Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to **Public Inspection**

Part I	Annual Report	t Identification Information				
For calend	ar plan year 2018 or t	fiscal plan year beginning 01/01/201	8	and ending 1	2/31/2018	
A This re	turn/report is for:	X a single-employer plan	a multiple-employer pl	lan (not multiemployer) nployer information in a		
		a one-participant plan	a foreign plan			
D Inis reti	urn/report is		the final return/report			
C 21 1			-	n/report (less than 12 m	_	
C Check	box if filing under:	X Form 5558 special extension (enter descript	automatic extension		DFVC program	1
Part II	Pasis Dlan Infe	ormation—enter all requested inform	·			
_	1	Simation—enter all requested information	mation		1b Three-digit	
1a Name	OCIATES, INC. 401(F	() PLAN			plan numbe	er
DITIT & ACC	OOIA120, INO. 401(I	Y) I LAIV			(PN) ▶	001
					1c Effective da	•
30 Diam					†	01/01/2009
Mailing	g address (include roo	oyer, if for a single-employer plan) om, apt., suite no. and street, or P.O. E ice, country, and ZIP or foreign postal o	,	ructions)	' '	lentification Number 91-2161958
-	OCIATES, INC.	ce, country, and ZIF or foreign postart	code (ii foreign, see inst	iuctions)		elephone number 5-486-8909
					2d Business co	ode (see instructions)
4026 217TH BOTHELL, V	STREET SOUTHEA	ST				236200
DOTTILLE, V	V/ (0002)					
3a Plan a	dministrator's name a	and address X Same as Plan Sponso	or.		3b Administrate	or's EIN
					20. A dustinistant	- w'a dalambana mumaban
					3C Administrati	or's telephone number
		ne plan sponsor or the plan name has one onsor's name, EIN, the plan name and			4b EIN	
	or's name	shoot o hame, and, the plan hame and	tillo piali riambol riom t	ino laot rotaminoporti	4d PN	
C Plan N	lame					
5a Total	number of participant	s at the beginning of the plan year			5a	21
_		s at the end of the plan year			5b	15
C Numb	er of participants with	account balances as of the end of the	e plan year (only defined	d contribution plans	5c	15
	,	articipants at the beginning of the plan			5d(1)	3
d(2) Tot	al number of active p	articipants at the end of the plan year.			5d(2)	2
		o terminated employment during the p	-		5e	0
		or incomplete filing of this return/re			use is establishe	d.
SB or Sche	edule MB completed a	other penalties set forth in the instruction and signed by an enrolled actuary, as well as the control of the c				
	true, correct, and con	nplete. d/valid electronic signature.	09/04/2019	ELIZABETH ROBER		
SIGN HERE	Signature of plan		Date	Enter name of individ		administrator
SIGN		d/valid electronic signature.	09/04/2019	ELIZABETH ROBER		
HERE	0:		 -			

Date

Enter name of individual signing as employer or plan sponsor

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If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use F C If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)?	Yes No Not determined
If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year	
Part III Financial Information	
7 Plan Assets and Liabilities (a) Beginning of Year	(b) End of Year
a Total plan assets	1407133
b Total plan liabilities	
C Net plan assets (subtract line 7b from line 7a)	1407133
8 Income, Expenses, and Transfers for this Plan Year (a) Amount	(b) Total
a Contributions received or receivable from: (1) Employers	
(2) Participants	
(3) Others (including rollovers)	
b Other income (loss)	
C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	135476
d Benefits paid (including direct rollovers and insurance premiums to provide benefits)	
e Certain deemed and/or corrective distributions (see instructions) 8e	
f Administrative service providers (salaries, fees, commissions) 8f 8930	
g Other expenses	
h Total expenses (add lines 8d, 8e, 8f, and 8g)	204617
i Net income (loss) (subtract line 8h from line 8c)	-69141
j Transfers to (from) the plan (see instructions)	
Part IV Plan Characteristics	
9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic 2A 2E 2F 2H 2J 2K 2R 3D	ic Codes in the instructions:
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic	Codes in the instructions:
Part V Compliance Questions	
10 During the plan year:	No Amount
Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	X
b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	Х
C Was the plan covered by a fidelity bond?	265000
d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	х
Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)	х
f Has the plan failed to provide any benefit when due under the plan?	X
109	X
2020.101 0.)	X
i If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	

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_					
Part	VI Pension Funding Compliance				
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sch (Form 5500) and line 11a below)		В		Yes X No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a			
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?	n 302 o	f		Yes X No
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)				
a	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and granting the waiver			of the lette Year _	er ruling
lf	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.				
b	Enter the minimum required contribution for this plan year	12b			
С	Enter the amount contributed by the employer to the plan for this plan year	12c			
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d			
<u>e</u>	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No	N/A
Part	VII Plan Terminations and Transfers of Assets				
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	, X N	lo
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a			
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			X Yes	No
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)) to			
1	3c(1) Name of plan(s): 13c(2)	EIN(s)		13c(3	3) PN(s)
BKR C	ONSTRUCTION SERVICES, INC. 401K PLAN 81-1203733			001	

Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6057(b) and 6058(a) of

the Internal Revenue Code (the Code). ► Complete all entries in accordance with the instructions to the Form 5500-SF.

2018

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public Inspection

	Annual Report	t Identification Information	1			
or	calendar plan year 2018 or fi	scal plan year beginning	01/01/2018	and ending	12/31/2019	
	This return/report is for:	a single-employer plan	a multiple-employer pla a list of participating en	n (not multiemployer) nployer information in) (Filers checking this accordance with the	s box must attach form instructions.)
_		a one-participant plan	a foreign plan			
В	This return/report is:	the first return/report	the final return/report			
		an amended return/report	a short plan year return	/report (less than 12	months)	
C	Check box if filing under:	x Form 5558	automatic extension		DFVC pr	ogram
		special extension (enter desc	cription)			
P.	Basic Plan Inf	ormation — enter all requested	information	<u> </u>		
1a	Name of plan				1b Three-digit plan numbe	
	BKR & Associates,	Inc. 401(k) Plan			(PN) ►	001
	,				1c Effective da 01/01/20	•
2a	Mailing Address (include to	loyer, if for a single-employer plan) oom, apt., suite no. and street, or P	(O. Bax)		, , ,	dentification Number -2161958
	City or town, state or provi	nce, country, and ZIP or foreign po Inc.	stal code (if foreign, see instri	ictions)		elephone number 86-8909
						ode (see instructions)
	4026 217th Street	Southeast			236200	ode (see instituctions)
	US Bothell WA 98021				3b Administrat	
за	Plan administrators name	and address 🗶 Same as Plan S	ponsor			tor's telephone number
4	this plan, enter the plan sp	the plan sponsor or the plan name consor's name, EIN, the plan name	has changed since the last re and the plan number from the	tum/report filed for e last retum/report.	4b EIN	
a	Sponsor's name Plan Name					
_					5a	21
		ts at the beginning of the plan year			5a	15
C	Number of participants wit	ts at the end of the plan year h account balances as of the end o	of the plan year (only defined	contribution plans	5D	
	complete this item)	144 \$ 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	2022	\$\$\$40\$\$\$0061446\$\$\$BBBBBBBBBBBBBBBBBBBB	5d(1)	15 3
		earticipants at the beginning of the plan was			5d(1)	2
a	• •	earticipants at the end of the plan you to terminated employment during the		efits that were		<u> </u>
е	less than 100% vested	***************************************	***************************************	***************************************	5e	0
		te or incomplete filing of this ret				
S	nder penalties of perjury and B or Schedule MB completed elief, it is true, correct, and co	l other penalties set forth in the inst d and signed by an enrolled actuary omplete.	ructions, I declare that I have y, as well as the electronic ve	examined this return rsion of this return/rep	/report, including, if a port, and to the best of	applicable, a Schedule of my knowledge and
ray Sir	Elialeth	RaleA	9-4-19	Elizabeth	Roberts	
	Signature of plap ac	dministrator	Date	Enter name of indivi	dual signing as plan	administrator
ij.	Elybeth	Kales	9-4-19	Elizabeth	Roberts	
	Signature of omploy	vortalen enoneer	Data	Enter name of indivi	dual eigning ac amal	over or plan enoneer

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6a	Were all of the plan's assets during the plan year invested in eligible	assets? (See instructions.)	•••••	•••••	•••••	•••••	•••••	x Yes	□No
b	Are you claiming a waiver of the annual examination and report of ar under 29 CFR 2520.104-46? (See instructions on waiver eligibility ar	nd condition	ons.)	•••••	•••••	**********		•••••	XYes	□No
	If you answered "No" to either line 6a or line 6b, the plan cannot					_		_	_	
С	If the plan is a defined benefit plan, is it covered under the PBGC ins	surance pr	ogram (see ERISA sectior	า 402	1)?		Yes	∐ No	Not de	termined
	If "Yes" is checked, enter the My PAA confirmation number from the	PBGC pre	emium filing for this year						(See instruc	tions.)
Pa	art III Financial Information									
7	Plan Assets and Liabilities		(a) Beginning of	f Yea	r			(b) End	of Year	
а	Total plan assets	7a	1,66	53,0	59				1,407,	133
b	Total plan liabilities	7b								
С	Net plan assets (subtract line 7b from line 7a)	7c	1,66	53,0	59				1,407,	133
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount					(b) 1	Γotal	
а	Contributions received or receivable from:	90/4\	7	79,5	20					
	(1) Employers	8a(1)		51,7						
	(2) Participants	8a(2)	<u> </u>	, . , ,	0					
b	(3) Others (including rollovers)	8a(3) 8b		4,1						
c	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c		-,-					135,	476
d	Benefits paid (including direct rollovers and insurance premiums								133,	170
	to provide benefits)	8d	19	95,6	87					
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	8e								
<u>f</u>	Administrative service providers (salaries, fees, commissions)	8f		8,9	30					
g	Other expenses	8g			_					
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							204,	
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i				_			(69,1	41)
	Transfers to (from) the plan (see instructions)	8j	(186	,78	5)					
	art IV Plan Characteristics									
9a	If the plan provides pension benefits, enter the applicable pension fe	ature code	es from the List of Plan Ch	naract	eristic	c Code	s in the	instructi	ions:	
-	2A 2E 2F 2H 2J 2K 2R 3D									
b	If the plan provides welfare benefits, enter the applicable welfare fea	ture code:	s from the List of Plan Cha	racte	ristic	Codes	in the i	nstructio	ns:	
Pa	art V Compliance Questions									
<u>10</u>	During the plan year:				Yes	No	N/A		Amount	
а	, ,, ,									
	described in 29 CFR 2510.3-102? (See instructions and DOL's Vol			40-		x				
b	Program) Were there any nonexempt transactions with any party-in-interest?			10a		^				
	reported on line 10a.)			10b		x				
С	Was the plan covered by a fidelity bond?	•••••	•••••	10c	х				26	55,000
C	Did the plan have a loss, whether or not reimbursed by the plan's f by fraud or dishonesty?			10d		х				
е	Were any fees or commissions paid to any brokers, agents, or other carrier, insurance service, or other organization that provides some the plan? (See instructions.)	e or all of t	the benefits under	10e		x				
f	Has the plan failed to provide any benefit when due under the plan	1?	•••••	10f		х				
<u>g</u>	Did the plan have any participant loans? (If "Yes," enter amount as	of year e	nd.)	10g		х				
h	If this is an individual account plan, was there a blackout period? (\$2520.101-3.)			10h		x				
i	If 10h was answered "Yes," check the box if you either provided th exceptions to providing the notice applied under 29 CFR 2520.101	e required	I notice or one of the	10i						

Corm I	5500-SF 201	0	

Part VI **Pension Funding Compliance** 11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB Yes X No (Form 5500 and line 11a below) •••••• 11a Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of Yes X No (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13. **b** Enter the minimum required contribution for this plan year. 12b 12c C Enter the amount contributed by the employer to the plan for the plan year Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a 12d negative amount) Will the minimum funding amount reported on line 12d be met by the funding deadline? Yes No N/A **Part VII Plan Terminations and Transfers of Assets** 13a Has a resolution to terminate the plan been adopted in any plan year? Yes X No If "Yes," enter the amount of any plan assets that reverted to the employer this year 13a Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the X Yes No control of the PBGC? If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.) 13c(1) Name of plan(s): 13c(2) EIN(s) 13c(3) PN(s) BKR CONSTRUCTION SERVICES, INC. 401K PLAN 81-1203733 001

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