| Form 5500-SF   |                                      | Short Form Annual Return/Report of Small Empl<br>Benefit Plan                |   |  |  | OMB Nos. 1210-0110<br>1210-0089                    |  |  |  |  |
|--|--------------------------------------|--|---|--|--|--|--|--|--|--|
| Department of the Treasury<br>Internal Revenue Service   |                                      |  | This form is required to be filed under sections 104 and 4065 of the Employee F |  |  | 2018   |  |  |  |  |
| Department of Labor   Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of     Employee Benefits Security Administration   Revenue Code (the Code).  |                                      |  |   |  | Internal                                   | This Form is Open to                               |  |  |  |  |
| Pension Be   | enefit Guaranty Corporation          | Complete all entries in a  | accordance with the inst  | ructions to the Form 5   | 500-SF.                                    | Public Inspection                                  |  |  |  |  |
| Part I   |                                      |  |   |  |  |  |  |  |  |  |
| For calenda  | ar plan year 2018 or fis             | scal plan year beginning 01/01/2   | -   |  | 2/31/2018                                  |  |  |  |  |  |
| A This ret   | turn/report is for:                  | X a single-employer plan   | list of participating er  | a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.) |  |  |  |  |  |  |
| <b>B</b> This retu   | urn/report is                        | a one-participant plan   | a foreign plan  |  |  |  |  |  |  |  |
|  |                                      | the first return/report  | the final return/report   |  |  |  |  |  |  |  |
| _  |                                      | an amended return/report   | a short plan year return/report (less than 12 months)                           |  |  |  |  |  |  |  |
| C Check I  | box if filing under:                 | X Form 5558  | automatic extension   |  | DFVC program                               |  |  |  |  |  |
|  |                                      | special extension (enter descr   | 1 ,   |  |  |  |  |  |  |  |
| Part II  | Basic Plan Info                      | rmation—enter all requested inf  | formation   |  | _  |  |  |  |  |  |
| <b>1a</b> Name   | •                                    |  |   |  | 1b Three                                   |  |  |  |  |  |
| PHYSICIAN  | S REHAB SOLUTION                     | S 401(K) PLAN  |   |  | plan<br>(PN)                               | number 001   |  |  |  |  |
|  |                                      |  |   |  | . ,  | tive date of plan                                  |  |  |  |  |
|  |                                      |  |   |  |  | 01/01/2012   |  |  |  |  |
| Mailing  | g address (include roor              | yer, if for a single-employer plan)<br>m, apt., suite no. and street, or P.C |   | (  | -  | Employer Identification Number<br>(EIN) 45-3138736 |  |  |  |  |
|  | S REHAB SOLUTIONS                    | e, country, and ZIP or foreign post<br>S, L.L.C.                             | al code (if foreign, see inst   | tructions)   | 2c Sponsor's telephone number 502-641-1710 |  |  |  |  |  |
|  |                                      |  |   |  | <b>2d</b> Business code (see instructions) |  |  |  |  |  |
| 12123 SHEL<br>LOUISVILLE   | BYVILLE RD., STE 10                  | 00   |   |  | 541600                                     |  |  |  |  |  |
|  | ,                                    |  |   |  |  |  |  |  |  |  |
| 3a Plan a  | dministrator's name ar               | nd address 🗙 Same as Plan Spor   | nsor.   |  | <b>3b</b> Administrator's EIN              |  |  |  |  |  |
|  |                                      |  |   |  | <b>3c</b> Administrator's telephone number |  |  |  |  |  |
|  |                                      |  |   |  |  |  |  |  |  |  |
| 4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for   |                                      |  |   |  | 4b EIN                                     |  |  |  |  |  |
| •  | an, enter the plan spol<br>or's name | nsor's name, EIN, the plan name a  | and the plan number from t  | the last return/report.  | <b>4d</b> PN                               |  |  |  |  |  |
| C Plan N   |                                      |  |   |  |  |  |  |  |  |  |
| 5a Total number of participants at the beginning of the plan year  |                                      |  |   |  | 5a   | 59   |  |  |  |  |
| _  |                                      | at the end of the plan year  |   |  | 5b   | 73   |  |  |  |  |
| C Numb   | er of participants with              | account balances as of the end of  | the plan year (only defined   | d contribution plans   | 5c   | 27   |  |  |  |  |
| •  | ,                                    | rticipants at the beginning of the pl  |   |  | 5d(1)                                      | 50   |  |  |  |  |
| d(2) Total number of active participants at the end of the plan year   |                                      |  |   |  | 5d(2)                                      | 64   |  |  |  |  |
| e Number of participants who terminated employment during the plan year with accrued benefits that were less   |                                      |  |   |  | 5e   | 0  |  |  |  |  |
| than<br>Caution: A   | 100% vested                          | or incomplete filing of this return  | n/report will be assessed   | t unless reasonable ca   |  | lished   |  |  |  |  |
| Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.<br>Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and |                                      |  |   |  |  |  |  |  |  |  |
| belief, it is t  | Filed with authorized                | olete.<br>/valid electronic signature.                                       | 07/25/2019  | ROBERT P. CLEMENTS   |  |  |  |  |  |  |
| HERE   | Signature of plan a                  | dministrator   | Date  | Enter name of individ  | lual signing                               | as plan administrator                              |  |  |  |  |
| SIGN   | Filed with authorized                | /valid electronic signature.   | 07/25/2019  | ROBERT P. CLEMEN   |  |  |  |  |  |  |
| HERE   | Signature of emplo                   | yer/plan sponsor   | Date  | Enter name of individ  | lual signing a                             | as employer or plan sponsor                        |  |  |  |  |
| For Paperwork Reduction Act Notice, see the Instructions for Form 5500-SF. Form 5500-SF (2018)   |                                      |  |   |  |  |  |  |  |  |  |

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|                                | Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)   |              |   |                              |  |  |  |  |  |  |
|--------------------------------|---|--------------|---|------------------------------|--|--|--|--|--|--|
| b                              | Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA)<br>under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.) |              |   |                              |  |  |  |  |  |  |
|                                | If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.   |              |   |                              |  |  |  |  |  |  |
| С                              | If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No Not determined   |              |   |                              |  |  |  |  |  |  |
|                                | If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year (See instructions.)   |              |   |                              |  |  |  |  |  |  |
| _                              |   | -            |   |                              |  |  |  |  |  |  |
| Part III Financial Information |   |              |   |                              |  |  |  |  |  |  |
| 7                              | Plan Assets and Liabilities   |              | (a) Beginning of Year                   | (b) End of Year              |  |  |  |  |  |  |
| -                              | Total plan assets   | 7a           | 185608                                  | 244277                       |  |  |  |  |  |  |
| b                              | Total plan liabilities  | 7b           |   |                              |  |  |  |  |  |  |
| С                              | Net plan assets (subtract line 7b from line 7a)   | 7c           | 185608                                  | 244277                       |  |  |  |  |  |  |
| 8                              | Income, Expenses, and Transfers for this Plan Year  |              | (a) Amount                              | (b) Total                    |  |  |  |  |  |  |
| а                              | Contributions received or receivable from:<br>(1) Employers   | 8a(1)        |   |                              |  |  |  |  |  |  |
|                                | (2) Participants  | 8a(2)        | 64527                                   |                              |  |  |  |  |  |  |
|                                | (3) Others (including rollovers)  | 8a(3)        | 30876                                   |                              |  |  |  |  |  |  |
| b                              | Other income (loss)   | 8b           | -22496                                  |                              |  |  |  |  |  |  |
| С                              | Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)  | 8c           |   | 72907                        |  |  |  |  |  |  |
| d                              | Benefits paid (including direct rollovers and insurance premiums to provide benefits)   | 8d           | 8633                                    |                              |  |  |  |  |  |  |
| е                              | Certain deemed and/or corrective distributions (see instructions)   | 8e           |   |                              |  |  |  |  |  |  |
| f                              | Administrative service providers (salaries, fees, commissions)  | 8f           | 5605                                    |                              |  |  |  |  |  |  |
| g                              | Other expenses  | 8g           |   |                              |  |  |  |  |  |  |
| h                              | Total expenses (add lines 8d, 8e, 8f, and 8g)   | 8h           |   | 14238                        |  |  |  |  |  |  |
| i                              | Net income (loss) (subtract line 8h from line 8c)   | 8i           |   | 58669                        |  |  |  |  |  |  |
| j                              | Transfers to (from) the plan (see instructions)   | 8j           |   |                              |  |  |  |  |  |  |
| Pa                             | t IV Plan Characteristics   | · · ·        |   |                              |  |  |  |  |  |  |
| 9a                             | If the plan provides pension benefits, enter the applicable pension $3D$ 2E 2G 2J 2K 2F 2T  | feature code | es from the List of Plan Characteristic | c Codes in the instructions: |  |  |  |  |  |  |
| b                              | If the plan provides welfare benefits, enter the applicable welfare for   | eature code  | s from the List of Plan Characteristic  | Codes in the instructions:   |  |  |  |  |  |  |

| Part | V   | Compliance Questions  |     |   |   |        |
|------|---|---|-----|---|---|--------|
| 10   | During the plan year:   |   |     |   |   | Amount |
| а    | Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) <b>10a</b> |   |     |   |   |        |
| b    | Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)   10b   |   |     |   | Х |        |
| С    | Was   | the plan covered by a fidelity bond?  | 10c | X |   | 200000 |
| d    |   | ne plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused aud or dishonesty?  | 10d |   | х |        |
| е    | carrie  | e any fees or commissions paid to any brokers, agents, or other persons by an insurance<br>er, insurance service, or other organization that provides some or all of the benefits under<br>lan? (See instructions.) | 10e |   | X |        |
| f    | Has t   | the plan failed to provide any benefit when due under the plan?   | 10f |   | Х |        |
| g    | Did tl  | ne plan have any participant loans? (If "Yes," enter amount as of year-end.)  | 10g |   | Х |        |
| h    |   | is an individual account plan, was there a blackout period? (See instructions and 29 CFR  | 10h |   | х |        |
| i    |   | n was answered "Yes," check the box if you either provided the required notice or one of the otions to providing the notice applied under 29 CFR 2520.101-3   | 10i |   |   |        |
|      |   |   |     |   |   |        |

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| Part | VI   | Pension Funding Compliance  |                  |         |            |     |                     |      |  |
|------|--|---|------------------|---------|------------|-----|---------------------|------|--|
| 11   |  | nis a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and<br>rm 5500) and line 11a below)   |                  |         | B          |     | Yes                 | X No |  |
| 11a  | Ent  | er the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40   |                  | 11a     |            |     |                     |      |  |
| 12   | ERI  | his a defined contribution plan subject to the minimum funding requirements of section 412 of the C<br>SA?<br>"Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) |                  | n 302 o | f<br>      | [   | Yes                 | X No |  |
| а    | I fa waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver |   |                  |         |            |     |                     |      |  |
| lf   | you o  | completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line  | 13.              |         | -          |     |                     |      |  |
| b    | Ente   | r the minimum required contribution for this plan year  |                  | 12b     |            |     |                     |      |  |
| С    | Ente   | r the amount contributed by the employer to the plan for this plan year   |                  | 12c     |            |     |                     |      |  |
| d    |  | tract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the ative amount)   |                  | 12d     |            |     |                     |      |  |
| e    | Will   | the minimum funding amount reported on line 12d be met by the funding deadline?   |                  |         | Yes        | No  |                     | N/A  |  |
| Part | VII  | Plan Terminations and Transfers of Assets   |                  |         |            |     |                     |      |  |
| 13a  | Has  | a resolution to terminate the plan been adopted in any plan year?   |                  |         | Ye         | s X | No                  |      |  |
|      | If "Yes," enter the amount of any plan assets that reverted to the employer this year  |   |                  | 13a     |            |     |                     |      |  |
| b    | Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?                                    |   |                  |         | 🗌 Yes 🛛 No |     |                     | 0    |  |
| С    |  | luring this plan year, any assets or liabilities were transferred from this plan to another plan(s), ident<br>ch assets or liabilities were transferred. (See instructions.)                  | tify the plan(s) | to      |            |     |                     |      |  |
| 1    | I3c(1) Name of plan(s):   13c(2) E   |   |                  |         |            | 130 | <b>13c(3)</b> PN(s) |      |  |
|      |  |   |                  |         |            |     |                     |      |  |