)
7 Plan Assets and Liabilities	Pa	rt III Financial Information									
a Total plan assets	7			(a) Beginning (of Year			(b) E	nd of Yea	r	
b Total plan liabilities	a		7a	` , , ,				` '			
8 Income, Expenses, and Transfers for this Plan Year a Contributions received or receivable from: (1) Employers (2) Participants. (3) Others (including rollovers). 8a(2) (3) Others (including rollovers). 8b 1423 (3) Others (including rollovers). 8b 1524 (C) Total income (add lines 8a(1), 8a(2), 8a(3), and 8b). 8c 7596 (d) Benefits paid (including direct rollovers and insurance premiums to provide benefits). 8c 8439 (e) Certain deemed and/or corrective distributions (see instructions). 8c 8d 8439 (e) Certain deemed and/or corrective distributions (see instructions). 8c 1679 (g) Other expenses. 8c 9c 1679 (g) Other expenses (add lines 8d, 8e, 8f, and 8g). 8c 10118 (i) Net income (loss) (subtract line 8h from line 8c). 8c 8d 10118 (i) Net income (loss) (subtract line 8h from line 8c). 8c 8d 10118 (i) Net income (loss) (subtract line 8h from line 8c). 8c 8d 10118 (i) Transfers to (from) the plan (see instructions). 8c 8d 10118 (i) Net income (loss) (subtract line 8h from line 8c). 8c 8d 1679 (g) If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 8c 2F 2G 2J 2K 3D 2K 3D 3D 3D 3D 3D 3D 3D 3											
8 Income, Expenses, and Transfers for this Plan Year a Contributions received or receivable from: (1) Employers	С	Net plan assets (subtract line 7b from line 7a)	7c	16	68610		150896				
a Contributions received or receivable from: (1) Employers (2) Participants. 8a(2) 1423 (3) Others (including rollovers). 8a(3) b Other income (loss). 8a(3) 5 Others (including rollovers). 8a(3) 8b -15234 C Total income (edd lines 8a(1), 8a(2), 8a(3), and 8b). 8c -7596 d Benefits paid (including direct rollovers and insurance premiums to provide benefits). 8d 8 8439 e Certain deemed and/or corrective distributions (see instructions) 8d 8 8439 e Certain deemed and/or corrective distributions (see instructions) 8f 1679 g Other expenses 8g 9 h Total expenses (add lines 8d, 8e, 8f, and 8g) 8h 10118 i Net income (loss) (subtract line 8h from line 8c) 8 i Net income (loss) (subtract line 8h from line 8c) 8 i Net income (loss) (subtract line 8h from line 8c) 8 if the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2e 2F 2G 2J 2K 3D b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: Part V Compliance Questions 10 During the plan year: a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program). b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a) d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by froud or dishonesty? d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty f Has the plan falled to provide any benefit when due under the plan? g Did the plan have any participant tonars? (If "Yes," enter amount as of year-end.)	8			(a) Amoun	ıt		(b) Total				
(2) Participants	а	Contributions received or receivable from:		, ,				Ì			
(3) Others (including rollovers)		(1) Employers	` '		6215						
b Other income (loss)					1423						
C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)		, , , , , , , , , , , , , , , , , , , ,									
d Benefits paid (including direct rollovers and insurance premiums to provide benefits). 8d 8439 e Certain deemed and/or corrective distributions (see instructions). 8d 1679 g Other expenses. g Other expenses. 8d 1679 g Other expenses. 8d 1679 g Other expenses. 8d 10118 i Net income (loss) (subtract line 8h from line 8c)		\ /			15234	_					
e Certain deemed and/or corrective distributions (see instructions)			8c						-/	596	
f Administrative service providers (salaries, fees, commissions)		. , .	8d		8439						
g Other expenses	е	Certain deemed and/or corrective distributions (see instructions)	8e								
h Total expenses (add lines 8d, 8e, 8f, and 8g)	f	Administrative service providers (salaries, fees, commissions)	8f		1679						
i Net income (loss) (subtract line 8h from line 8c)	g	Other expenses	8g								
Part IV Plan Characteristics	h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h				10118				
Part IV Plan Characteristics 9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2F 2G 2J 2K 3D b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: Part V Compliance Questions 10 During the plan year: a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.) C Was the plan covered by a fidelity bond? d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions). 10a X 10b X 10c X d Did the plan have any participant loans? (If "Yes," enter amount as of year-end.) 10a X 10b X 10c X 10c X 10d X	<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i					-17714			
If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E	j	Transfers to (from) the plan (see instructions)	8j								
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: Part V Compliance Questions	Pa	rt IV Plan Characteristics									
Part V Compliance Questions	9a		feature co	des from the List of Pla	an Cha	racteri	stic Co	odes in the i	nstruction	s:	
Part V Compliance Questions 10 During the plan year: a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.) c Was the plan covered by a fidelity bond? d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.) f Has the plan failed to provide any benefit when due under the plan? g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.) h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) 10h X	b		eature cod	les from the List of Pla	n Char	acteris	tic Cod	des in the in	structions		
10 During the plan year: a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program). b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.). c Was the plan covered by a fidelity bond? d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.). f Has the plan failed to provide any benefit when due under the plan? g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.). 10											
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	Par	t V Compliance Questions									
described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)		<u> </u>				Yes	No		Amoun	ıt	
Program)	а										
b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)					10a		X				
d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.) f Has the plan failed to provide any benefit when due under the plan? g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.) h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) i If 10h was answered "Yes," check the box if you either provided the required notice or one of the	b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions			10b		X				
by fraud or dishonesty?	C	C Was the plan covered by a fidelity bond?			10c		X				
carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)	C				10d		X				
g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)	e	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under			10e		X				
h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) 10h X i If 10h was answered "Yes," check the box if you either provided the required notice or one of the	f	f Has the plan failed to provide any benefit when due under the plan?					X				
2520.101-3.)	g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g		X				
	_ h	· · · · · · · · · · · · · · · · · · ·			10h		X				
	i				10i						

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Part	VI Pension Funding Compliance						
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sc (Form 5500) and line 11a below)		В	Yes 🛚 N	Ю		
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a					
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?		f	Yes 🛛 N	Ю		
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)						
a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter granting the waiver							
lf y	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.						
b	Enter the minimum required contribution for this plan year	12b					
С	Enter the amount contributed by the employer to the plan for this plan year	12c					
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d					
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A			
Part '	VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes X No				
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a					
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?) 		Yes X No			
C If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)							
13c(1) Name of plan(s): 13c(2				13c(3) PN(s)			