Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to **Public Inspection**

Part I	Annual Repor	t Identification Information							
For calend	ar plan year 2018 or	fiscal plan year beginning 01/01/20	18	and ending 1	2/31/2018				
A This ret	turn/report is for:	X a single-employer plan	a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)						
		a one-participant plan	a foreign plan						
D This retu	urn/report is	the first return/report	the final return/report						
C Charle	havit tiliaa wadan	an amended return/report		ırn/report (less than 12 months)					
C Check	box if filing under:	X Form 5558 special extension (enter descrip	automatic extension	sion DFVC program					
Part II	Racic Plan Inf	ormation—enter all requested info							
1a Name	l.	Dimation—enter all requested into	imation		1b Three-digit				
	oi pian ROPRACTIC CLINIC	: PS 401K PLAN			plan numbe	er			
DOTEL CIT	NOT NACTIC CLINIC	, 1 3, 40 IKT LAN			(PN) ▶	001			
					1c Effective date of plan				
					01/01/2006				
		oyer, if for a single-employer plan) om, apt., suite no. and street, or P.O.	Pov)		2b Employer Identification Number				
City or	town, state or provin	ice, country, and ZIP or foreign postal		structions)	(EIN) 91-1949634 2c Sponsor's telephone number				
DOYLE CHII	ROPRACTIC CLINIC	, PS			425-485-7507				
16923 96TH	AVENUE NE				2d Business code (see instructions)				
BOTHELL, V					621310				
3a Plan a	dministrator's name a	and address X Same as Plan Spons	sor.		3b Administrate	or's EIN			
					3c Administrate	or's telephone number			
A Kills					Also Since				
this pl	an, enter the plan sp	ne plan sponsor or the plan name has onsor's name, EIN, the plan name an			4b EIN				
a Spons C Plan N	or's name				4d PN				
O Hairi	ame								
5a Total number of participants at the beginning of the plan year			. 5a	6					
b Total number of participants at the end of the plan year			5b 5						
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)			5c	1					
d(1) Total number of active participants at the beginning of the plan year					5d(1) 0				
d(2) Total number of active participants at the end of the plan year					5d(2)				
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested					5e	0			
		or incomplete filing of this return/							
SB or Sche		other penalties set forth in the instruct and signed by an enrolled actuary, as							
SIGN		d/valid electronic signature.	09/19/2019	DAVID ARTHUR					
HERE	Signature of plan	-	Date	Enter name of individ	er name of individual signing as plan administrator				
SIGN	Filed with authorize	d/valid electronic signature.	09/19/2019	DAVID ARTHUR					
HERE	0:			F / / / / / / / / / / / / / / / / / / /					

Date

Enter name of individual signing as employer or plan sponsor

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	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)						X Yes	No		
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility	and condit	tions.)					× Yes	No	
•	If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500. If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No Not determined									
C	If "Yes" is checked, enter the My PAA confirmation number from the					_		. (See instruc		
		ет воо р	remain ming for this p	ian yea	'			. (Occ manac	J. (10113.)	
	rt III Financial Information				ı					
7	Plan Assets and Liabilities		(a) Beginning ((b) End of Year			
<u>a</u>	Total plan assets	7a		8694			7535			
	Total plan liabilities	7b		0004			7505			
	Net plan assets (subtract line 7b from line 7a)	7c		8694		7535				
8	Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from:		(a) Amoun	ıt		(b) Total				
а	(1) Employers	8a(1)								
	(2) Participants	8a(2)								
	(3) Others (including rollovers)	8a(3)								
b	Other income (loss)	8b		80						
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c					80			
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	. 8d		1118						
e	Certain deemed and/or corrective distributions (see instructions) \dots	emed and/or corrective distributions (see instructions) 8e								
f	Administrative service providers (salaries, fees, commissions)	rative service providers (salaries, fees, commissions) 8f 121		121						
g	Other expenses	8g								
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)					1239				
_ <u>i</u> _	Net income (loss) (subtract line 8h from line 8c)	8i					-1159			
<u>j</u>	Transfers to (from) the plan (see instructions)	8j								
Pai	t IV Plan Characteristics									
9a	9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2G 2J 3D									
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	les from the List of Pla	n Chara	acteris	tic Cod	des in the instru	uctions:		
Par	t V Compliance Questions									
10	During the plan year:				Yes	No	,	Amount		
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X				
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X				
	·			10c		Х				
d				10d		X				
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X				
f	f Has the plan failed to provide any benefit when due under the plan?			10f		X				
9	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)					X				
h	1 If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10	•		10i						

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Part	VI Pension Funding Compliance						
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sch (Form 5500) and line 11a below)		В		Yes 🛚 No		
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a					
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?				Yes X No		
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)						
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver. Month Day Year						
lf :	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.						
b Enter the minimum required contribution for this plan year							
С	Enter the amount contributed by the employer to the plan for this plan year	12c					
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d					
e Will the minimum funding amount reported on line 12d be met by the funding deadline?				No	N/A		
Part	VII Plan Terminations and Transfers of Assets						
13a	3a Has a resolution to terminate the plan been adopted in any plan year?			X Yes No			
If "Yes," enter the amount of any plan assets that reverted to the employer this year					(
b	b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			Yes X No			
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)) to					
1	13c(1) Name of plan(s): 13c(2)			13c(3	3) PN(s)		