## **Form 5500-SF**

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

## Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to Public Inspection

Part I	Annual Report	i Identification Information							
For calend	ar plan year 2018 or f	iscal plan year beginning 01/01/2	2018	and ending 1:	2/31/2018				
A This return/report is for:    a single-employer plan									
		a one-participant plan	a foreign plan	p.o,ooa.o.					
<b>B</b> This ret	urn/report is	the first return/report	the final return/report						
		an amended return/report	a short plan year retu	urn/report (less than 12 m	ionths)				
C Check	box if filing under:	X Form 5558	automatic extension		DFVC prog	ram			
D 1 II	Desir Blee let	special extension (enter desc	. ,						
Part II		ormation—enter all requested in	formation		1				
1a Name NORTHWES	of plan ST CHEVROLET, LLC	C 401(K) PLAN			1b Three-di plan nun (PN) ▶	_			
					1c Effective	e date of plan 02/01/2016			
		oyer, if for a single-employer plan) om, apt., suite no. and street, or P.0	D. Box)		<b>2b</b> Employe (EIN)	r Identification Number 47-3563103			
City or		ce, country, and ZIP or foreign post		structions)	2c Sponsor	's telephone number			
						360-400-2438 s code (see instructions)			
35108 92ND MCKENNA,	AVE S P O BOX 114	14				441110			
WICKLINIA,	WA 90330								
3a Plan a	administrator's name a	and address Same as Plan Spo	nsor.		<b>3b</b> Administ	rator's EIN 81-5140646			
NORTHEAS	T RETIREMENT SER	RVICES, LLC 12 GILL S WOBURN	ST N, MA 01801-1729			crator's telephone number 781-983-5059			
		ne plan sponsor or the plan name honsor's name, EIN, the plan name			4b EIN				
	sor's name	onsor s name, Env, the plan name of	and the plan number nom	the last return/report.	4d PN				
C Plan N	Name								
<b>5a</b> Total	number of participants	s at the beginning of the plan year.			. 5a	25			
		s at the end of the plan year			. 5b	24			
		account balances as of the end of			5c	11			
<b>d(1)</b> Tot	al number of active pa	articipants at the beginning of the p	lan year		5d(1)	23			
		articipants at the end of the plan ye			5d(2)	23			
than	100% vested	o terminated employment during the			5e	3			
Under pen SB or Sche	alties of perjury and o	or incomplete filing of this retur ther penalties set forth in the instru and signed by an enrolled actuary, a nolete.	ctions, I declare that I hav	e examined this return/re	port, including,	if applicable, a Schedule			
SIGN	Filed with authorized	d/valid electronic signature.	08/23/2019	CHRISTOPHER HUL	SE				
HERE	Signature of plan	administrator	Date	Enter name of individ	lual signing as p	olan administrator			
SIGN									
HERE	Signature of empl	over/nlan snonsor	Date	Enter name of individ	lual signing as e	amployer or plan sponsor			

Form 5500-SF (2018) Page **2** 

c If the plan is a defined benefit plan, is at overed under the PBGC insurance program (see ERISA section 4021)?		Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)  Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)  If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.					X Yes	No No		
7	С						_			
a Total plan assets	Pai	rt III Financial Information	_							
D Total plan liabilities	7	Plan Assets and Liabilities		(a) Beginning (	of Year			(b) End	of Year	
C Net plan assets (subtract line 7b from line 7a)	a	Total plan assets	7a	25	254403		295848			
8 income. Expenses, and Transfers for this Plan Year a Contributions received or receivable from: (1) Employers	b	Total plan liabilities	7b		0		0			
a Contributions received or receivable from: (1) Employers (2) Participants	С	Net plan assets (subtract line 7b from line 7a)	7c	25	:54403		295848		295848	
(1) Employers 8a(1) 0 (2) Participants 8a(2) 38720 (2) Participants 8a(2) 38720 (3) Others (including rollovers). 8a(3) 31015 (3) Other income (loss) (lines 8a(1), 8a(2), 8a(3), and 8b)	8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	t		(b) Total		Γotal	
(3) Other s(including rollovers)	a		8a(1)		0					
b Other income (loss)		(2) Participants	8a(2)	;	38720					
C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)		(3) Others (including rollovers)	8a(3)	;	31015	_				
d Benefits paid (including direct rollovers and insurance premiums to provide benefits)	b	Other income (loss)	8b	-1	20771					
to provide benefits)			8c						48964	
f Administrative service providers (salaries, fees, commissions)	d	. ` `	8d		5811					
g Other expenses	<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	8e			_				
h Total expenses (add lines 8d, 8e, 8f, and 8g)	f	Administrative service providers (salaries, fees, commissions)								
i Net income (loss) (subtract line 8h from line 8c)	<del></del>	'			0	_	7540			
j Transfers to (from) the plan (see instructions)	<u> </u>	. ,								
Part IV Plan Characteristics  9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:  2E 2F 2G 2J 2K 2T 3B 3D  b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:  Part V Compliance Questions  10 During the plan year:  a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	<del>-</del>								41445	
9a		, , , , ,	8j		0					
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:  Part V Compliance Questions  10 During the plan year:  a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)  b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)  C Was the plan covered by a fidelity bond?  d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?  e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)  f Has the plan failed to provide any benefit when due under the plan?  g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)  10					01		0	1 1 1 1		
Part V Compliance Questions  10 During the plan year: Yes No Amount  a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) 10a X  b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.) 10b X  c Was the plan covered by a fidelity bond? 10c X 30000  d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? 10d X  e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions) 10e X  f Has the plan failed to provide any benefit when due under the plan? 10f X  g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.) 10g X  h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) 10h X										
10 During the plan year:  a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program).  b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.).  c Was the plan covered by a fidelity bond?	b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	les from the List of Pla	n Chara	acterist	tic Cod	des in the instr	uctions:	
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	Par	t V Compliance Questions								
described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)		• •				Yes	No		Amount	
reported on line 10a.)	а	described in 29 CFR 2510.3-102? (See instructions and DOL's V	oluntary F	iduciary Correction	10a		X			
d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?  • Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.).  • Has the plan failed to provide any benefit when due under the plan?  • Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)  • If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)  • If 10h was answered "Yes," check the box if you either provided the required notice or one of the	b		•		10b		X			
d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	С	C Was the plan covered by a fidelity bond?		10c X		X			3000	0
carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)	d	Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty?	fidelity bo	nd, that was caused	10d		X			
g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.) 10g X  h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) 10h X  i If 10h was answered "Yes," check the box if you either provided the required notice or one of the	е	carrier, insurance service, or other organization that provides some	ne or all of	the benefits under	10e		Х			
h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	f	f Has the plan failed to provide any benefit when due under the plan?			10f		X			
2520.101-3.)  If 10h was answered "Yes," check the box if you either provided the required notice or one of the	<u> </u>				10g		Х			
· · · · · · · · · · · · · · · · · · ·	h	2520.101-3.)	` 		10h		X			
	i	·	•		10i					

Form 5500-SF (2018)	Page <b>3-</b> 1

Part	VI Pension Funding Compliance			
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete (Form 5500) and line 11a below)			Yes No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a		
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or se ERISA?		f	Yes X No
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)			
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, granting the waiver	and enter Da		of the letter ruling Year
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.			
b	Enter the minimum required contribution for this plan year	12b		
С	Enter the amount contributed by the employer to the plan for this plan year	12c		
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d		
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A
Part '	VII Plan Terminations and Transfers of Assets			
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	s X No
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a		
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under control of the PBGC?	he		Yes X No
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan which assets or liabilities were transferred. (See instructions.)	n(s) to		
1	<b>3c(1)</b> Name of plan(s):	(2) EIN(s)		<b>13c(3)</b> PN(s)