Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to Public Inspection

Part I	Annual Repor	t Identification Information	1				
For calen	dar plan year 2018 or	fiscal plan year beginning 01/01/	2018	and ending 1	2/31/2018		
A This r	eturn/report is for:	x a single-employer plan		plan (not multiemployer) employer information in a	,		
D		a one-participant plan	a foreign plan				
B This re	eturn/report is	the first return/report	the final return/repor	rt			
		an amended return/report	a short plan year ret	curn/report (less than 12 m	nonths)		
C Check	k box if filing under:	X Form 5558	automatic extension	n	DFVC progra	m	
		special extension (enter desc	eription)				
Part II	Basic Plan Inf	ormation—enter all requested in	formation				
1a Nam SWEENEY	e of plan CONRAD, P.S. 401(F	K) SAVINGS PLAN			1b Three-diging plan number (PN) ▶		
					1c Effective of	date of plan 01/01/1991	
		loyer, if for a single-employer plan)			2b Employer	Identification Number	
	`	om, apt., suite no. and street, or P. one.	,	structions)	(EIN)	91-1301672	
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) SWEENEY CONRAD, P.S.				,	2c Sponsor's telephone number 425-629-1990		
					2d Business	code (see instructions)	
	H AVE. NE STE. 200 E, WA 98004					541211	
	,						
3a Plan	administrator's name	and address 🛛 Same as Plan Spo	nsor.		3b Administra	ator's EIN	
					3c Administra	ator's telephone number	
A 16 (b)		h l		t and the second file of the se	Als six		
		he plan sponsor or the plan name honsor's name, EIN, the plan name			4b EIN		
	nsor's name				4d PN		
C Plan	Name						
5a Tota	I number of participan	ts at the beginning of the plan year			. 5a	95	
b Tota	I number of participan	ts at the end of the plan year			. 5b	105	
	· ·	n account balances as of the end of		·	5c	100	
d(1) ⊤d	otal number of active p	participants at the beginning of the p	lan year		5d(1)	82	
` '	•	participants at the end of the plan ye			. 5d(2)	83	
thai	n 100% vested	o terminated employment during th			. 5e	11	
		e or incomplete filing of this retu					
SB or Scl		other penalties set forth in the instru and signed by an enrolled actuary, nplete.					
SIGN	Filed with authorize	Filed with authorized/valid electronic signature. 09/27/2019 WENDE K. WADS		WENDE K. WADSWO	SWORTH		
HERE	Signature of plan	administrator	Date	Enter name of individ	lual signing as pla	an administrator	
SIGN							
HERE	Signature of emp	loyer/plan sponsor	Date	Enter name of individ	lual signing as en	nployer or plan sponsor	

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	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)					. X Ye	S No		
b	Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)						X Yes	з П No	
	If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.								
С	If the plan is a defined benefit plan, is it covered under the PBGC in	nsurance p	orogram (see ERISA se	ection 4	021)?		Yes No	Not det	ermined
	If "Yes" is checked, enter the My PAA confirmation number from the	ie PBGC p	remium filing for this p	lan yea	r			(See instr	uctions.)
Pa	rt III Financial Information								
7	Plan Assets and Liabilities		(a) Beginning (of Year			(b) En	d of Year	
а	Total plan assets	7a		65494			(0) = 11	15553195	
	Total plan liabilities	7b		0		0			
С	Net plan assets (subtract line 7b from line 7a)	7c	1650	65494		1555319		15553195	
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	t		(b) Total		Total	
а	Contributions received or receivable from:	0=(4)	5	25027					
	(1) Employers	8a(1)		25027					
	(2) Participants	8a(2)		685772 168979					
	(3) Others (including rollovers)	8a(3)		24809					
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8b	-9.	24003		454969			
d	Benefits paid (including direct rollovers and insurance premiums	8c			-	454909			
	to provide benefits)	8d	14	1446920					
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	8e		0					
f	Administrative service providers (salaries, fees, commissions)	8f	2	20348					
g	Other expenses	enses		0					
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h				1467268			
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)					-1012299			
<u>j</u>	Transfers to (from) the plan (see instructions)	8j		0					
Pai	t IV Plan Characteristics								
9a	9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2A 2E 2F 2G 2J 2K 2T 3D								
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	les from the List of Pla	n Chara	acteris	tic Cod	des in the inst	tructions:	
	in the plan provides wellare softenes, error the applicable visitare is	oataro ooc	ioo nom the Liet of Fra	T Onare	2010110		200 111 (110 1110	iraciiono.	
Par	t V Compliance Questions								
10	During the plan year:				Yes	No		Amount	
а	Was there a failure to transmit to the plan any participant contribu								
	described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)			10a		X			
b	Were there any nonexempt transactions with any party-in-interest reported on line 10a.)	t? (Do not	include transactions	10b		X			
С	Was the plan covered by a fidelity bond?			10c	X			500	000
d	Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty?			10d		X			
е	Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides som the plan? (See instructions.)	her person ne or all of	s by an insurance the benefits under	10e		Х			
f	Has the plan failed to provide any benefit when due under the pla	ın?		10f		Χ			
g				10g	X			81	269
h	If this is an individual account plan, was there a blackout period? 2520.101-3.)	•		10h		X			
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i					
		10 to providing the risade applied arider 25 of 11 2020.101 6							

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Part	VI Pension Funding Compliance			
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete (Form 5500) and line 11a below)			Yes No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a		
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or se ERISA?		f	Yes X No
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)			
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, granting the waiver	and enter Da		of the letter ruling Year
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.			
b	Enter the minimum required contribution for this plan year	12b		
С	Enter the amount contributed by the employer to the plan for this plan year	12c		
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d		
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A
Part '	VII Plan Terminations and Transfers of Assets			
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	s X No
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a		
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under control of the PBGC?	he		Yes X No
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan which assets or liabilities were transferred. (See instructions.)	n(s) to		
1	3c(1) Name of plan(s):	(2) EIN(s)		13c(3) PN(s)