## Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee **Benefit Plan** 

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to **Public Inspection** 

Part I	Annual Repor	t Identification Information	1							
For cale	ndar plan year 2018 or	fiscal plan year beginning 01/01/2	2018		and ending 1	2/31/2018				
<b>A</b> This	return/report is for:	X a single-employer plan	(Filers checking this box must attach a accordance with the form instructions.)							
<b>B</b> This return/report is		a one-participant plan	a one-participant plan a foreign plan							
<b>5</b> 111151	otani/roport io	the first return/report	the fi	nal return/report						
•	an amended return/report a short plan year return/report (less than 12					months)				
C Chec	k box if filing under:	Form 5558	<u> </u>	matic extension		DFVC program				
Dord II	Decis Dien Inf	special extension (enter descr								
Part II		ormation—enter all requested in	ntormation			1b Thurs dist	1			
	ne of plan	NING & BEHABILITATION LLC 401/	(K) DLAN			<b>1b</b> Three-digit plan number				
DAT PAK	K CENTER FOR NURS	SING & REHABILITATION,LLC 401(	(K) PLAN			(PN) ▶	001			
						1c Effective date	of plan			
						01/01/2009				
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box)						<b>2b</b> Employer Identification Number (EIN) 20-3917285				
		ce, country, and ZIP or foreign post ING & REHABILITATION,LLC	tal code (it	foreign, see instru	uctions)	2c Sponsor's telephone number 718-239-6500				
						2d Business code (see instructions)				
801 CO-O	P CITY BOULEVARD					,				
BRONX, N	IY 10475					621111				
<b>3a</b> Plan administrator's name and address ⊠ Same as Plan Sponsor.						<b>3b</b> Administrator's EIN				
						3c Administrator's telephone number				
		ne plan sponsor or the plan name ha onsor's name, EIN, the plan name a				4b EIN				
	nsor's name	5.156. 5 Hallie, 21. 1, 11.6 Plan Hallie 5	aa a	a	o last rotally ropolit	4d PN				
C Plar	n Name									
<b>F</b>						Fo	446			
5a Total number of participants at the beginning of the plan year						5a 5b	118			
<b>b</b> Total number of participants at the end of the plan year						118				
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)						<b>5c</b> 58				
d(1) Total number of active participants at the beginning of the plan year					5d(1) 109					
d(2) Total number of active participants at the end of the plan year					5d(2)	110				
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested					5e	0				
Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.										
Under po	enalties of perjury and o	other penalties set forth in the instruction and signed by an enrolled actuary, a	ıctions, I d	eclare that I have	examined this return/re	port, including, if appl				
SIGN		d/valid electronic signature.	0.	7/27/2019	JEFFREY GOLDTEIN	I				
HERE	Signature of plan	administrator		Date	Enter name of individual signing as plan administrator					
SIGN		d/valid electronic signature.		07/27/2019 JEFFREY GOLDTEIN						

Date

**HERE** 

Enter name of individual signing as employer or plan sponsor

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	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)							. X Yes	No	
-	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)							. X Yes	No	
	If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.									
С	<b>c</b> If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)?									
	If "Yes" is checked, enter the My PAA confirmation number from the	e PBGC p	remium filing for this pl	lan yea	r	(See instructions.)			ctions.)	
Pai	t III Financial Information									
7	Plan Assets and Liabilities		(a) Beginning of	of Year (b)				(b) End of Year		
а	Total plan assets	7a	63	36397				753460		
b	Total plan liabilities	7b		0		0				
c	Net plan assets (subtract line 7b from line 7a)	7с	60	36397		753460				
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	t			(b) Total			
а	Contributions received or receivable from: (1) Employers	8a(1)		0						
	(2) Participants	8a(2)	20	02883						
	(3) Others (including rollovers)	8a(3)		0	)					
b	Other income (loss)	8b	-	-12261						
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c					190622			
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	62884							
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	8e		0						
f	Administrative service providers (salaries, fees, commissions)	8f	,	10675						
g	Other expenses	8g								
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h				73559				
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i					117063			
<u>j</u>	Transfers to (from) the plan (see instructions)	8j		0						
Par	Part IV Plan Characteristics									
9a	9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:  2E 2G 2J 3D									
b	b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:									
Par	t V Compliance Questions									
10	During the plan year:				Yes	No		Amount		
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction					X				
b	Program)  Were there any nonexempt transactions with any party-in-interest? (Do not include transactions			10a		X				
	reported on line 10a.)			10b 10c	X			8000	00	
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X				
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e	Х			92	55	
f						X				
g	Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)				X			426	82	
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)					X				
i	i If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3			10i						
		-						·		

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Part	VI Pension Funding Compliance						
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)						
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a					
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?		f	Yes 🛛 N	Ю		
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)						
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, ar granting the waiver.	d enter t Day		of the letter ruling Year			
lf y	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.						
b	Enter the minimum required contribution for this plan year	12b					
С	Enter the amount contributed by the employer to the plan for this plan year	12c					
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d					
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A			
Part VII Plan Terminations and Transfers of Assets							
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes X No				
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a					
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	) 		Yes X No			
<b>c</b> If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)							
13c(1) Name of plan(s): 13c(2)				I(s) 13c(3) PN(s)			