## Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee **Benefit Plan** 

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to Public Inspection

	Annual Report								
For calend	dar plan year 2018 or fi	iscal plan year beginning 01/01	/2019	and ending 07	7/25/2019				
A This re	eturn/report is for:	X a single-employer plan	a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)						
		a one-participant plan	a foreign plan						
<b>B</b> This re	turn/report is	the first return/report	the final return/report						
		an amended return/report	X a short plan year returr	n/report (less than 12 mo	onths)				
C Check	box if filing under:	Form 5558	automatic extension						
D	Deele Blee terr	special extension (enter des	' '						
Part II	Basic Plan Info	ormation—enter all requested in	nformation			1			
1a Name of plan SERVCO INSURANCE SERVICES WASHINGTON LLC 401(K) RETIREMENT SAVINGS PLAN				I	<b>1b</b> Three-digingler plan number (PN) ▶				
					1c Effective date of plan 01/01/2010				
		oyer, if for a single-employer plan)			<b>2b</b> Employer Identification Number (EIN) 27-3175453				
City o	or town, state or provinc	ce, country, and ZIP or foreign pos	,	uctions)	(EIN) 27-3175453  2c Sponsor's telephone number				
SERVCO IN	NSURANCE SERVICES	S WASHINGTON LLC			206-216-4830				
					2d Business	code (see instructions)			
800 FIFTH A SEATTLE, V	AVE, SUITE 2400 WA 98104				524210				
3a Plan	administrator's name a	nd address 🛛 Same as Plan Spo	onsor.		<b>3b</b> Administra	ator's EIN			
				-	3c Administrator's telephone number				
					7 Administra	ator o telepriorie ridiriber			
4 If the	name and/or FIN of th	a plan sponsor or the plan name is	has channed since the last re	sturn/report filed for	4h FIN				
this p	olan, enter the plan spo	e plan sponsor or the plan name lonsor's name, EIN, the plan name			4b EIN				
this p <b>a</b> Spon	olan, enter the plan spo sor's name				4b EIN 4d PN				
this p	olan, enter the plan spo sor's name								
this p a Spon C Plan	olan, enter the plan spo sor's name Name		and the plan number from the	ne last return/report.		67			
this p a Spon c Plan  5a Total	olan, enter the plan spo sor's name Name I number of participants	onsor's name, EIN, the plan name	and the plan number from the	ne last return/report.	4d PN	67 0			
this passes a Spon c Plan 5a Total b Total c Num	olan, enter the plan spo sor's name Name  I number of participants I number of participants ber of participants with	onsor's name, EIN, the plan name	and the plan number from the	ne last return/report.	<b>4d</b> PN <b>5a</b>				
this p a Spon c Plan  5a Total b Total c Num comp	plan, enter the plan spo sor's name Name I number of participants I number of participants ber of participants with plete this item)	s at the beginning of the plan year sat the end of the plan year account balances as of the end of	and the plan number from the	contribution plans	4d PN  5a  5b	0			
this page 3 Spon c Plan c Plan 5 Total c Num compa d(1) To d(2) To	plan, enter the plan sponsor's name Name I number of participants I number of participants ber of participants with plete this item) btal number of active pa	s at the beginning of the plan year account balances as of the end of the plan year account balances as of the end of the plan year articipants at the beginning of the participants at the end of the plan year.	of the plan number from the plan year (only defined plan year	contribution plans	4d PN  5a  5b  5c	0			
this page a Spon c Plan  5a Total b Total c Num compa d(1) To d(2) To e Num than	plan, enter the plan sponsor's name Name I number of participants I number of participants ber of participants with plete this item) pital number of active participants which plete of participants which plete this item)	s at the beginning of the plan year at the end of the plan year account balances as of the end of articipants at the beginning of the participants at the end of the plan year terminated employment during the	of the plan year (only defined plan year	contribution plans	5a 5b 5c 5d(1) 5d(2) 5e	0 0 6 0			
this page 3 Spon C Plan  5a Total b Total C Num compared (1) To d(2) To e Num than Caution:	plan, enter the plan sponsor's name Name I number of participants I number of participants ber of participants with plete this item) pital number of active participants who pital number of active participants who pital number of active participants who pital number of participants who	s at the beginning of the plan year account balances as of the end of the plan year account balances as of the end of articipants at the beginning of the participants at the end of the plan year terminated employment during the or incomplete filing of this retu	of the plan year (only defined plan year	contribution plans nefits that were less	5a   5b   5c   5d(1)   5e   se is established	0 0 6 0			
this page 3 Spon C Plan C Plan C Plan C Number Per SB or Sch	plan, enter the plan sponsor's name Name I number of participants I number of participants ber of participants with plete this item) tal number of active participants who plated number of active participants who plated number of active participants who plated name of participants who plated name of participants who plated name of perjury and of	as at the beginning of the plan year account balances as of the end of the plan year account balances as of the end of the plan year articipants at the beginning of the participants at the end of the plan year terminated employment during the or incomplete filing of this returned signed by an enrolled actuary,	of the plan year (only defined plan year	contribution plans nefits that were less unless reasonable cau examined this return/report.	5a 5b 5c 5d(1) 5d(2) 5e use is established port, including, if	0 0 6 0 0 ed. applicable, a Schedule			
this page 3 Spon C Plan C Plan C Plan C Number Per SB or Sch	plan, enter the plan sponsor's name Name I number of participants I number of participants ber of participants with plete this item) Interest of participants with plete this item) Interest of participants who plant of participants plant of part	as at the beginning of the plan year account balances as of the end of the plan year account balances as of the end of the plan year articipants at the beginning of the participants at the end of the plan year terminated employment during the or incomplete filing of this returned signed by an enrolled actuary,	of the plan year (only defined plan year	contribution plans nefits that were less unless reasonable cau examined this return/report.	5a 5b 5c 5d(1) 5d(2) 5e use is established port, including, if	0 0 6 0 0 ed. applicable, a Schedule			
this page 3 Spon c Plan	plan, enter the plan sponsor's name Name I number of participants I number of participants ber of participants with plete this item) Interest of participants with plete this item) Interest of participants who plant of participants plant of part	as at the beginning of the plan year account balances as of the end of the plan year account balances as of the end of articipants at the beginning of the plan year tricipants at the end of the plan year tricipant	of the plan year (only defined plan year	contribution plans  nefits that were less  unless reasonable cau examined this return/report	5a 5b 5c 5d(1) 5d(2) 5e use is establishment, including, if	0 0 6 0 0 ed. applicable, a Schedule tof my knowledge and			
this paragraphs a Spon c Plan c Plan b Total c Num comparagraphs d(1) To d(2) To e Num than Caution: Under per SB or Scribelief, it is SIGN	plan, enter the plan sponsor's name Name I number of participants I number of participants ber of participants with plete this item)	as at the beginning of the plan year account balances as of the end of the plan year account balances as of the end of articipants at the beginning of the plan year tricipants at the end of the plan year tricipant	of the plan year (only defined plan year	contribution plans  nefits that were less  unless reasonable cau examined this return/report	5a 5b 5c 5d(1) 5d(2) 5e use is establishment, including, if	0 0 6 0 0 ed. applicable, a Schedule tof my knowledge and			
this paragraphs a Spon c Plan c Plan b Total c Num comparagraphs d(1) To d(2) To e Num than Caution: Under per SB or Scribelief, it is SIGN HERE	plan, enter the plan sponsor's name Name I number of participants I number of participants ber of participants with plete this item)	s at the beginning of the plan year account balances as of the end of the plan year account balances as of the end of the plan year articipants at the beginning of the participants at the end of the plan year tricipants at the end of the plan year articipants at the end of the plan year.	of the plan year (only defined plan year	contribution plans  nefits that were less  unless reasonable cau examined this return/report  JAMES LANNI Enter name of individu	5a 5b 5c 5d(1) 5d(2) 5e use is establishment, including, if the port, including, if the port, and to the best usel signing as plants.	0 0 6 0 0 ed. applicable, a Schedule tof my knowledge and			

Form 5500-SF (2018) Page **2** 

_	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)  Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)								
	If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.  C If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No						Yes No Not determined		
Pa	rt III   Financial Information								
7	Plan Assets and Liabilities		(a) Beginning	of Year			(b) End of Year		
a	Total plan assets	7a	463	24926			0		
<u>b</u>	Total plan liabilities	7b							
<u>C</u>	Net plan assets (subtract line 7b from line 7a)	7c	46	24926		0			
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	ıt		(b) Total			
a	Contributions received or receivable from:  (1) Employers	8a(1)		0	_				
	(2) Participants	8a(2)		0					
	(3) Others (including rollovers)	8a(3)			_				
<u>b</u>	Other income (loss)	8b	4:	36260					
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c					436260		
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	50-	5040814					
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	8e							
f	Administrative service providers (salaries, fees, commissions)	8f	1	20372					
g	Other expenses	8g							
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)						5061186		
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i					-4624926		
<u>j</u>	Transfers to (from) the plan (see instructions)	8j							
Pai	t IV Plan Characteristics								
9a	If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 2R 3D	feature co	des from the List of Pl	an Cha	racteris	stic Co	des in the instructions:		
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	les from the List of Pla	n Chara	cterist	ic Cod	les in the instructions:		
Par	t V Compliance Questions								
10	During the plan year:				Yes	No	Amount		
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X			
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X			
С	C Was the plan covered by a fidelity bond?			10c	X		3000000		
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X			
е	• Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X			
f	f Has the plan failed to provide any benefit when due under the plan?			10f		X			
g				10g	X		0		
	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X			
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i					

Form 5500-SF (2018)	Page <b>3-</b>

Part	VI Pension Funding Compliance				
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Scho (Form 5500) and line 11a below)			Yes	X No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a			
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?			Yes	X No
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)				
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and granting the waiver	d enter t Day		of the letter rulir _ Year	ng 
lf :	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.				
b	Enter the minimum required contribution for this plan year	12b			
C Enter the amount contributed by the employer to the plan for this plan year					
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)					
e Will the minimum funding amount reported on line 12d be met by the funding deadline?				No N	I/A
Part	VII Plan Terminations and Transfers of Assets				
13a	Has a resolution to terminate the plan been adopted in any plan year?		X Yes No		
If "Yes," enter the amount of any plan assets that reverted to the employer this year					(
b	<b>b</b> Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			Yes No	)
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)	to			
13c(1) Name of plan(s): 13c(2)				<b>13c(3)</b> PN(	(s)