Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to Public Inspection

Part I	Annual Report	Identification Information					
For calend	lar plan year 2018 or fi	iscal plan year beginning 01/01/2	018	and ending 12	2/31/2018		
A This re	turn/report is for:	X a single-employer plan		olan (not multiemployer) (mployer information in ac			
D		a one-participant plan	a foreign plan				
B This ret	urn/report is	the first return/report	the final return/report				
		an amended return/report	a short plan year retu	rn/report (less than 12 m	onths)		
C Check	box if filing under:	X Form 5558	automatic extension		DFVC progra	ım	
		special extension (enter descr	. ,				
Part II	Basic Plan Info	ormation—enter all requested inf	ormation				
1a Name THE CONN	of plan ER HOMES GROUP 4	401(K) PLAN			1b Three-dig plan numl (PN) ▶		
					1c Effective	date of plan 01/01/1976	
		oyer, if for a single-employer plan)	, Payl			Identification Number	
		m, apt., suite no. and street, or P.O ce, country, and ZIP or foreign post		tructions)	(EIN)	27-2126947	
-	ASTER, LLC	, ,,	, ,	,		s telephone number 25-455-9280	
					2d Business	code (see instructions)	
12600 SE 38 BELLEVUE,	BTH ST, SUITE 250					236200	
DELLE VOE,	WA 90000						
3a Plan a	administrator's name a	nd address X Same as Plan Spor	nsor.		3b Administra	ator's EIN	
					2		
					3c Administra	ator's telephone number	
		e plan sponsor or the plan name ha onsor's name, EIN, the plan name a			4b EIN		
	sor's name	onson s name, Lin, the plan hame a	nd the plan number nom	ine last return/report.	4d PN		
C Plan N							
						_	
_		s at the beginning of the plan year			5a	49	
		s at the end of the plan year			5b	53	
		account balances as of the end of t		·	5c	41	
d(1) Tot	tal number of active pa	articipants at the beginning of the pla	an year		5d(1)	33	
		articipants at the end of the plan yea			5d(2)	36	
		terminated employment during the			5e	3	
Caution: A	A penalty for the late	or incomplete filing of this return	n/report will be assessed	d unless reasonable car			
SB or Scho		ther penalties set forth in the instruction and signed by an enrolled actuary, a plete.					
SIGN		l/valid electronic signature.	09/23/2019	ANTHONY RUIZ	IZ		
HERE	Signature of plan a	administrator	Date	Enter name of individ	ual signing as pla	an administrator	
SIGN							
HERE	Signature of emplo	over/plan sponsor	Date	Enter name of individ	ual signing as er	mplover or plan sponsor	

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_	 Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) 						X Yes No		
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)							X Yes No	
С	If you answered "No" to either line 6a or line 6b, the plan cann If the plan is a defined benefit plan, is it covered under the PBGC in If "Yes" is checked, enter the My PAA confirmation number from the	surance p	orogram (see ERISA se	ection 4	021)?	[Yes No	Not determined (See instructions.)	
Pa	rt III Financial Information		T .						
7	Plan Assets and Liabilities		(a) Beginning	of Year			(b) Er	nd of Year	
a	Total plan assets	7a	31:	37008				3056274	
<u>b</u>	Total plan liabilities	7b							
C	Net plan assets (subtract line 7b from line 7a)	7c	31:	3137008		3056274		3056274	
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	(a) Amount			(b) Total		
a	Contributions received or receivable from: (1) Employers	8a(1)		85664					
	(2) Participants	8a(2)	2	270660					
	(3) Others (including rollovers)	8a(3)							
b	Other income (loss)	8b	-2	68201					
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						88123	
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	, , ,							
e	Certain deemed and/or corrective distributions (see instructions)	8e							
f	Administrative service providers (salaries, fees, commissions)	8f		12583					
g	Other expenses	8g							
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						168857	
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i						-80734	
<u>j</u>	Transfers to (from) the plan (see instructions)	8j							
Pa	t IV Plan Characteristics								
9a	If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2R 3D 3H	feature co	odes from the List of Pl	an Cha	racteri	stic Co	odes in the in	nstructions:	
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	des from the List of Pla	n Chara	acterist	tic Cod	des in the ins	structions:	
Par	t V Compliance Questions								
10	During the plan year:				Yes	No		Amount	
а	Was there a failure to transmit to the plan any participant contribu described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)	oluntary F	iduciary Correction	10a		X			
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions eported on line 10a.)				X				
C	Was the plan covered by a fidelity bond?			10c	X			500000	
d	Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty?			10d		X			
е	Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides som the plan? (See instructions.)	ne or all of	the benefits under	10e		X			
f	f Has the plan failed to provide any benefit when due under the plan?			10f		Χ			
9	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g		X			
h	2520.101-3.)	•		10h		X			
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i					

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Part	VI Pension Funding Compliance			
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete (Form 5500) and line 11a below)			Yes No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a		
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or se ERISA?		f	Yes X No
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)			
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, granting the waiver	and enter Da		of the letter ruling Year
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.			
b	Enter the minimum required contribution for this plan year	12b		
С	Enter the amount contributed by the employer to the plan for this plan year	12c		
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d		
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A
Part '	VII Plan Terminations and Transfers of Assets			
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	s X No
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a		
b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?				Yes X No
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan which assets or liabilities were transferred. (See instructions.)	n(s) to		
1	3c(1) Name of plan(s):	(2) EIN(s)		13c(3) PN(s)