Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to Public Inspection

Part I	Annual Report	Identification Information	<u>n</u>									
For calend	lar plan year 2018 or f	iscal plan year beginning 01/01/2	/2018		and ending 12	2/31/2018						
A This re	turn/report is for:	X a single-employer plan	a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)									
	a one-participant plan a foreign plan						sociation with the form mendencies.)					
B This ret	urn/report is	the first return/report	X the fi	inal return/report								
	an amended return/report a short plan year return/report (less than							2 months)				
C Check	box if filing under:	X Form 5558	auto	omatic extension	DFVC program							
		special extension (enter descri	cription)									
Part II	Basic Plan Info	ormation—enter all requested in	nformation	1								
1a Name of plan ROBERT FULOP MD PC 401(K) PLAN						1b Three plan n (PN)	umber	001				
						1c Effective date of plan 01/01/1998						
Mailing	g address (include roc	oyer, if for a single-employer plan) om, apt., suite no. and street, or P.C	O. Box)			2b Employer Identification Number (EIN) 13-3935659						
-		ce, country, and ZIP or foreign post	stal code (i	f foreign, see instru	uctions)	2c Sponsor's telephone number						
ROBERT FU	JLOP MD PC					516-487-7600						
P.O. BOX 314 JERICHO, NY 11753					2d Business code (see instructions) 621111							
3a Plan a	administrator's name a	nd address X Same as Plan Spor	nnsor			3b Administrator's EIN						
Ju Flair a	aministrator 3 name a	nd address M came as i lan opol	511301.			, turminatator a Erit						
						3c Admin	istrator's t	elephone number				
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report.					4b EIN							
	sor's name	, , ,	•		·	4d PN						
C Plan Name												
5a Total	number of participants	s at the beginning of the plan year				5a		10				
b Total	number of participants	s at the end of the plan year				5b		0				
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)					5c		0					
d(1) Total number of active participants at the beginning of the plan year					5d(1)		10					
d(2) Total number of active participants at the end of the plan year					5d(2) 0							
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested					5e		0					
		or incomplete filing of this return										
Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.												
SIGN HERE	Filed with authorized	d/valid electronic signature.	0	8/22/2019	ROBERT FULOP							
	Signature of plan	administrator		Date	Enter name of individual signing as plan administrator							
SIGN												
HERE	Signature of emplo	oyer/plan sponsor	ı	Date	Enter name of individ	ual signing a	s employe	r or plan sponsor				

Form 5500-SF (2018) Page **2**

	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)							Yes No		
С	C If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No Not determine If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year									
Pa	rt III Financial Information	1								
7	Plan Assets and Liabilities		(a) Beginning	of Year			(b) End of Year	(b) End of Year		
<u>a</u>	Total plan assets	7a	14	1416873			0			
b	Total plan liabilities	7b								
<u> </u>	Net plan assets (subtract line 7b from line 7a)	7c	14	1416873			0			
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	(a) Amount			(b) Total			
<u>а</u>	Contributions received or receivable from: (1) Employers	8a(1)		9288						
	(2) Participants	8a(2)		0						
	(3) Others (including rollovers)	8a(3)		0						
<u>b</u>	Other income (loss)	8b		74164						
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c					83452			
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	15	1500325						
е	Certain deemed and/or corrective distributions (see instructions)	8e		0						
f	Administrative service providers (salaries, fees, commissions)	8f		0						
g	Other expenses	8g		0						
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					1500325			
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i					-1416873			
<u>j</u>	Transfers to (from) the plan (see instructions)	nsfers to (from) the plan (see instructions)								
Pai	Part IV Plan Characteristics									
9a	If the plan provides pension benefits, enter the applicable pension 2E $$ 2F $$ 2G $$ 2J $$ 3D	feature co	odes from the List of Pl	an Cha	racteri	stic Co	odes in the instructions	:		
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	des from the List of Pla	n Chara	acteris	tic Cod	des in the instructions:			
Par	t V Compliance Questions									
10	During the plan year:				Yes	No	Amount			
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)					X				
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		Х				
С	C Was the plan covered by a fidelity bond?			10c	X			150000		
d				10d		Х				
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.).			10e		Х				
f	f Has the plan failed to provide any benefit when due under the plan?					X				
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)					X				
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X				
i	i If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3			10i						

Form 5500-SF (2018)	Page 3-

Part	VI Pension Funding Compliance					
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Scho (Form 5500) and line 11a below)			Yes	X No	
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a				
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?		f Yes 🛚 No			
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)					
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and granting the waiver	d enter t Day	<u> </u>			
lf :	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.					
b Enter the minimum required contribution for this plan year						
С	Enter the amount contributed by the employer to the plan for this plan year	12c				
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)						
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N	I/A	
Part	VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?		X Yes No			
If "Yes," enter the amount of any plan assets that reverted to the employer this year				C		
b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?				X Yes No		
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)	to				
1	13c(1) Name of plan(s): 13c(2)	EIN(s)) 13c(3) PN(s)			