Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to Public Inspection

Part I	Annual Report	Identification Information							
For calend	dar plan year 2018 or fis	scal plan year beginning 01/01/20	018	and ending 12	2/31/2018				
A This re	eturn/report is for:	X a single-employer plan	a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)						
D =: ·	. ,	a one-participant plan	a foreign plan						
b This re	turn/report is	the first return/report	the final return/report						
		an amended return/report	a short plan year retu	urn/report (less than 12 m	onths)				
C Check	box if filing under:	X Form 5558	automatic extension		DFVC progra	am			
		special extension (enter descri	. ,						
Part II	Basic Plan Info	rmation—enter all requested info	ormation						
1a Name of plan THE LANDSTONE GROUP 401(K) RETIREMENT PLAN					1b Three-dig plan num (PN) ▶				
						1c Effective date of plan 01/01/2011			
		yer, if for a single-employer plan) n, apt., suite no. and street, or P.O	Povl		2b Employer Identification Number				
		e, country, and ZIP or foreign posta		structions)	(EIN) 61-1583411				
THE LANDS	STONE GROUP LLC				2c Sponsor's telephone number 212-972-7300				
					2d Business code (see instructions)				
PO BOX 53 CENTERPO	5 DRT, NY 11721-0535				561300				
3a Plan	administrator's name an	d address 🏻 Same as Plan Spon	sor.		3b Administrator's EIN				
					3c Administrator's telephone number				
					3c Administrator's telephone number				
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report.					4b EIN				
	sor's name			·	4d PN				
C Plan	Name								
5a Total number of participants at the beginning of the plan year					5a	2			
b Total number of participants at the end of the plan year					5b	2			
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)			5c	2					
d(1) Total number of active participants at the beginning of the plan year					5d(1)	1			
d(2) Total number of active participants at the end of the plan year					5d(2)				
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested				5e	0				
		or incomplete filing of this return			use is establish	ned.			
Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.									
SIGN	Filed with authorized/	valid electronic signature.	10/01/2019	DWIGHT HALL, TRUS	USTEE				
HERE	Signature of plan ac	dministrator	Date	Enter name of individu	ual signing as p	lan administrator			
SIGN	Filed with authorized/	valid electronic signature.	10/01/2019	DWIGHT HALL	DWIGHT HALL				
HERE	Signature of employ	ver/plan sponsor	Date	Enter name of individu	individual signing as employer or plan spor				

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6a	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)						. X Yes N	No	
b	b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA)							N	NI.
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)						. X Yes L N	No	
С	If the plan is a defined benefit plan, is it covered under the PBGC in							Not determine	ed.
	If "Yes" is checked, enter the My PAA confirmation number from th							(See instructions.	
Pa	rt III Financial Information								
7	Plan Assets and Liabilities		(a) Beginning o	of Voor			(b) Er	d of Year	
<u>'</u> a	Total plan assets	7a		78052			(D) E1	168237	
	Total plan liabilities	7a 7b		0		0			
	Net plan assets (subtract line 7b from line 7a)	7c	17	78052		168237			_
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun			(b) Total			
	Contributions received or receivable from:		(u) Amoun	•		(b) Total			
	(1) Employers	8a(1)		0					
	(2) Participants	8a(2)		0					
	(3) Others (including rollovers)	8a(3)		0					
b	Other income (loss)	8b		-9815					
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						-9815	
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		0					
e	Certain deemed and/or corrective distributions (see instructions)	8e		0					
f	Administrative service providers (salaries, fees, commissions)	8f		0					
q	Other expenses	8g		0					
_	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						0	_
ī	Net income (loss) (subtract line 8h from line 8c)	8i				-9815		-9815	
j	Transfers to (from) the plan (see instructions)	8i		0					
Pai	rt IV Plan Characteristics	9							_
9a	If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J	feature co	des from the List of Pla	an Cha	racteri	stic Co	des in the ir	structions:	
	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	les from the List of Plan	n Chara	octoriet	tic Cod	les in the ins	tructions:	
	the plan provides werrare benefits, enter the applicable werrare to	cature coc	ics from the List of Frai	Onare	acterio:	000	ics in the inc	iti dottorio.	
Par	t V Compliance Questions								
10	During the plan year:				Yes	No		Amount	
а	Was there a failure to transmit to the plan any participant contribu								
	described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)	-	-	10a		X			
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions			10b		X			_
	reported on line 10a.) Was the plan covered by a fidelity bond?			10b		X			
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused								
	by fraud or dishonesty?			10d		X			
е	e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under			100		X			
f	the plan? (See instructions.)			10e 10f		X			
	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g		X			
	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR					X			
	i If 10h was answered "Yes," check the box if you either provided the required notice or one of the			10h		^			
	exceptions to providing the notice applied under 29 CFR 2520.10			10i					

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Part	VI Pension Funding Compliance					
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sc (Form 5500) and line 11a below)		В	Yes 🛚 N	Ю	
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a				
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?		f	Yes 🛛 N	Ю	
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)					
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, ar granting the waiver	d enter t Day		of the letter ruling Year		
lf y	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.					
b	Enter the minimum required contribution for this plan year	12b				
С	Enter the amount contributed by the employer to the plan for this plan year	12c				
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)						
e Will the minimum funding amount reported on line 12d be met by the funding deadline?				No N/A		
Part '	VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	s 🔀 No		
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a				
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?) 		Yes X No		
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)	s) to				
13c(1) Name of plan(s): 13c(2				EIN(s) 13c(3) PN(s)		