Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to Public Inspection

Part I	Annual Report	: Identification Information	1				
For calend	dar plan year 2018 or f	iscal plan year beginning 01/01/	2018	and ending 1	2/31/2018		
A This re	eturn/report is for:	X a single-employer plan		plan (not multiemployer) (employer information in ac		=	
		a one-participant plan	a foreign plan	. ,		,	
B This ret	turn/report is	the first return/report	the final return/repor				
		an amended return/report	a short plan year retu	urn/report (less than 12 m	ionths)		
C Check	box if filing under:	X Form 5558	automatic extension	1	DFVC pro	gram	
	T D DI	special extension (enter desc	. ,				
Part II		ormation—enter all requested in	formation		T		
1a Name	•	ROUP 401K PLAN & TRUST			1b Three-orplan nu (PN)	ımber	
					1c Effectiv	ve date of plan 01/01/1998	
		oyer, if for a single-employer plan)	2.5.		2b Employ	er Identification Number	
		om, apt., suite no. and street, or P.0 ce, country, and ZIP or foreign pos		structions)	(EIN)	36-4026260	
-	ONAL FACILITIES GF			·	2C Sponso	or's telephone number 312-376-3881	
					2d Busines	ss code (see instructions)	
1101 WEST SUITE 102	LAKE ST					541990	
CHICAGO,	IL 60607						
3a Plan a	administrator's name a	nd address X Same as Plan Spo	nsor.		3b Adminis	strator's EIN	
					3c Adminis	strator's telephone number	
4 If the	name and/or EIN of th	e plan sponsor or the plan name h	as changed since the last	return/report filed for	4b EIN		
this p	olan, enter the plan spo	onsor's name, EIN, the plan name			4-1 -51		
a Spons c Plan I	sor's name				4d PN		
• Halli	vaine						
5a Total number of participants at the beginning of the plan year				. 5a	5a 7		
	b Total number of participants at the end of the plan year			. 5b	7		
		account balances as of the end of			. 5c	7	
d(1) To	tal number of active pa	articipants at the beginning of the p	lan year		5d(1)	4	
d(2) Total number of active participants at the end of the plan year			5d(2)	4			
than	100% vested	terminated employment during th			. 5e	0	
		or incomplete filing of this retur					
SB or Sch	edule MB completed a true, correct, and com	ther penalties set forth in the instru and signed by an enrolled actuary,	as well as the electronic v	rersion of this return/repor	t, and to the b	est of my knowledge and	
SIGN		d/valid electronic signature.	08/14/2019	PHILIP COUTURE			
HERE	Signature of plan a	administrator	Date	Enter name of individ	dividual signing as plan administrator		
SIGN							
HERE	Signature of emplo	over/plan sponsor	Date	Enter name of individ	lual signing as	employer or plan sponsor	

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6a b							X Yes ☐ No X Yes ☐ No			
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)							Y res No		
С	If the plan is a defined benefit plan, is it covered under the PBGC in							Not determined		
	If "Yes" is checked, enter the My PAA confirmation number from th					_		See instructions.)		
Pa	rt III Financial Information									
7	Plan Assets and Liabilities		(a) Beginning	of Year			(b) End of	Year		
а	Total plan assets	7a		18291			2	031599		
b	Total plan liabilities	7b								
С	Net plan assets (subtract line 7b from line 7a)	7c	21	2118291		2031599				
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	(a) Amount			(b) Total			
a	Contributions received or receivable from: (1) Employers	8a(1)		12929						
	(2) Participants	8a(2)		73650						
	(3) Others (including rollovers)	8a(3)								
b	Other income (loss)	8b	-1:	54455						
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						-67876		
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d			_					
<u>e</u>	Certain deemed and/or corrective distributions (see instructions) \dots	8e								
f	Administrative service providers (salaries, fees, commissions)	8f		18816						
<u>g</u>	Other expenses	8g								
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)							18816		
<u>+</u>	Net income (loss) (subtract line 8h from line 8c)	8i						-86692		
	Transfers to (from) the plan (see instructions)	8j								
	Part IV Plan Characteristics									
9a	If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2F 2G 2J 2K 3D 2A 3B									
b	b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:									
Par	t V Compliance Questions									
10	During the plan year:				Yes	No	Am	ount		
а	Was there a failure to transmit to the plan any participant contribu described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)	oluntary F	iduciary Correction	10a		X				
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X				
	Was the plan covered by a fidelity bond?			10c	X			1000000		
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		Х		100000		
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e	X			1142		
f	f Has the plan failed to provide any benefit when due under the plan?			10f		X				
9	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)					X				
h	If this is an individual account plan, was there a blackout period? 2520.101-3.)	•		10h	L	X				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10	•		10i						

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Part	VI Pension Funding Compliance			
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete (Form 5500) and line 11a below)			Yes No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a		
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or se ERISA?		f	Yes X No
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)			
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, granting the waiver	and enter Da		of the letter ruling Year
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.			
b	Enter the minimum required contribution for this plan year	12b		
С	Enter the amount contributed by the employer to the plan for this plan year	12c		
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d		
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A
Part '	VII Plan Terminations and Transfers of Assets			
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	s X No
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a		
b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?				Yes X No
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan which assets or liabilities were transferred. (See instructions.)	n(s) to		
1	3c(1) Name of plan(s):	(2) EIN(s)		13c(3) PN(s)