## Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

## Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to Public Inspection

Part I	Annual Report	Identification Information	1					
For calend	lar plan year 2018 or fis	scal plan year beginning 01/01/2	2018	and ending 12	2/31/2018			
A This re	turn/report is for:	a single-employer plan		plan (not multiemployer) ( employer information in ac				
<b>D</b>		a one-participant plan	a foreign plan					
<b>B</b> This ret	urn/report is	the first return/report	the final return/report	t				
		an amended return/report	a short plan year retu	urn/report (less than 12 m	onths)			
C Check	box if filing under:	Form 5558	automatic extension		DFVC progra	m		
		special extension (enter desc	ription)					
Part II	Basic Plan Info	rmation—enter all requested in	formation					
1a Name GROUNDSF	of plan PEAK, INC. 401K PLAN	N			<b>1b</b> Three-digi plan numb (PN) ▶			
					1c Effective of	late of plan 01/01/2007		
		yer, if for a single-employer plan)	2. Rev)			Identification Number		
		m, apt., suite no. and street, or P.0 e, country, and ZIP or foreign post		structions)	(EIN) 91-2144859			
GROUNDSF		-, · · <b>,</b> , . · · · · · · · · · · · · · · · · · ·		,		telephone number 16-302-7721		
					2d Business	code (see instructions)		
	STREET, #300					454110		
SEATTLE, V	VA 96103							
<b>30</b> Diam.		dedday Morae as Blee One			<b>3b</b> Administra			
<b>Ja</b> Pian a	administrator's name an	nd address 🛛 Same as Plan Spo	nsor.		SD Administra	IOI S EIIN		
					<b>3c</b> Administra	tor's telephone number		
						'		
		e plan sponsor or the plan name h			4b EIN			
	sor's name	1301 3 Harrie, Eliv, the plan Harrie o	and the plan number nom	the last return/report.	4d PN			
C Plan N								
5a Total number of participants at the beginning of the plan year					5a	117		
<b>b</b> Total number of participants at the end of the plan year					5b	119		
		account balances as of the end of			5c	119		
d(1) Total number of active participants at the beginning of the plan year					5d(1)	79		
d(2) Total number of active participants at the end of the plan year					5d(2)	76		
		terminated employment during the			5e	0		
Caution: A	A penalty for the late of	or incomplete filing of this retur	n/report will be assesse	d unless reasonable cau				
SB or Sche		her penalties set forth in the instrund signed by an enrolled actuary, ablete.						
SIGN	Filed with authorized/	valid electronic signature.	09/30/2019	BRYAN ROTH		_		
HERE	Signature of plan a	dministrator	Date	Enter name of individ	ual signing as pla	ın administrator		
SIGN								
HERE	Signature of emplo	yer/plan sponsor	Date	Enter name of individ	ual signing as em	nployer or plan sponsor		

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	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)					. X Yes	S No		
b	Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)						. X Yes	з ∏ No	
	If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.								
С	If the plan is a defined benefit plan, is it covered under the PBGC in	nsurance p	orogram (see ERISA se	ection 4	021)?		Yes No	Not det	ermined
	If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year							(See instru	uctions.)
Pa	rt III   Financial Information								
7	Plan Assets and Liabilities		(a) Beginning (	of Year			(b) En	d of Year	
а	Total plan assets	7a		88131			(*/	6199117	
b	Total plan liabilities	7b							
С	Net plan assets (subtract line 7b from line 7a)	7c	578	88131		6199117			
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	t		(b) Total		Total	
а	Contributions received or receivable from:	0-(4)	20	00445					
	(1) Employers	8a(1)		08145 75025					
	(2) Participants	8a(2)	_	575925					
	(3) Others (including rollovers)	8a(3)		62201 -413751					
	Other income (loss)	8b	-4	13731		532520			
d	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c				332320			
	to provide benefits)	8d		84961					
е	Certain deemed and/or corrective distributions (see instructions) $\dots$	8e							
f	Administrative service providers (salaries, fees, commissions)	8f	(	35433					
g	Other expenses	expenses 8g		1140					
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h				121534			
<u>_i</u>	Net income (loss) (subtract line 8h from line 8c)						410986		
j	Transfers to (from) the plan (see instructions)	ers to (from) the plan (see instructions)							
	t IV Plan Characteristics								
9a	If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 2S 2T 3D	feature co	odes from the List of Plant	an Cha	racteri	stic Co	odes in the in	structions:	
b									
	<u> </u>								
Par	t V Compliance Questions				•	•	_		
10	During the plan year:				Yes	No		Amount	
а	Was there a failure to transmit to the plan any participant contribu described in 29 CFR 2510.3-102? (See instructions and DOL's V								
	Program)	-	•	10a		X			
b	Were there any nonexempt transactions with any party-in-interest reported on line 10a.)	•		10b		Χ			
С	Was the plan covered by a fidelity bond?			10c	X			450	000
d	Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty?			10d		X			
е	Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides som the plan? (See instructions.)	ne or all of	the benefits under	10e		X			
f	Has the plan failed to provide any benefit when due under the pla			10f		Χ			
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g	X			220	013
h	If this is an individual account plan, was there a blackout period? 2520.101-3.)	•		10h		Χ			
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i					

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Part	VI Pension Funding Compliance			
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete (Form 5500) and line 11a below)			Yes No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a		
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or se ERISA?		f	Yes X No
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)			
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, granting the waiver	and enter Da		of the letter ruling Year
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.			
b	Enter the minimum required contribution for this plan year	12b		
С	Enter the amount contributed by the employer to the plan for this plan year	12c		
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d		
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A
Part '	VII Plan Terminations and Transfers of Assets			
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	s X No
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a		
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under control of the PBGC?	he		Yes X No
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan which assets or liabilities were transferred. (See instructions.)	n(s) to		
1	<b>3c(1)</b> Name of plan(s):	(2) EIN(s)		<b>13c(3)</b> PN(s)