Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to **Public Inspection**

Part I Annual Report Identification Information									
For calend	lar plan year 2018 or	fiscal plan year beginning 01/01	/2018	and ending 12	2/31/2018				
A This re	a single-employer plan a multiple-employer plan (not multiemployer plan list of participating employer information i								
D This are	over leave and the	a one-participant plan	a foreign plan						
D Inis ret	urn/report is	the first return/report	the final return/report						
0		an amended return/report	a short plan year retu	rn/report (less than 12 mo	onths)				
C Check	box if filing under:	X Form 5558	automatic extension	L	DFVC prograi	m			
	T	special extension (enter des							
Part II		ormation—enter all requested i	nformation						
1a Name of plan WISEWAY INC. 401K PROFIT SHARING PLAN					1b Three-digit plan numb (PN) ▶	er 001			
	1c Effective date of plan 04/01/1995								
		loyer, if for a single-employer plan) om, apt., suite no. and street, or P.				dentification Number			
City o	r town, state or provin	nce, country, and ZIP or foreign pos		tructions)	(EIN) 61-0729595 2c Sponsor's telephone number				
WISEWAY INC.				-	859-292-1321				
7103 TURFWAY RD STE 100					2d Business code (see instructions)				
SUITE 100 FLORENCE	, KY 41042				423700				
3a Plan a	administrator's name	and address X Same as Plan Spo	onsor.		3b Administra	tor's EIN			
				 -					
					SC Administra	tor's telephone number			
4 If the	name and/or FIN of th	he plan sponsor or the plan name l	has changed since the last	return/report filed for	4b EIN				
this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report.									
a Sponsor's name C Plan Name									
5a Total number of participants at the beginning of the plan year			5a	104					
b Total number of participants at the end of the plan year		F	5b	94					
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)				5c	25				
d(1) Total number of active participants at the beginning of the plan year				5d(1)					
d(2) Total number of active participants at the end of the plan yeare Number of participants who terminated employment during the plan year with accrued benefits that were less		5d(2)							
than	100% vested				5e	0			
		e or incomplete filing of this retu							
SB or Scho		other penalties set forth in the instru and signed by an enrolled actuary, nplete.							
SIGN	Filed with authorized/valid electronic signature. 07/31/2019 PHYLLIS YEAGE		PHYLLIS YEAGER	R					
HERE	Signature of plan	administrator	Date	Enter name of individu	ual signing as pla	n administrator			
SIGN HERE	<u> </u>								
	Signature of emp	loyer/plan sponsor	Date	Enter name of individu	ndividual signing as employer or plan sponsor				

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	ere all of the plan's assets during the plan year invested in eligible assets? (See instructions.)			. X Yes	s No				
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)					. X Ye	s No		
	If you answered "No" to either line 6a or line 6b, the plan cann								
С	If the plan is a defined benefit plan, is it covered under the PBGC in		-			L		<u> </u>	ermined
	If "Yes" is checked, enter the My PAA confirmation number from the	ie PBGC p	remium filing for this pl	an yea	r			(See instr	uctions.)
Pai	t III Financial Information								
7	Plan Assets and Liabilities		(a) Beginning o	of Year			(b) En	d of Year	
а	Total plan assets	7a	185	54324				1756283	
b	Total plan liabilities	7b		0		0			
С	Net plan assets (subtract line 7b from line 7a)	7c	185	854324		175628		1756283	
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	t		(b) Total			
а	Contributions received or receivable from: (1) Employers	8a(1)		0					
	(2) Participants	8a(2)	4	49910					
	(3) Others (including rollovers)	8a(3)	,	10000					
b	Other income (loss)	8b	-11	13528					
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c				-53618			
	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	. 8d	4	40135					
е	Certain deemed and/or corrective distributions (see instructions)	8e		4080					
f	Administrative service providers (salaries, fees, commissions)	8f		208	_				
g	Other expenses	8g		0	_				
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						44423	
	Net income (loss) (subtract line 8h from line 8c)							-98041	
<u>j</u>	Transfers to (from) the plan (see instructions)	8j							
Par	t IV Plan Characteristics								
9a	9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2F 2G 2J 2K 2T 3D								
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	les from the List of Pla	n Chara	acterist	tic Cod	des in the ins	tructions:	
Par	t V Compliance Questions								
10	During the plan year:				Yes	No		Amount	
а	Was there a failure to transmit to the plan any participant contribu described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)	oluntary F	iduciary Correction	10a		X			
b	Were there any nonexempt transactions with any party-in-interest reported on line 10a.)	t? (Do not	include transactions	10b		X			
С	C Was the plan covered by a fidelity bond?			10c	X			1000	000
d	Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty?	•	,	10d		X		1000	
е	Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides som the plan? (See instructions.)	ne or all of	the benefits under	10e	X			4	636
f	Has the plan failed to provide any benefit when due under the pla	ın?		10f		Χ			
g	Did the plan have any participant loans? (If "Yes," enter amount a	s of year-	end.)	10g	Χ			29	851
h	If this is an individual account plan, was there a blackout period? 2520.101-3.)	•		10h		X			
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i					

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Part	VI Pension Funding Compliance			
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete (Form 5500) and line 11a below)			Yes No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a		
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or se ERISA?		f	Yes X No
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)			
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, granting the waiver	and enter Da		of the letter ruling Year
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.			
b	Enter the minimum required contribution for this plan year	12b		
С	Enter the amount contributed by the employer to the plan for this plan year	12c		
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d		
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A
Part '	VII Plan Terminations and Transfers of Assets			
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	s X No
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a		
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under control of the PBGC?	he		Yes X No
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan which assets or liabilities were transferred. (See instructions.)	n(s) to		
1	3c(1) Name of plan(s):	(2) EIN(s)		13c(3) PN(s)